

# Ab initio Effective Interactions for sd-shell Valence Nucleons

Bruce R. Barrett  
University of Arizona, Tucson



TRIUMF Theory Workshop      February 17, 2015

# COLLABORATORS

Erdal Dikmen, Suleyman Demirel U., Isparta, Turkey  
Michael Kruse, Lawrence Livermore National Laboratory  
Alexander Lisetskiy, Mintec, Inc., Tucson  
Pieter Maris, Iowa State University  
Petr Navratil, TRIUMF, Vancouver, BC, Canada  
A. M. Shirokov, Lomonosov Moscow State U.  
Ionel Stetcu, Los Alamos National Laboratory  
James P. Vary, Iowa State University

# OUTLINE

I. Brief Overview of the No Core Shell Model (NCSM)

II. Ab Initio Shell Model with a Core Approach

III. Results: sd-shell

IV. Summary/Outlook

# I. Brief Overview of the No Core Shell Model (NCSM)

# No Core Shell Model

“*Ab Initio*” approach to microscopic nuclear structure calculations, in which all A nucleons are treated as being active.

Want to solve the A-body Schrödinger equation

$$H_A \Psi^A = E_A \Psi^A$$

R.P. Navrátil, J.P. Vary, B.R.B., PRC 62, 054311 (2000)  
BRB, P. Navratil, J.P. Vary, Prog.Part.Nucl.Phys. 69, 131 (2013).  
P. Navratil, et al., J. Phys. G: Nucl. Part. Phys. 36, 083101  
(2009)

# From few-body to many-body

*Ab initio*  
No Core Shell Model

Flow chart for a standard  
NCSM calculation

Realistic NN & NNN forces

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graph TD; A[Realistic NN & NNN forces] --> B[Effective interactions in cluster approximation]; B --> C[Diagonalization of many-body Hamiltonian]; C --> D[Many-body experimental data];
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Effective interactions in  
cluster approximation

Diagonalization of  
many-body Hamiltonian

Many-body experimental data

# Effective Interaction

- Must truncate to a **finite** model space  $V_{ij} \dashrightarrow V_{ij}^{\text{effective}}$
- In general,  $V_{ij}^{\text{eff}}$  is an  $A$ -body interaction
- We want to make an  $a$ -body cluster approximation

$$\mathcal{H} = \mathcal{H}^{(I)} + \mathcal{H}^{(A)} \quad \underset{a < A}{\approx} \quad \mathcal{H}^{(I)} + \mathcal{H}^{(a)}$$

Effective interaction in a projected model space

$$H\Psi_\alpha = E_\alpha\Psi_\alpha \quad \text{where} \quad H = \sum_{i=1}^A t_i + \sum_{i<j}^A v_{ij}.$$

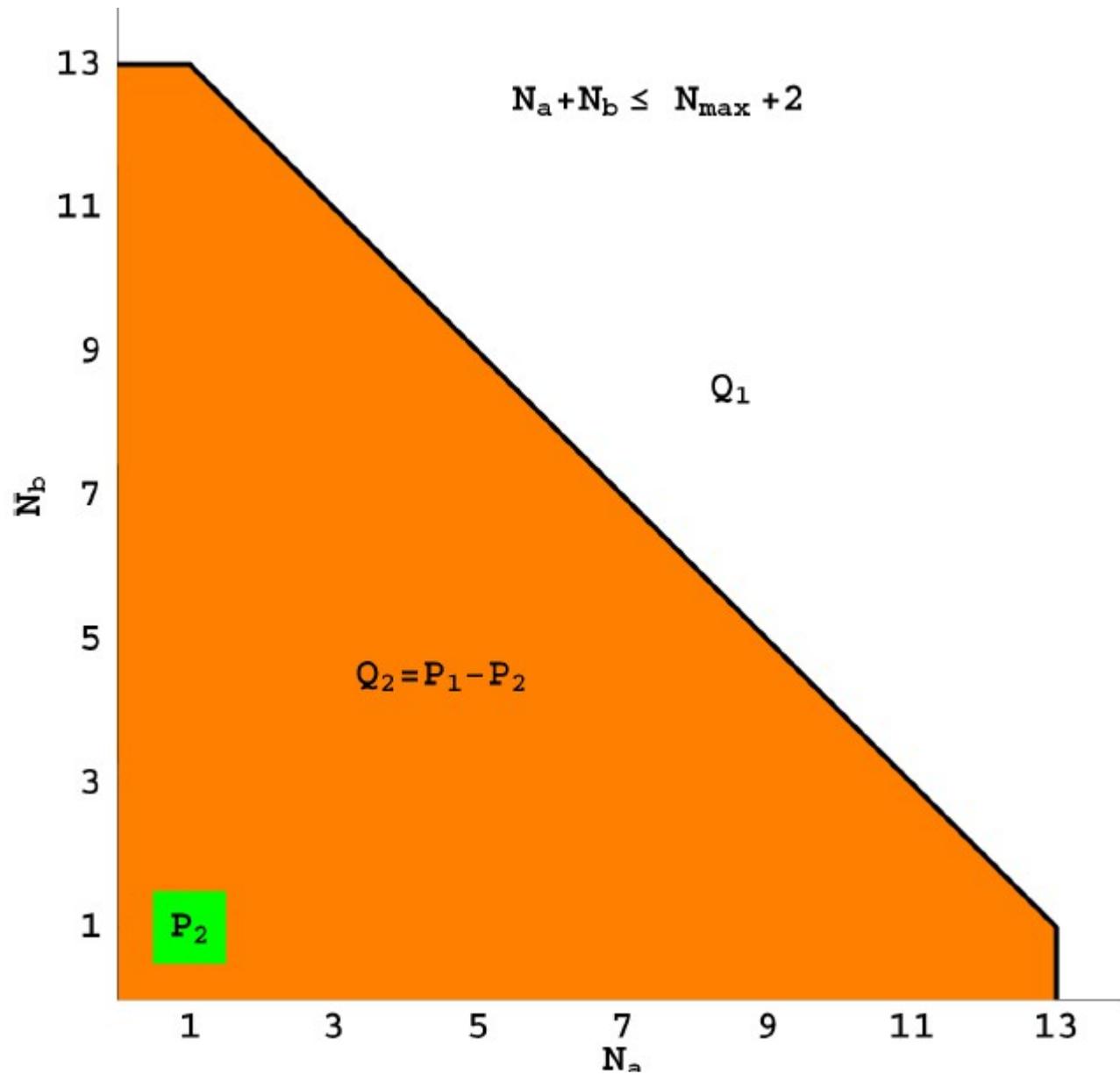
$$\mathcal{H}\Phi_\beta = E_\beta\Phi_\beta$$

