

TRIUMF Theory Workshop (Feb. 17 – 20, 2015)  
Progress in Ab Initio Techniques in Nuclear Physics

# Progress in the no-core Monte Carlo shell model in light nuclei

Takashi Abe (U of Tokyo)

TRIUMF

Feb. 17 – 20, 2015

# Ab initio approaches

- Major challenge of nuclear physics
  - Understand the nuclear structure & reactions from *ab-initio* calculations w/ realistic **nuclear forces (potentials)**
  - *ab-initio* approaches in nuclear structure physics ( $A > 4$ ):
    - GFMC, NCSM** ( $A \sim 12-16$ ), **CC** (sub-shell closure +/- 1,2),  
Self-consistent Green's Function theory, IM-SRG, Lattice EFT, ...

➔ demand for extensive computational resources

- ✓ *ab-initio*(-like) SM approaches (which attempt to go) beyond standard methods
  - IT-NCSM, IT-CI: R. Roth (TU Darmstadt), P. Navratil (TRIUMF), ...
  - SA-NCSM: T. Dytrych, J.P. Draayer (Louisiana State U), ...
  - **No-Core Monte Carlo Shell Model (MCSM)**

# Shell model (Configuration Interaction, CI)

- Eigenvalue problem of large sparse Hamiltonian matrix

$$H|\Psi\rangle = E|\Psi\rangle$$

$$\begin{pmatrix} H_{11} & H_{12} & H_{13} & H_{14} & H_{15} & \cdots \\ H_{21} & H_{22} & H_{23} & H_{24} & & \\ H_{31} & H_{32} & H_{33} & & & \\ H_{41} & H_{43} & & \ddots & & \\ H_{51} & & & & & \\ \vdots & & & & & \end{pmatrix} \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \\ \Psi_4 \\ \Psi_5 \\ \vdots \end{pmatrix} = \begin{pmatrix} E_1 & & & & & 0 \\ & E_2 & & & & \\ & & E_3 & & & \\ & & & \ddots & & \\ & & & & & \\ 0 & & & & & \end{pmatrix} \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \\ \Psi_4 \\ \Psi_5 \\ \vdots \end{pmatrix}$$

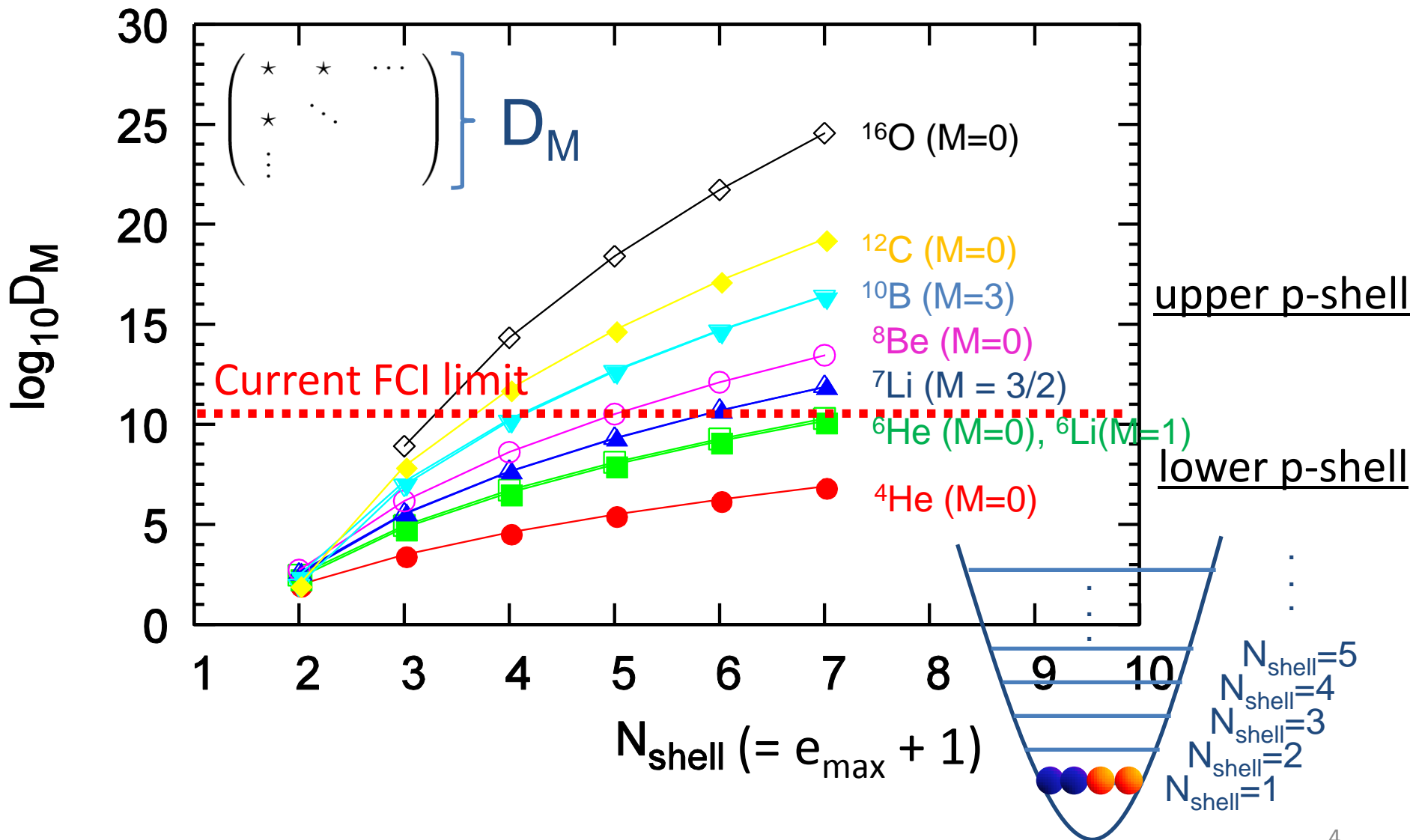
Large sparse matrix (in M-scheme)

$$\sim \mathcal{O}(10^{10}) \quad \# \text{ non-zero MEs} \\ \sim \mathcal{O}(10^{13-14})$$

$$\left\{ \begin{array}{l} |\Psi_1\rangle = a_\alpha^\dagger a_\beta^\dagger a_\gamma^\dagger \cdots |-\rangle \\ |\Psi_2\rangle = a_{\alpha'}^\dagger a_{\beta'}^\dagger a_{\gamma'}^\dagger \cdots |-\rangle \\ |\Psi_3\rangle = \cdots \\ \vdots \end{array} \right.$$