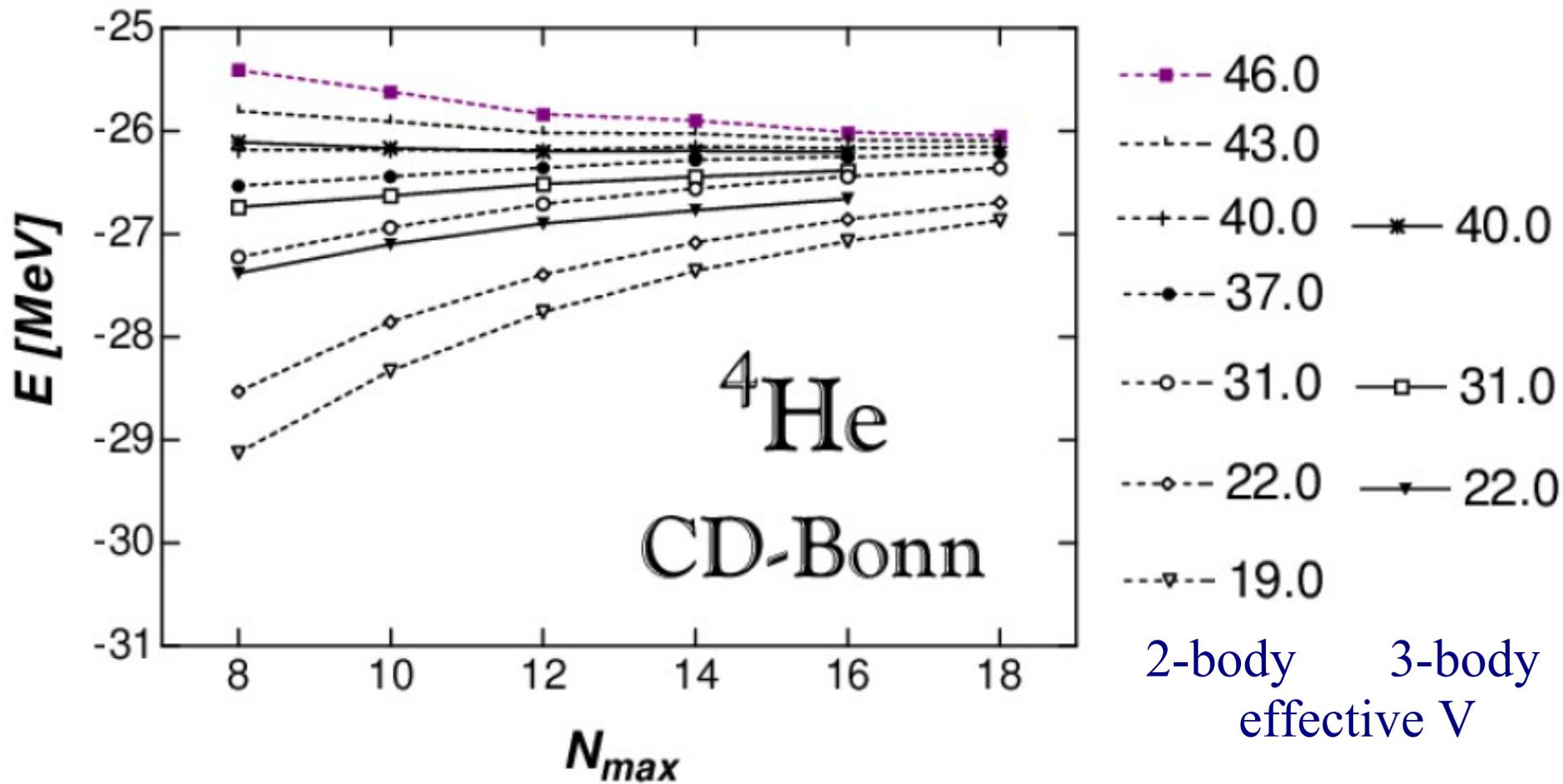
$$\Phi_\beta = P\Psi_\beta$$

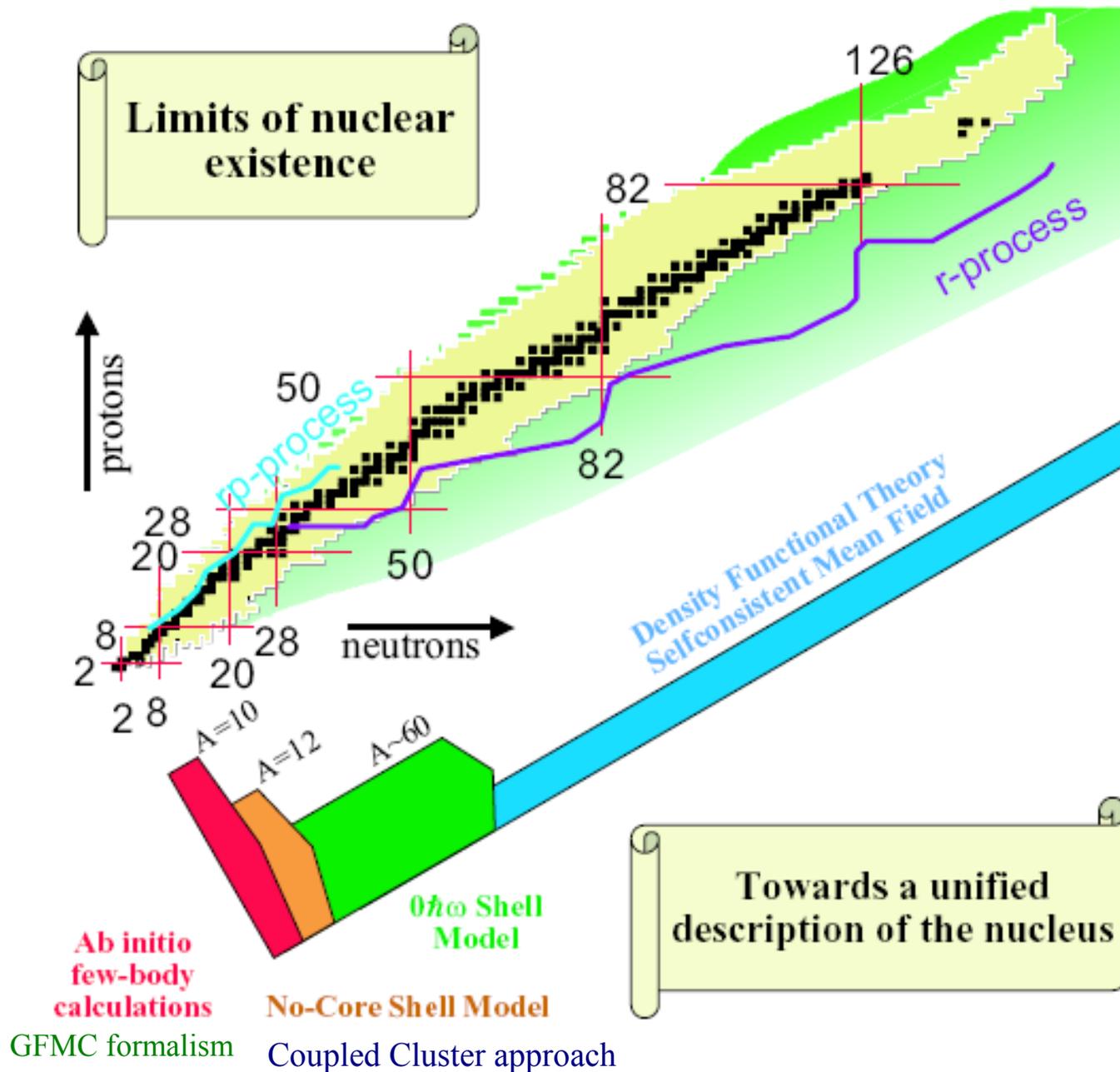
$P$  is a projection operator from  $S$  into  $\mathcal{S}$

$$\langle \tilde{\Phi}_\gamma | \Phi_\beta \rangle = \delta_{\gamma\beta}$$

$$\mathcal{H} = \sum_{\beta \in \mathcal{S}} |\Phi_\beta\rangle E_\beta \langle \tilde{\Phi}_\beta|$$







## II. Ab Initio Shell Model with a Core Approach

# From few-body to many-body

Using the NCSM to calculate the shell model input

*Ab initio*  
No Core Shell Model

Realistic NN & NNN forces

Effective interactions in  
cluster approximation

Diagonalization of  
many-body Hamiltonian

Core Shell Model

effective interactions for  
valence nucleons

Diagonalization of the  
Hamiltonian for valence  
nucleons

Many-body experimental data



PHYSICAL REVIEW C 78, 044302 (2008)

## ***Ab-initio* shell model with a core**

A. F. Lisetskiy,<sup>1,\*</sup> B. R. Barrett,<sup>1</sup> M. K. G. Kruse,<sup>1</sup> P. Navratil,<sup>2</sup> I. Stetcu,<sup>3</sup> and J. P. Vary<sup>4</sup>

<sup>1</sup>*Department of Physics, University of Arizona, Tucson, Arizona 85721, USA*

<sup>2</sup>*Lawrence Livermore National Laboratory, Livermore, California 94551, USA*

<sup>3</sup>*Los Alamos National Laboratory, Los Alamos, New Mexico 87545, USA*

<sup>4</sup>*Department of Physics and Astronomy, Iowa State University, Ames, Iowa 50011, USA*

(Received 20 June 2008; published 10 October 2008)

We construct effective two- and three-body Hamiltonians for the  $p$ -shell by performing  $12\hbar\Omega$  *ab initio* no-core shell model (NCSM) calculations for  $A = 6$  and  $7$  nuclei and explicitly projecting the many-body Hamiltonians onto the  $0\hbar\Omega$  space. We then separate these effective Hamiltonians into inert core, one- and two-body contributions (also three-body for  $A = 7$ ) and analyze the systematic behavior of these different parts as a function of the mass number  $A$  and size of the NCSM basis space. The role of effective three- and higher-body interactions for  $A > 6$  is investigated and discussed.

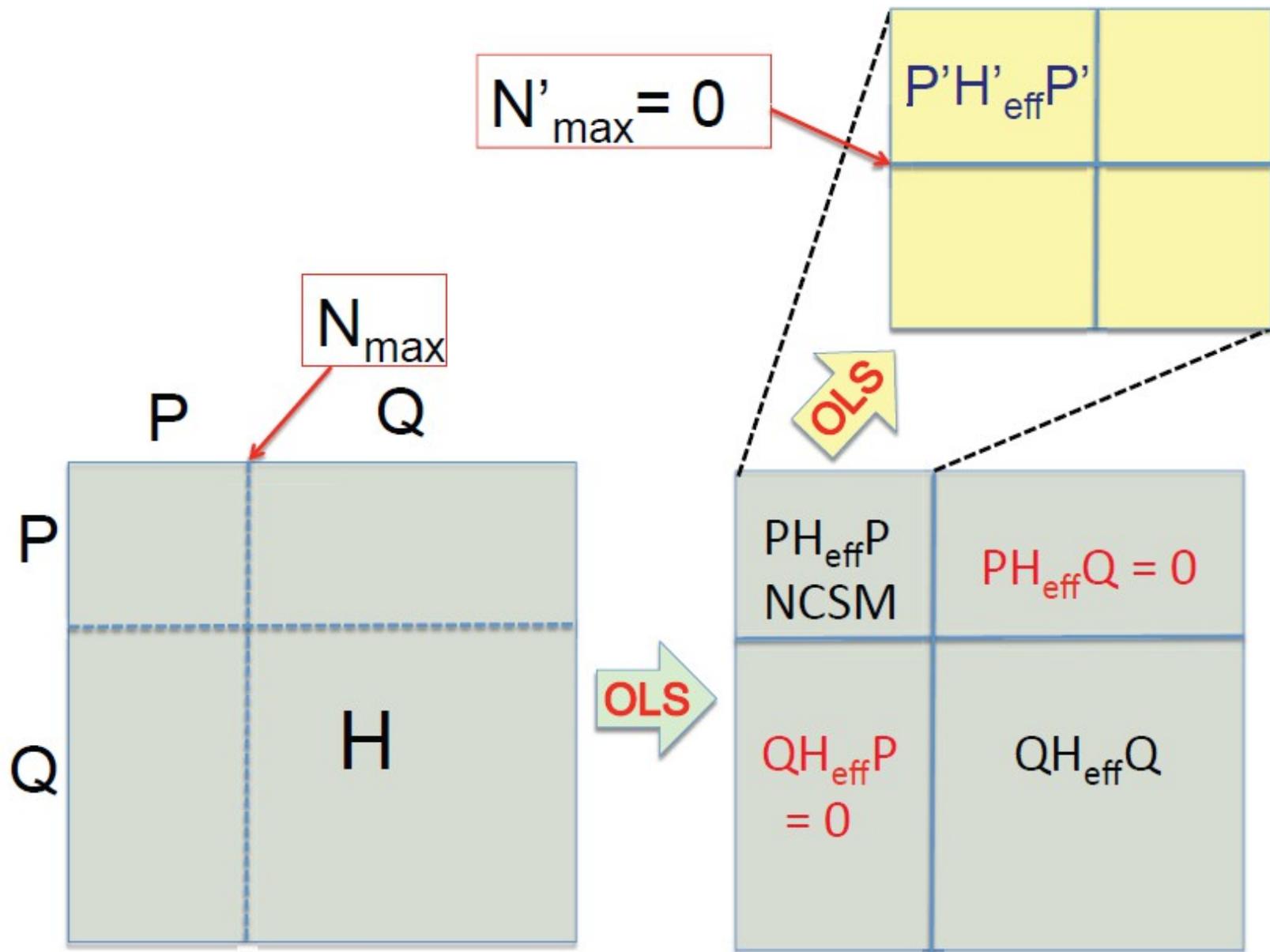
DOI: [10.1103/PhysRevC.78.044302](https://doi.org/10.1103/PhysRevC.78.044302)

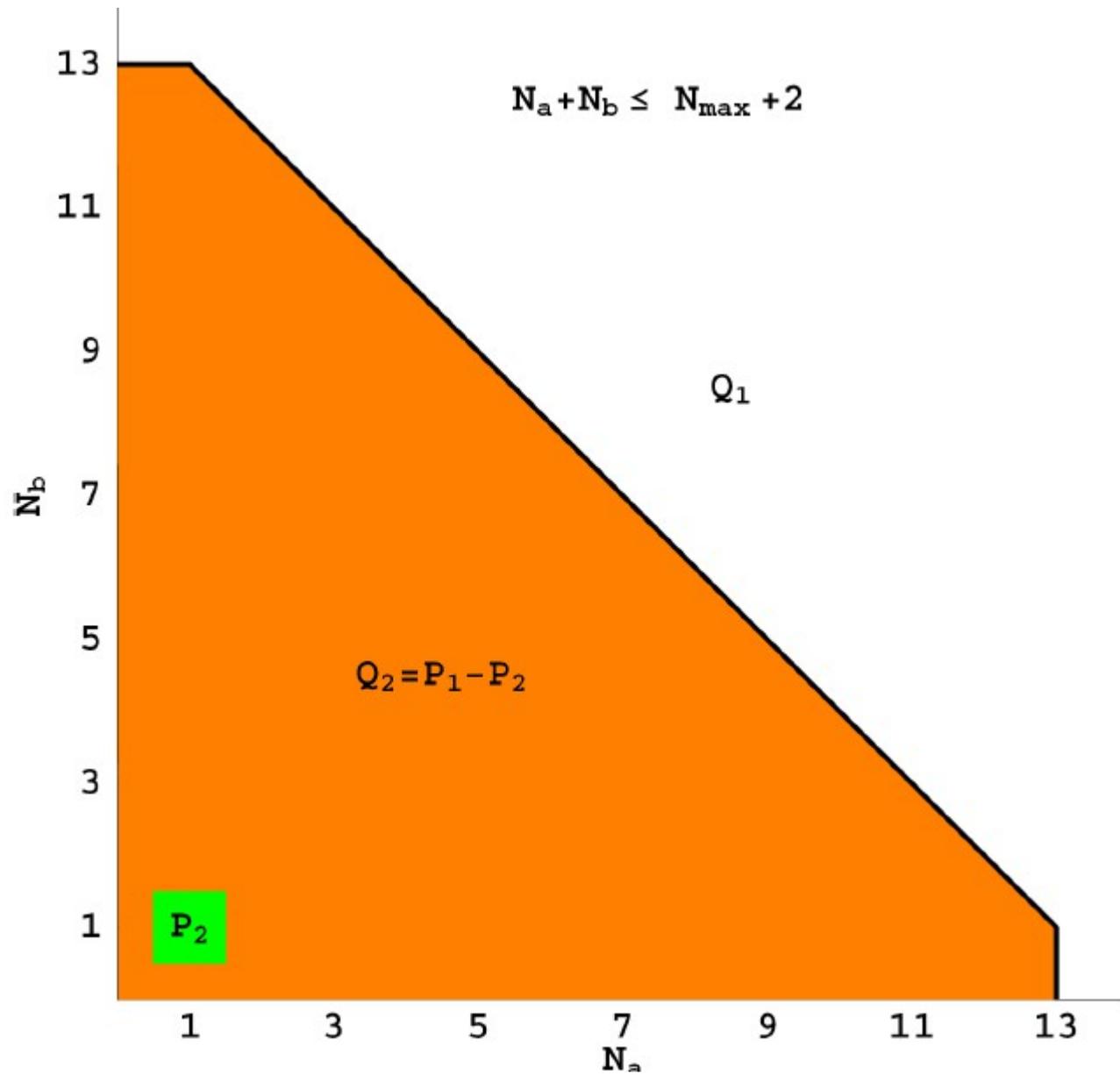
PACS number(s): 21.10.Hw, 21.60.Cs, 23.20.Lv, 27.20.+n