# M-scheme dimension in $N_{\text{shell}}$ truncation

No-core calculations



# Monte Carlo shell model (MCSM)

- Importance truncation

## Standard shell model

$$\mathbf{H} = \begin{pmatrix} * & * & * & * & * & \cdots \\ * & * & * & * & & \\ * & * & * & & & \\ * & * & & \ddots & & \\ * & & & & & \\ \vdots & & & & & \end{pmatrix}$$

All Slater determinants

Diagonalization

$$\begin{pmatrix} E_0 & & & & & 0 \\ & E_1 & & & & \\ & & E_2 & & & \\ & & & \ddots & & \\ & & & & & \\ 0 & & & & & \end{pmatrix}$$

$d > O(10^{10})$

## Monte Carlo shell model

$$\mathbf{H} \sim \begin{pmatrix} * & * & \cdots \\ * & \ddots & \\ \vdots & & \end{pmatrix}$$

Important bases stochastically selected

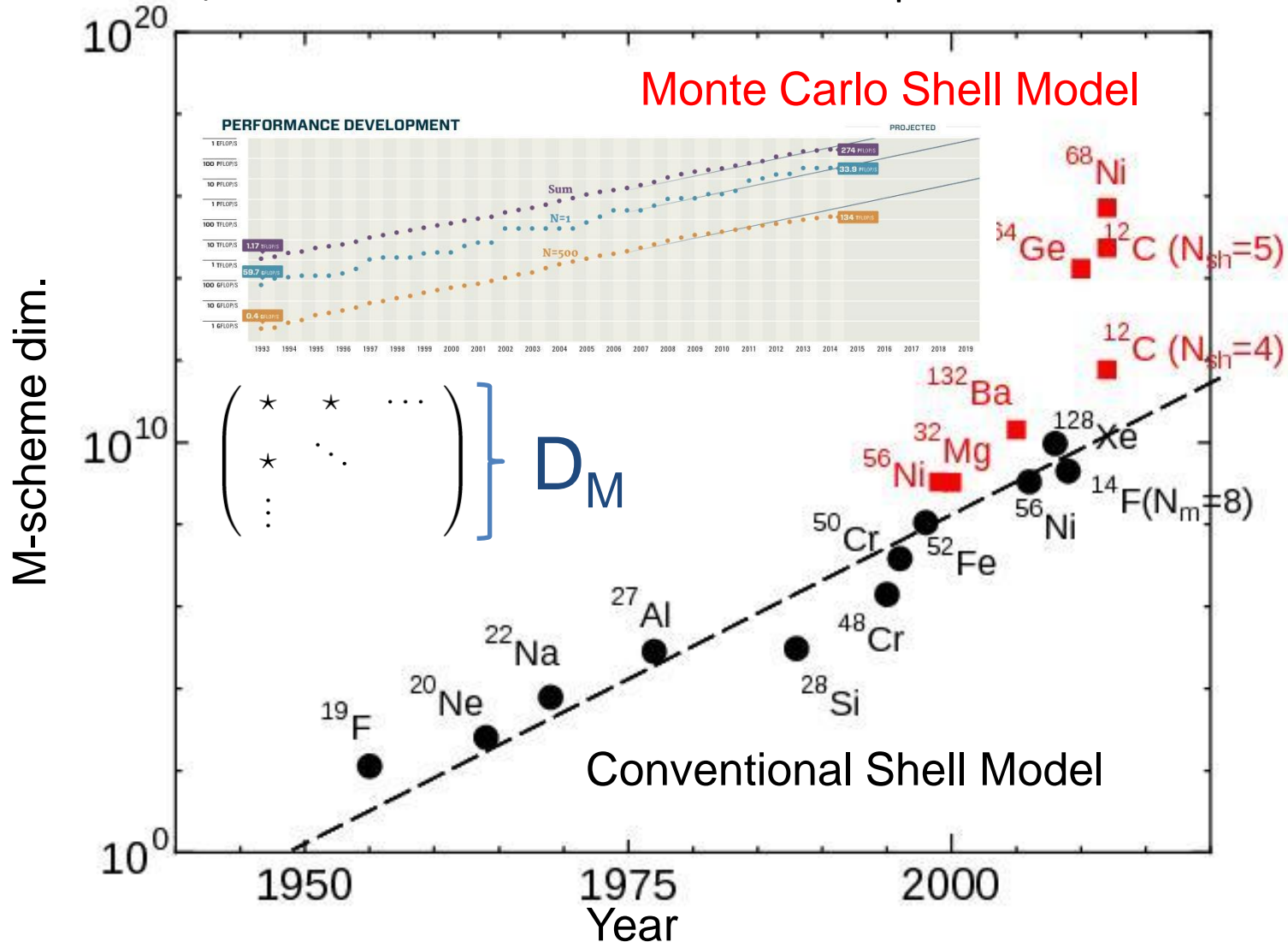
Diagonalization

$$\begin{pmatrix} E'_0 & & 0 \\ & E'_1 & \\ 0 & & \ddots \end{pmatrix}$$

$d_{\text{MCSM}} \sim O(100)$

# Historical evolution/development of the MCSM

- MCSM w/ an assumed inert core is one of the powerful shell model algorithms.