Also P. Navratil, M. Thoresen and B.R.B., PRC 55, R573 (1997)

# FORMALISM

1. Perform a large basis NCSM for a core + 2N system, e.g.,  $18^{\text{F}}$ .
2. Use Okubo-Lee-Suzuki transformation to project these results into a single major shell to obtain effective 2-body matrix elements.
3. Separate these 2-body matrix elements into a core term, single-particle energies and residual 2-body interactions, i.e., the standard input for a normal Shell Model calculation.
4. Use these values for performing SM calculations in that shell.





# Effective Hamiltonian for SSM

How to calculate the Shell Model 2-body effective interaction:

Two ways of convergence:

1) For  $P \rightarrow 1$  and fixed  $a$ :  $H_{A,a=2}^{\text{eff}} \rightarrow H_A$ : previous slide

2) For  $a_1 \rightarrow A$  and fixed  $P_1$ :  $H_{A,a_1}^{\text{eff}} \rightarrow H_A$

$P_1 + Q_1 = P$ ;  $P_1$  - small model space;  $Q_1$  - excluded space;

$$\mathcal{H}_{A,a_1}^{N_{1,\max}, N_{\max}} = \frac{U_{a_1, P_1}^{A, \dagger}}{\sqrt{U_{a_1, P_1}^{A, \dagger} U_{a_1, P_1}^A}} E_{A, a_1, P_1}^{N_{\max}, \Omega} \frac{U_{a_1, P_1}^A}{\sqrt{U_{a_1, P_1}^{A, \dagger} U_{a_1, P_1}^A}}$$

## Valence Cluster Expansion

$N_{1,\max} = 0$  space (p-space);  $a_1 = A_c + a_v$ ;  $a_1$  - order of cluster;

$A_c$  - number of nucleons in core;  $a_v$  - order of valence cluster;

$$\mathcal{H}_{A,a_1}^{0, N_{\max}} = \sum_k^{a_v} V_k^{A, A_c + k}$$

### III. Results: sd-shell nuclei

# Submitted for publication

## *Ab initio* effective interactions for *sd*-shell valence nucleons

E. Dikmen,<sup>1,2,\*</sup> A. F. Lisetskiy,<sup>2,†</sup> B. R. Barrett,<sup>2,‡</sup> P. Maris,<sup>3,§</sup> A. M. Shirokov,<sup>3,4,5,¶</sup> and J. P. Vary<sup>3,\*\*</sup>

<sup>1</sup>*Department of Physics, Suleyman Demirel University, Isparta, Turkey*

<sup>2</sup>*Department of Physics, University of Arizona, Tucson, Arizona 85721*

<sup>3</sup>*Department of Physics and Astronomy, Iowa State University, Ames, Iowa 50011*

<sup>4</sup>*Skobeltsyn Institute of Nuclear Physics, Lomonosov Moscow State University, Moscow 119991, Russia*

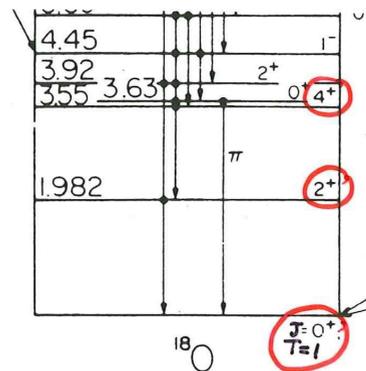
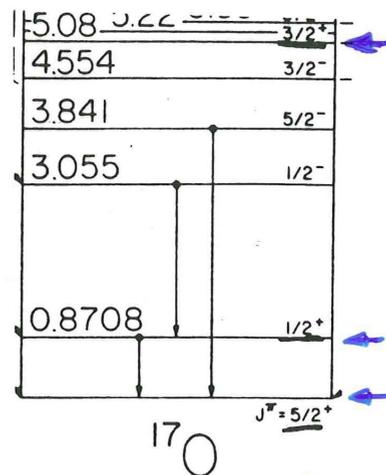
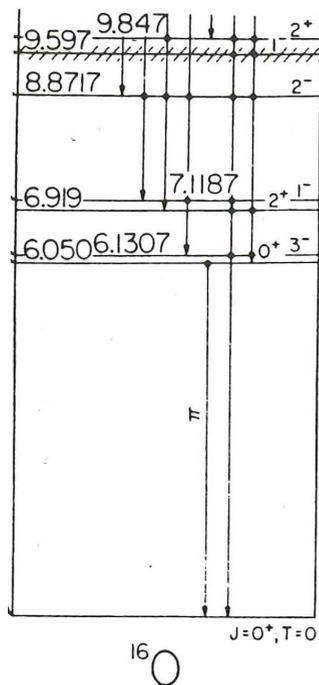
<sup>5</sup>*Pacific National University, 136 Tikhookeanskaya st., Khabarovsk 680035, Russia*

(Dated: February 3, 2015)

We perform *ab initio* no core shell model calculations for  $A = 18$  and  $19$  nuclei in a  $4\hbar\Omega$ , or  $N_{\max} = 4$ , model space using the effective JISP16 and chiral N3LO nucleon-nucleon potentials and transform the many-body effective Hamiltonians into the  $0\hbar\Omega$  model space to construct the  $A$ -body effective Hamiltonians in the *sd*-shell. We separate the  $A$ -body effective Hamiltonians with  $A = 18$  and  $A = 19$  into inert core, one- and two-body components. Then, we use these core, one- and two-body components to perform standard shell model calculations for the  $A = 18$  and  $A = 19$  systems with valence nucleons restricted to the *sd*-shell. Finally, we compare the standard shell model results in the  $0\hbar\Omega$  model space with the exact no core shell model results in the  $4\hbar\Omega$  model space for the  $A = 18$  and  $A = 19$  systems and find good agreement.