# SM Hamiltonian & MCSM many-body w.f.

- 2nd-quantized non-rel. Hamiltonian (up to 2-body term, so far)

$$H = \sum_{\alpha\beta}^{N_{sps}} t_{\alpha\beta} c_{\alpha}^{\dagger} c_{\beta} + \frac{1}{4} \sum_{\alpha\beta\gamma\delta}^{N_{sps}} \bar{v}_{\alpha\beta\gamma\delta} c_{\alpha}^{\dagger} c_{\beta}^{\dagger} c_{\delta} c_{\gamma} \quad \bar{v}_{ijkl} = v_{ijkl} - v_{ijlk}$$

- Eigenvalue problem

$$H|\Psi(J, M, \pi)\rangle = E|\Psi(J, M, \pi)\rangle$$

- MCSM many-body wave function & basis function

$$|\Psi(J, M, \pi)\rangle = \sum_i^{N_{basis}} f_i |\Phi_i(J, M, \pi)\rangle \quad |\Phi(J, M, \pi)\rangle = \sum_K g_K P_{MK}^J P^{\pi} |\phi\rangle$$

These coeff. are obtained by the diagonalization.

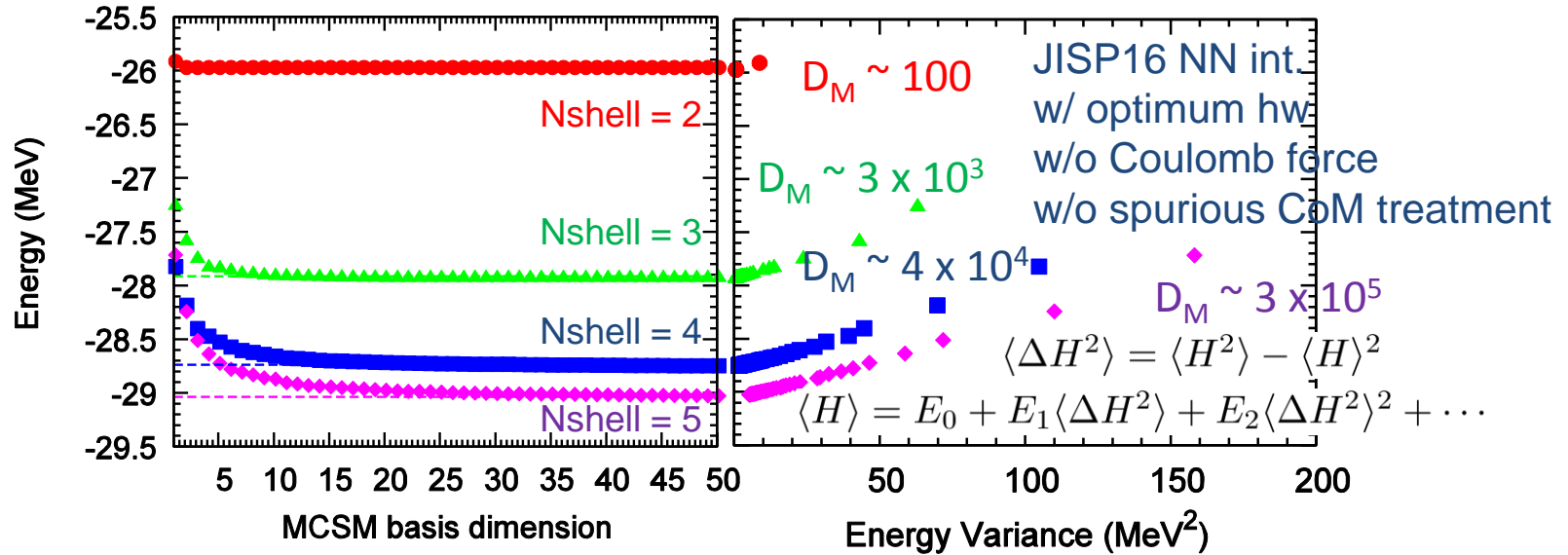
- Deformed SDs

$$|\phi\rangle = \prod_i^A a_i^{\dagger} |-\rangle \quad a_i^{\dagger} = \sum_{\alpha}^{N_{sps}} c_{\alpha}^{\dagger} D_{\alpha i} \quad (c_{\alpha}^{\dagger} \dots \text{spherical HO basis})$$

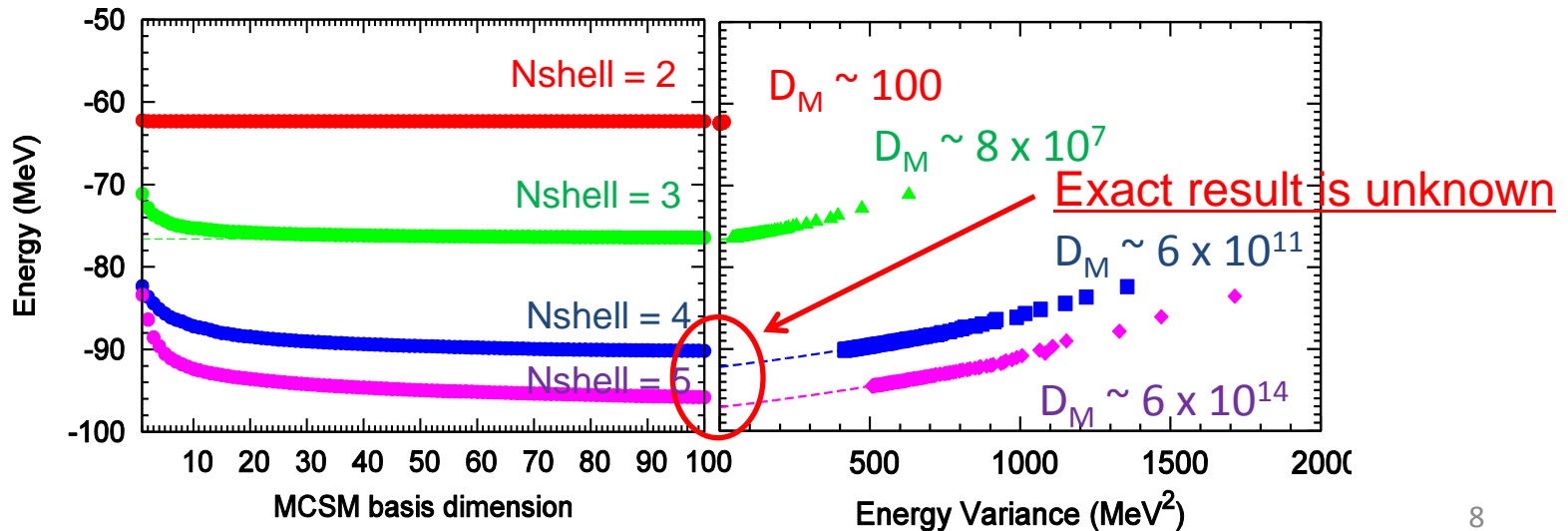
This coeff. is obtained by a stochastic sampling & CG.

# Energies wrt # of basis & energy variance

${}^4\text{He}(0^+; \text{gs})$



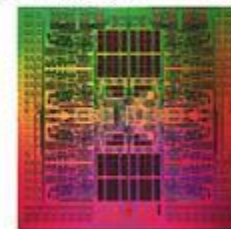
${}^{12}\text{C}(0^+; \text{gs})$





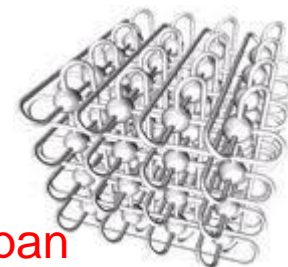
# Recent developments in the MCSM

- Energy minimization by the CG method
  - N. Shimizu, Y. Utsuno, T. Mizusaki, M. Honma, Y. Tsunoda & T. Otsuka, Phys. Rev. C85, 054301 (2012) ~ 30% reduction of # basis
- Efficient computation of TBMEs
  - Y. Utsuno, N. Shimizu, T. Otsuka & T. Abe, Compt. Phys. Comm. 184, 102 (2013) ~ 80% of the peak performance
- Energy variance extrapolation ( ~ 10-20% in the old MCSM )
  - N. Shimizu, Y. Utsuno, T. Mizusaki, T. Otsuka, T. Abe & M. Honma, Phys. Rev. C82, 061305 (2010)  
Evaluation of exact eigenvalue w/ error estimate
- Summary of recent MCSM developments
  - N. Shimizu, T. Abe, Y. Tsunoda, Y. Utsuno, T. Yoshida, T. Mizusaki, M. Honma, T. Otsuka, Prog. Theor. Exp. Phys. 01A205 (2012)



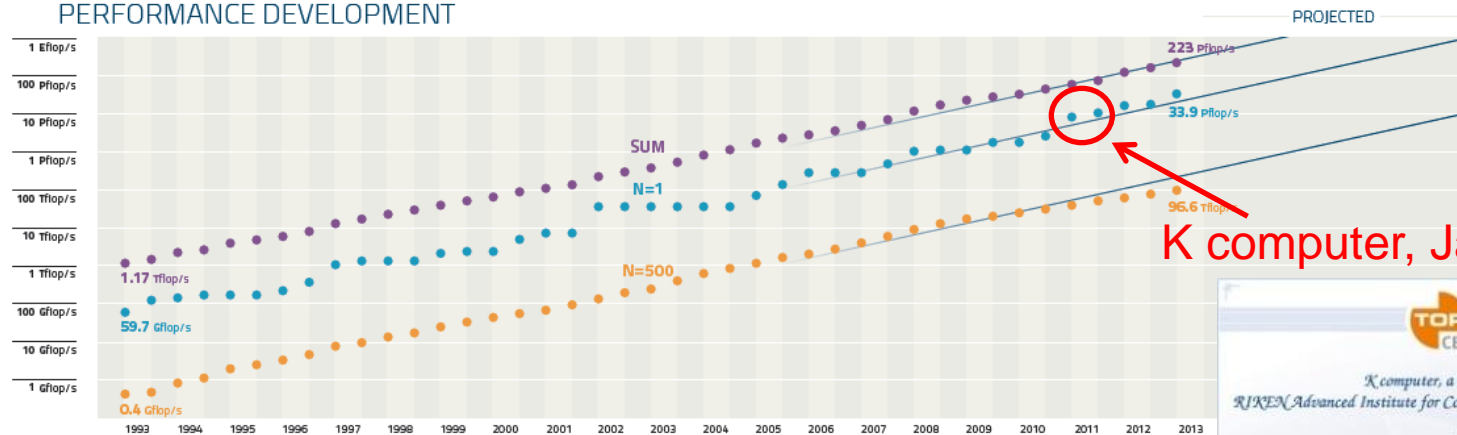
128 GFLOPS/CPU  
(8 cores/CPU)