ArXiv: Nucl-th 1502.00700

# Empirical Single-Particle Energies



$$E_{0d_{5/2}} = 0.0 \text{ MeV}$$

$$E_{1s_{1/2}} = 0.87 \text{ MeV}$$

$$E_{0d_{3/2}} = 5.08 \text{ MeV}$$

$$H^{sd} (P \Phi)^{sd} = \left\{ \sum_i^{sd} \epsilon_i + V_{\text{eff}}^{sd} \right\} (P \Phi)^{sd}$$

$$\{H_0 + V_{\text{eff}}^{sd}\} (P \Phi)^{sd} = E^{sd} (P \Phi)^{sd}$$

# Input: The results of $N_{\text{max}} = 4$ and $hw = 14$ MeV NCSM calculations

TABLE II: Proton and neutron single-particle energies (in MeV) for JISP16 effective interaction obtained for the mass of  $A = 18$  and  $A = 19$ .

	$A = 18$			$A = 19$		
	$E_{\text{core}} = -115.529$			$E_{\text{core}} = -115.319$		
$j_i$	$\frac{1}{2}$	$\frac{5}{2}$	$\frac{3}{2}$	$\frac{1}{2}$	$\frac{5}{2}$	$\frac{3}{2}$
$\epsilon_{j_i}^n$	-3.068	-2.270	6.262	-3.044	-2.248	6.289
$\epsilon_{j_i}^p$	0.603	1.398	9.748	0.627	1.419	9.774

TABLE III: Proton and neutron single-particle energies (in MeV) for chiral N3LO effective interaction obtained for the mass of  $A = 18$  and  $A = 19$ .

	$A = 18$			$A = 19$		
	$E_{\text{core}} = -118.469$			$E_{\text{core}} = -118.306$		
$j_i$	$\frac{1}{2}$	$\frac{5}{2}$	$\frac{3}{2}$	$\frac{1}{2}$	$\frac{5}{2}$	$\frac{3}{2}$
$\epsilon_{j_i}^n$	-3.638	-3.042	3.763	-3.625	-3.031	3.770
$\epsilon_{j_i}^p$	0.044	0.690	7.299	0.057	0.700	7.307

$A = 18$

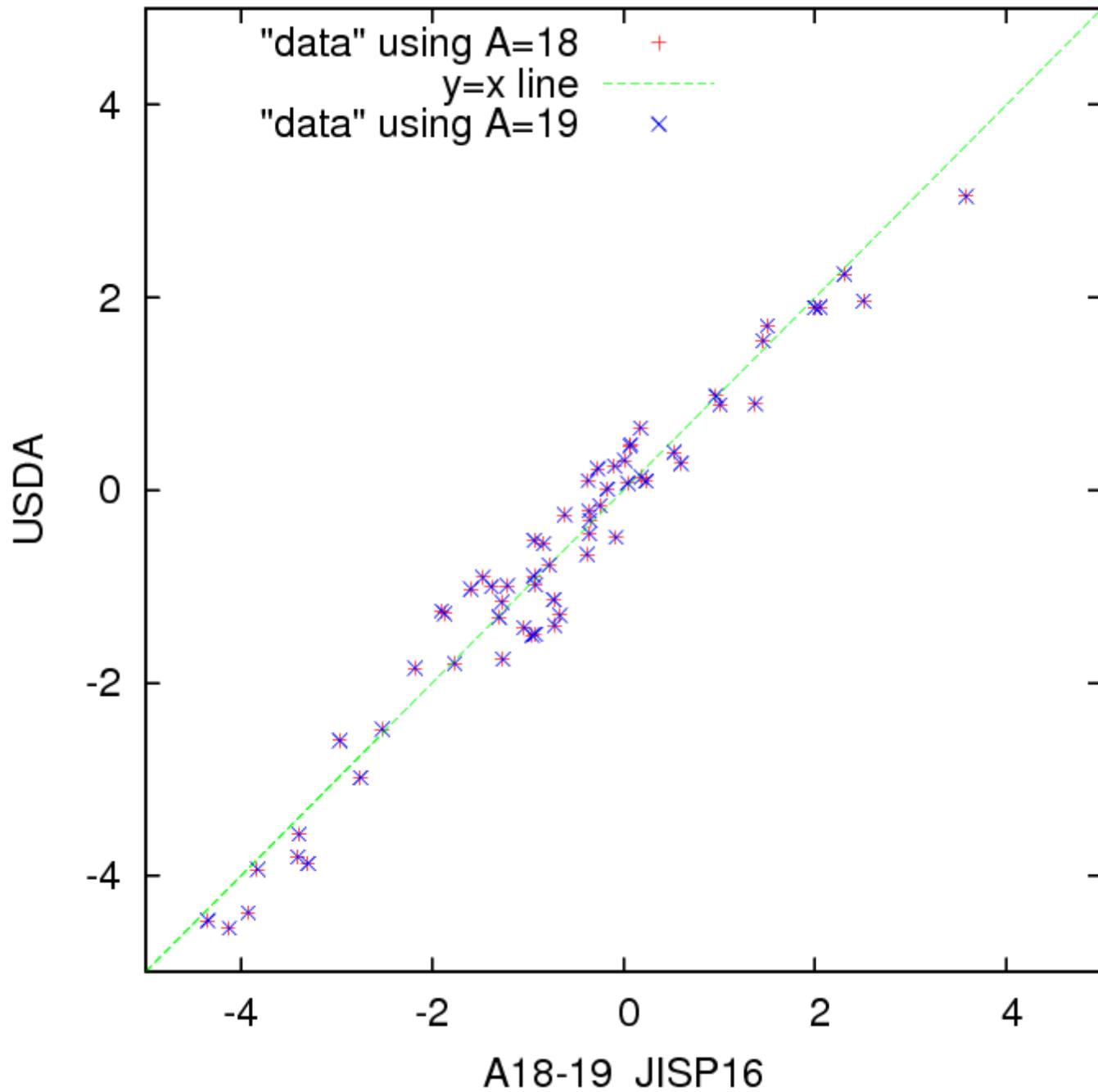
Coupled Cluster,  $E_{\text{core}}$ : -130.462  
Idaho NN N3LO + 3N N2LO