Tofu inter-connection  
6D Mesh/Torus



NAME	SPECS	SITE	COUNTRY	CORES	R <sub>MAX</sub> P <sub>FLOP</sub> /s	POWER MW
1 Tianhe-2 (Milkyway-2)	NUDT, Intel Ivy Bridge (12C, 2.2 GHz) & Xeon Phi (57C, 1.1 GHz), Custom interconnect	NUDT	China	3,120,000	33.9	17.8
2 Titan	Cray XK7, Opteron 6274 (16C, 2.2 GHz) + Nvidia Kepler (14C, .732 GHz), Custom Interconnect	DOE/SC/ORNL	USA	560,640	17.6	8.3
3 Sequoia	IBM BlueGene/Q, Power BQC (16C, 1.60 GHz), Custom interconnect	DOE/NNSA/LLNL	USA	1,572,864	17.2	7.9
4 <b>K computer</b>	Fujitsu SPARC64 VIIIfx (8C, 2.0GHz), Custom interconnect	RIKEN AICS	Japan	705,024	10.5	12.7
5 Mira	IBM BlueGene/Q, Power BQC (16C, 1.60 GHz), Custom interconnect	DOE/SC/ANL	USA	786,432	8.16	3.95

### PERFORMANCE DEVELOPMENT



K computer, Japan



## HPCI Strategic Program Field 5 "The origin of matter and the universe"



Lattice QCD

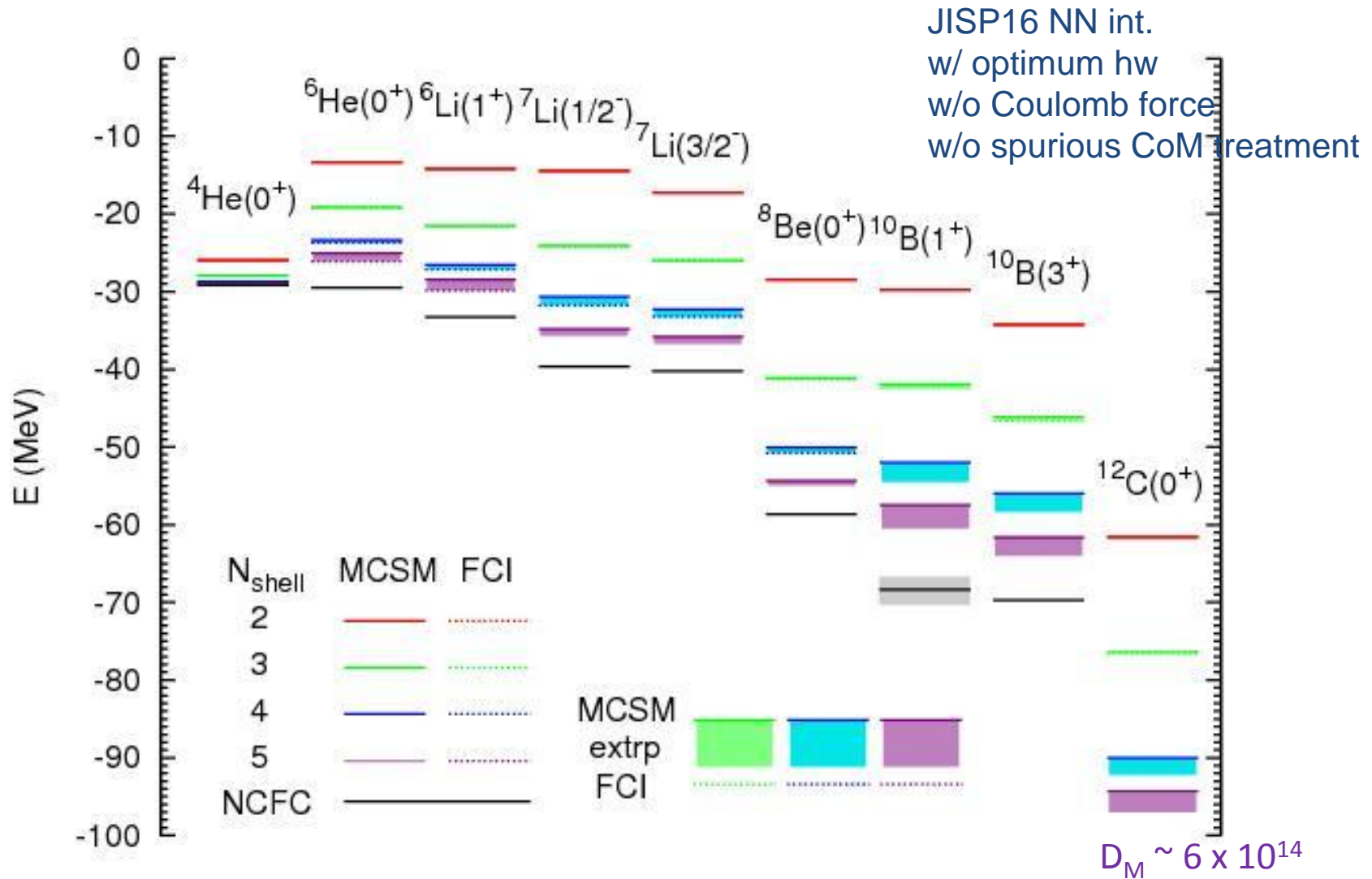
**Nucleus**

Supernova Explosion

Early Star Formation



# Energies of the Light Nuclei



Some MCSM results are not reachable in the current FCI

# Extrapolations in the no-core MCSM

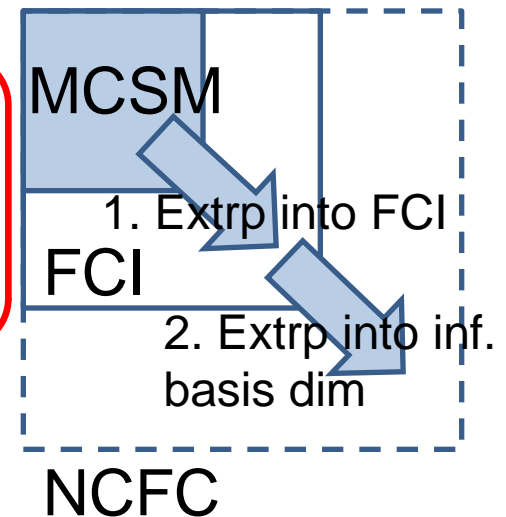
- Two steps of the extrapolation
  1. Extrapolation of our MCSM (approx.) results to the FCI (exact) results in fixed model space