$A = 19$

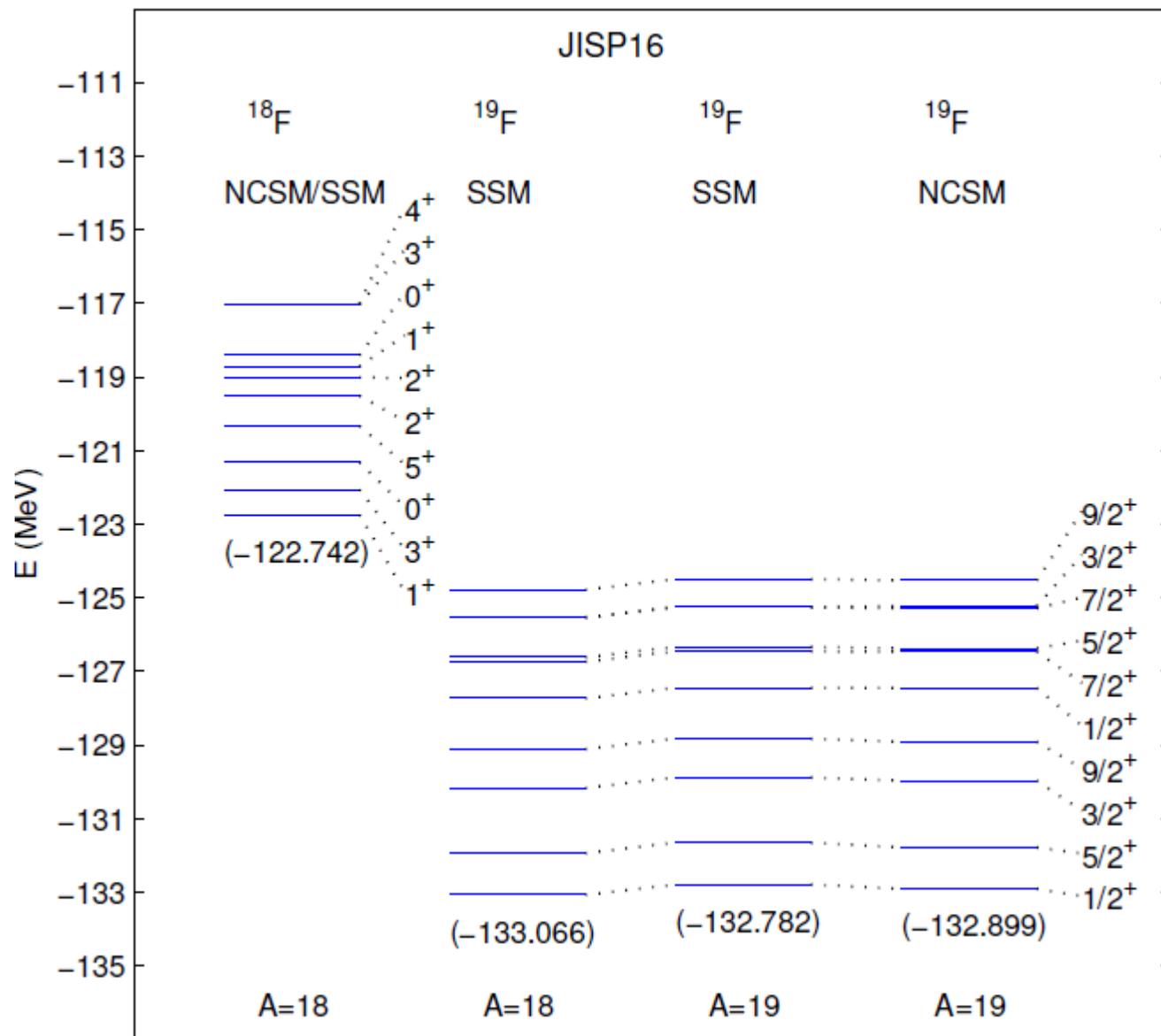
-130.056 from G.R. Jansen  
et al. PRL 113,  
142502 (2014)

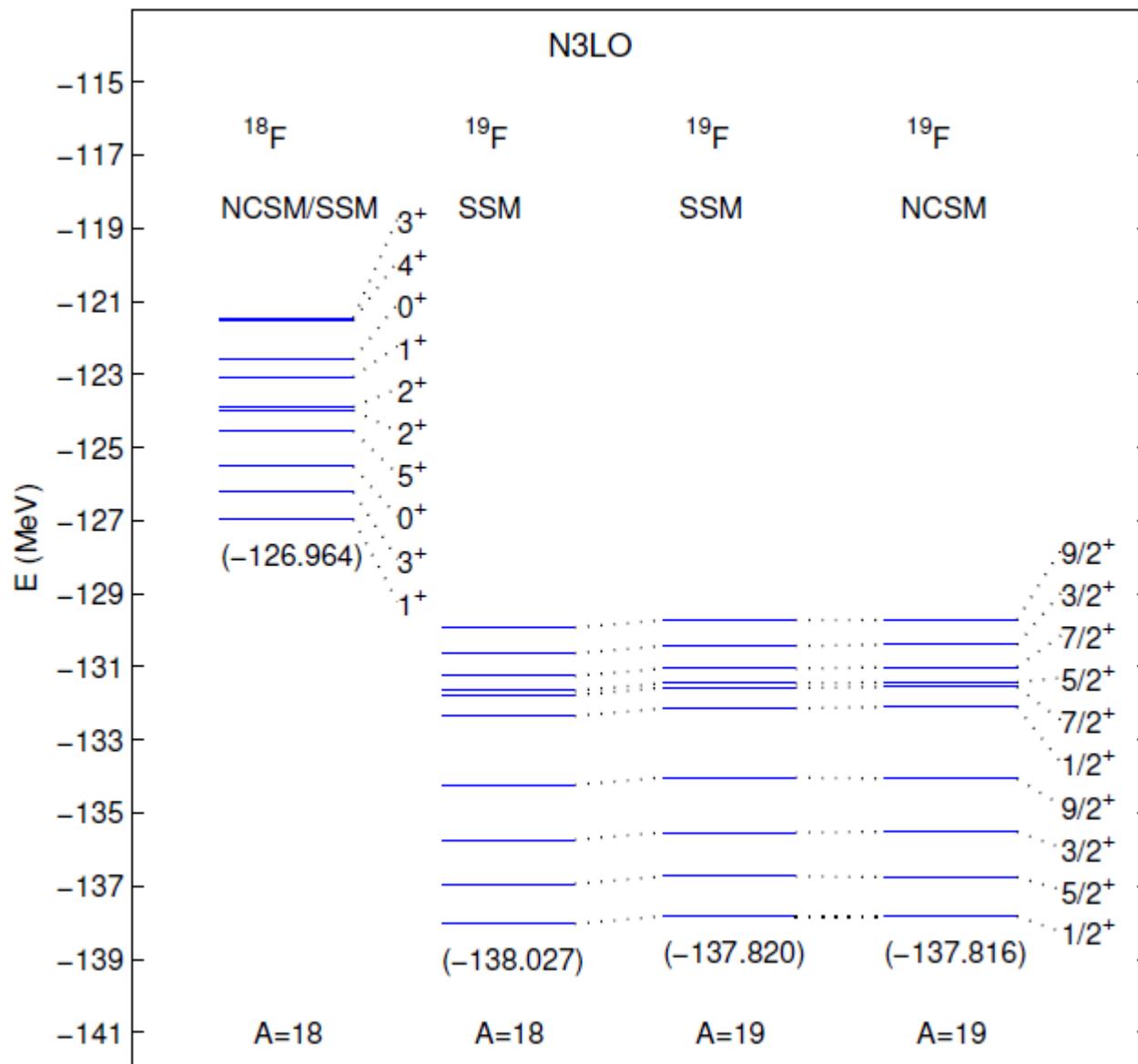
IM-SRG,  $E_{\text{core}}$ : -130.132  
Idaho NN N3LO + 3N N2LO

-129.637 from H. Hergert  
private comm.



Comparison of 2-body effective matrix elements in the sd-shell:  
**JISP16 vs USDA by Alex Brown et al.**





# Summary

Perform a converged NCSM calculation with a NN or NN+NNN interaction for a closed core + 2 valence nucleon system.

An OLS transformation of the results of the above NCSM calculation into a single major shell allows one to obtain core and single-particle energies and two-body residual matrix elements appropriate for shell model calculations in that shell, which have only a weak  $A$ -dependence.

The core and single-particle energies and two-body residual matrix elements obtained by this procedure can be used in Standard Shell Model calculations in the  $sd$ -shell, yielding results in good agreement with the full space NCSM results. The core and s.p. energies + 2-body effective interactions for  $A=18$  give also good results for  $A=19$  and  $20$ .

Additional calculations are being performed with other NN interactions and for heavier nuclei in the  $sd$ -shell.



# No-Core Shell-Model Approach

- Start with the purely intrinsic Hamiltonian

$$H_A = T_{rel} + \mathcal{V} = \frac{1}{A} \sum_{i < j=1}^A \frac{(\vec{p}_i - \vec{p}_j)^2}{2m} + \sum_{i < j=1}^A V_{NN} \left( + \sum_{i < j < k}^A V_{ijk}^{3b} \right)$$

**Note:** There are no phenomenological s.p. energies!

Can use any  
NN potentials

**Coordinate** space: Argonne V8', AV18  
Nijmegen I, II

**Momentum** space: CD Bonn, EFT Idaho

# No-Core Shell-Model Approach

- Next, add CM harmonic-oscillator Hamiltonian

$$H_{CM}^{HO} = \frac{\vec{P}^2}{2Am} + \frac{1}{2}Am\Omega^2\vec{R}^2; \quad \vec{R} = \frac{1}{A}\sum_{i=1}^A\vec{r}_i, \quad \vec{P} = Am\dot{\vec{R}}$$

To  $H_A$ , yielding

$$H_A^\Omega = \sum_{i=1}^A \left[ \frac{\vec{p}_i^2}{2m} + \frac{1}{2}m\Omega^2\vec{r}_i^2 \right] + \underbrace{\sum_{i<j=1}^A \left[ V_{NN}(\vec{r}_i - \vec{r}_j) - \frac{m\Omega^2}{2A}(\vec{r}_i - \vec{r}_j)^2 \right]}_{V_{ij}}$$

Defines a basis (*i.e.* **HO**) for evaluating  $V_{ij}$

# Effective Hamiltonian for NCSM

Solving

$$\mathbf{H}_{A,a=2}^{\Omega} \Psi_{a=2} = \mathbf{E}_{A,a=2}^{\Omega} \Psi_{a=2}$$

in "infinite space"  $2n+1 = 450$   
relative coordinates

$P + Q = 1$ ;  $P$  – model space;  $Q$  – excluded space;

$$E_{A,2}^{\Omega} = U_2 H_{A,2}^{\Omega} U_2^{\dagger}$$

$$U_2 = \begin{pmatrix} U_{2,P} & U_{2,PQ} \\ U_{2,QP} & U_{2,Q} \end{pmatrix} \quad E_{A,2}^{\Omega} = \begin{pmatrix} E_{A,2,P}^{\Omega} & 0 \\ 0 & E_{A,2,Q}^{\Omega} \end{pmatrix}$$

$$H_{A,2}^{N_{\max}, \Omega, \text{eff}} = \frac{U_{2,P}^{\dagger}}{\sqrt{U_{2,P}^{\dagger} U_{2,P}}} E_{A,2,P}^{\Omega} \frac{U_{2,P}}{\sqrt{U_{2,P}^{\dagger} U_{2,P}}}$$

Two ways of convergence:

1) For  $P \rightarrow 1$  and fixed  $a$ :  $\widetilde{H}_{A,a=2}^{\text{eff}} \rightarrow H_A$

2) For  $a \rightarrow A$  and fixed  $P$ :  $\widetilde{H}_{A,a}^{\text{eff}} \rightarrow H_A$