## Energy-variance extrapolation

N. Shimizu, Y. Utsuno, T. Mizusaki, T. Otsuka, T. Abe, & M. Honma, Phys. Rev. C82, 061305(R) (2010)

## 2. Extrapolation into the infinite model space

- Exponential fit w.r.t.  $N_{\max}$  in the NCFC
- IR- & UV-cutoff extrapolations



# Extrapolation to the infinite basis space

- Two ways of the extrapolation to the infinite basis space

1. Traditional exponential form (w/ fixed  $hw$ )

$$E(N) = E(N = \infty) + a \exp(-bN)$$

P. Maris, A. M. Shirokov, & J. P. Vary, Phys. Rev. C79, 014308 (2009)

2. Cutoff extrapolations

$(N, hw) \leftrightarrow (\lambda, \Lambda)$

- IR-cutoff extrapolation (w/ UV-saturated data)

$$E(\lambda) = E(\lambda = 0) + a \exp(-b/\lambda)$$

- IR- & UV-cutoff extrapolations (w/ any data, ideally)

$$E(\lambda, \Lambda) = E(\lambda = 0, \Lambda = \infty) + a \exp(-b/\lambda) + c \exp(-\Lambda^2/d^2)$$

S. A. Coon, M. I. Avetian, M. K. G. Kruse, U. van Kolck, P. Maris, J. P. Vary, Phys. Rev. C86, 054002 (2012)

S. A. Coon, arXiv:1303.6358

S. A. Coon, arXiv:1408.0738

R. J. Furnstahl, G. Hagen, T. Papenbrock, Phys. Rev. C86, 031301(R) (2012)

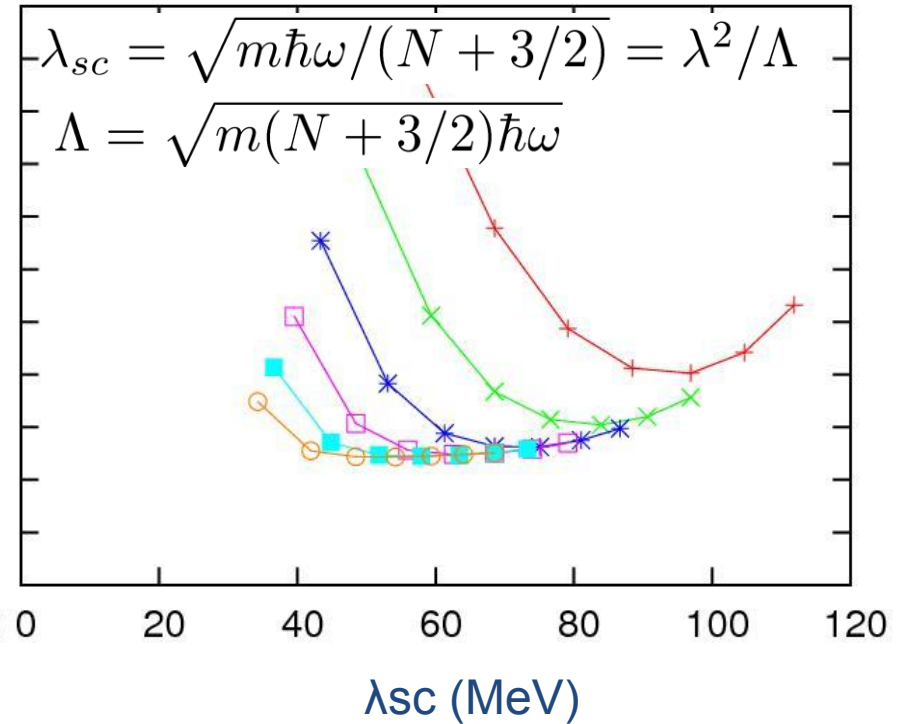
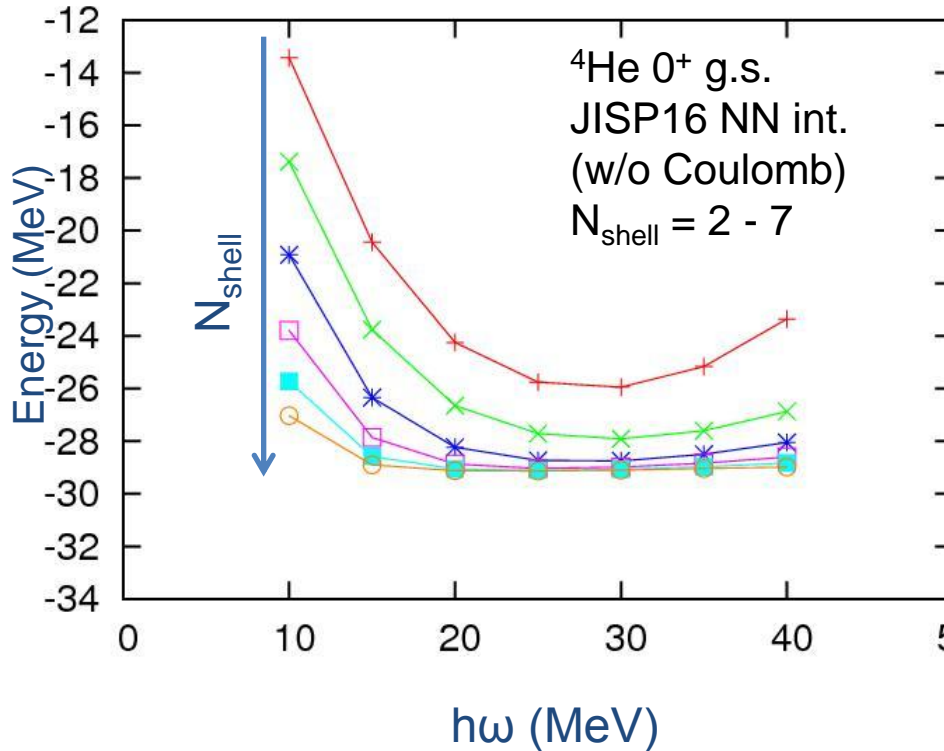
S. N. More, A. Ekstrom, R. J. Furnstahl, G. Hagen, T. Papenbrock, Phys. Rev. C87, 044326 (2013)

R. J. Furnstahl, S. N. More, T. Papenbrock, arXiv:1312.6876

R. J. Furnstahl, G. Hagen, T. Papenbrock, K. A. Wendt, arXiv:1408.0252

E. D. Jurgenson, P. Maris, R. J. Furnstahl, W. E. Ormand & J. P. Vary, Phys. Rev. C87, 054312 (2013)

# Traditional & IR-cutoff extrapolations

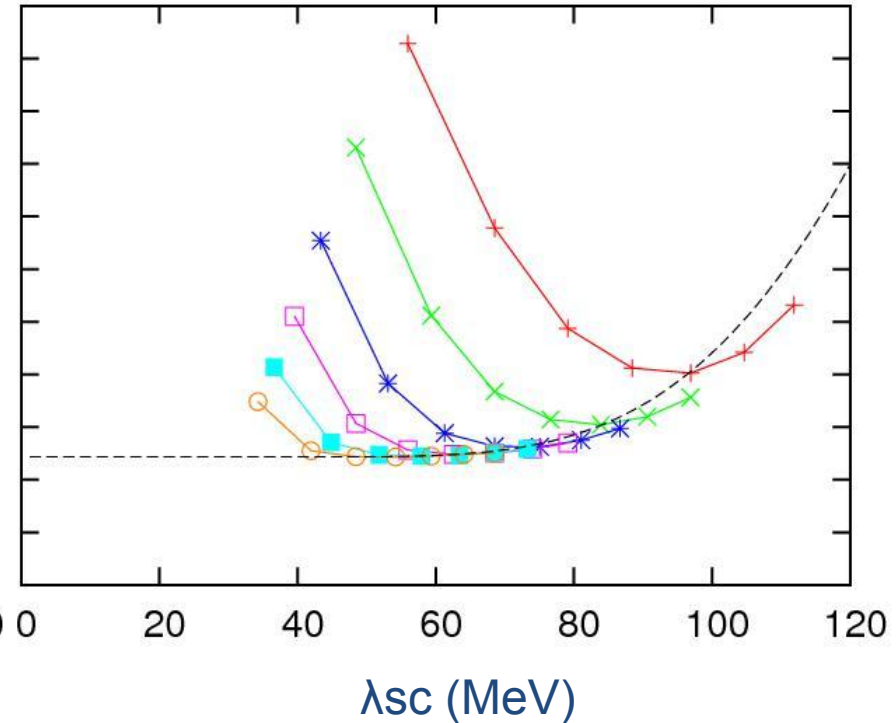
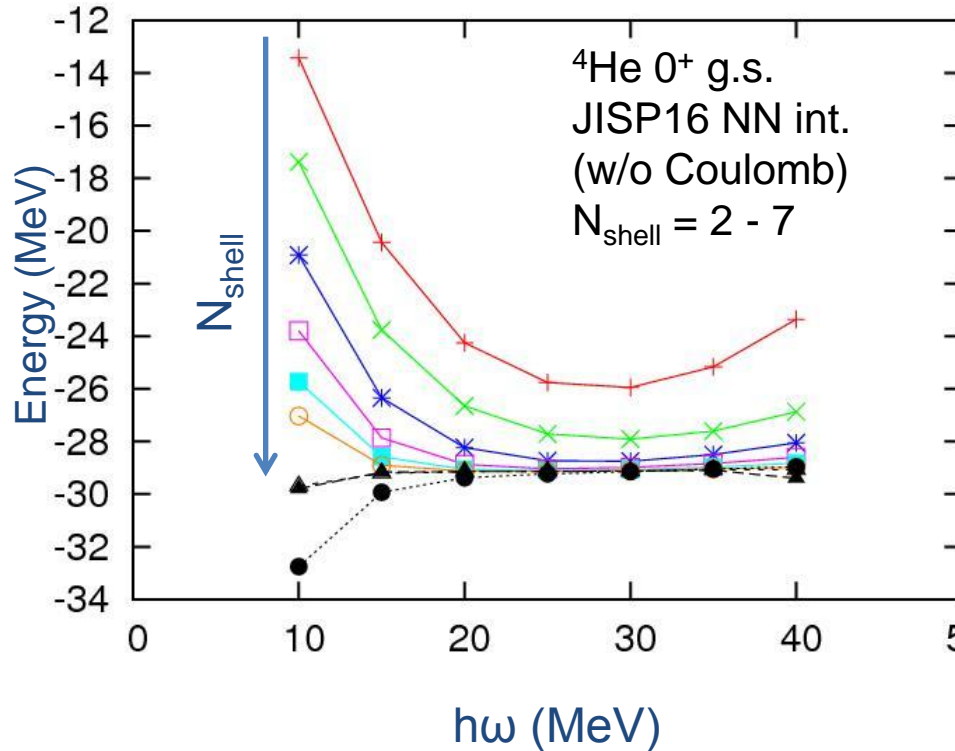


$( N_{\text{shell}}, h\omega ) \longleftrightarrow ( \Lambda, \lambda_{sc} )$

$\Lambda$ : UV cutoff     $\lambda_{sc}$ : IR cutoff

IR cutoff scaling w/ UV saturated data

# Traditional & IR-cutoff extrapolations



MCSM(traditional):  $-29.389 \sim -29.077$  MeV  
 ( $N_{\text{shell}} = 3 - 7$ ,  $hw = 15 - 35$  MeV)

**$O(100)$  keV error?**

MCSM(IR cutoff):  $\sim -29.142$  MeV  
 (w/ UV-saturated data)

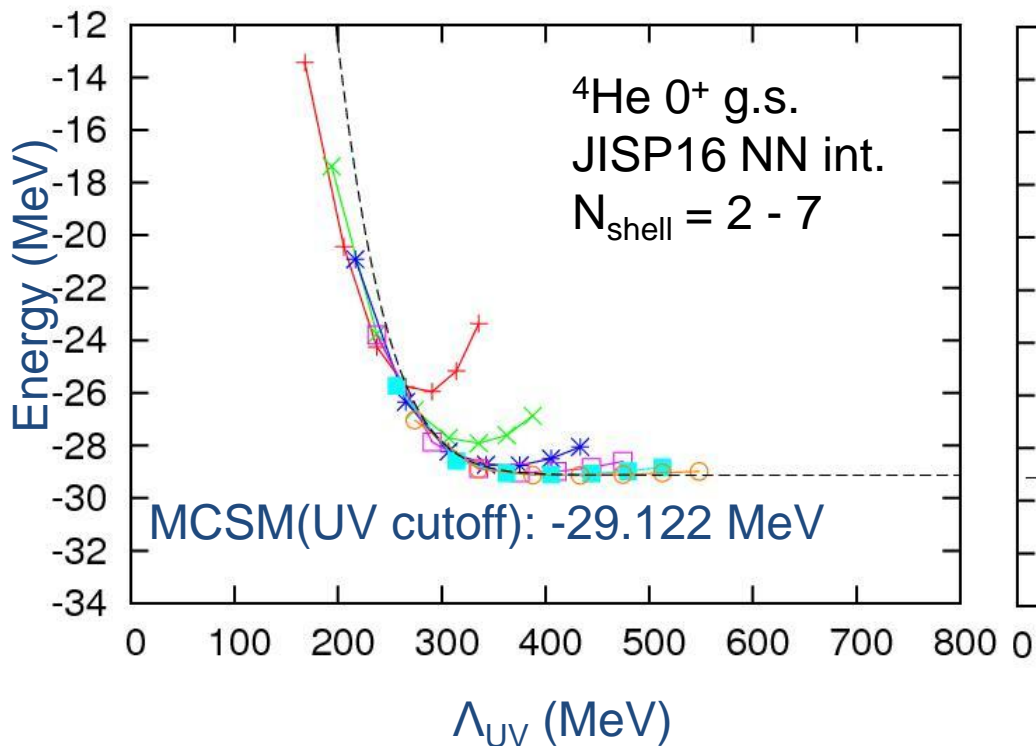
**$O(10)$  keV error?**

c.f.) NCFC:  $-29.164(2)$  MeV  
 Extrapolated results to infinite  $N_{\text{max}}$

**Error estimates are needed.**

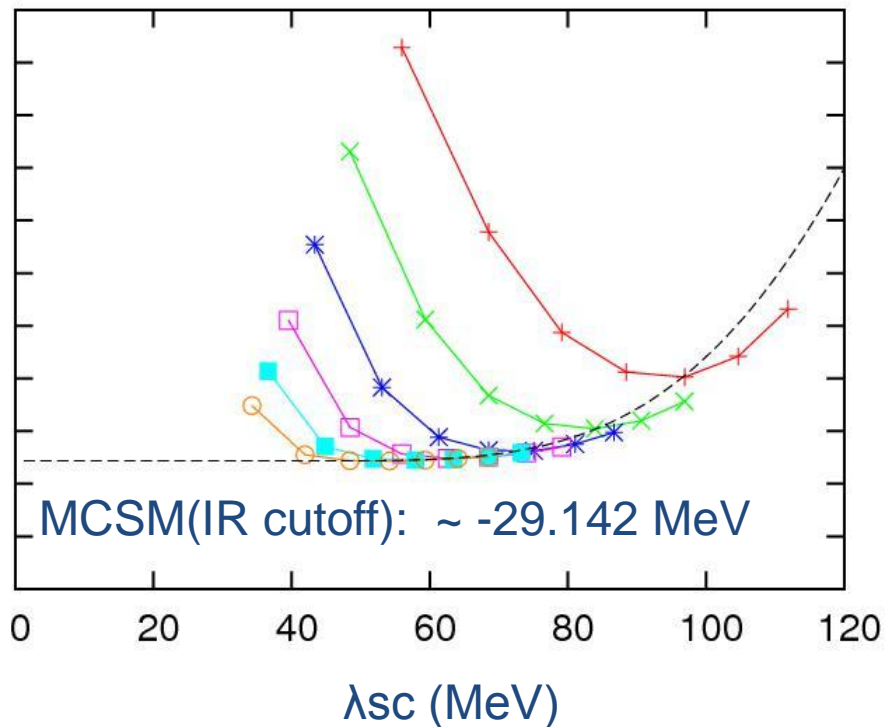
# UV-cutoff extrapolation

$$E(\Lambda) = E(\Lambda = \infty) + c \exp(-\Lambda^2/d^2)$$



# IR-cutoff extrapolation

$$E(\lambda) = E(\lambda = 0) + a \exp(-b/\lambda)$$



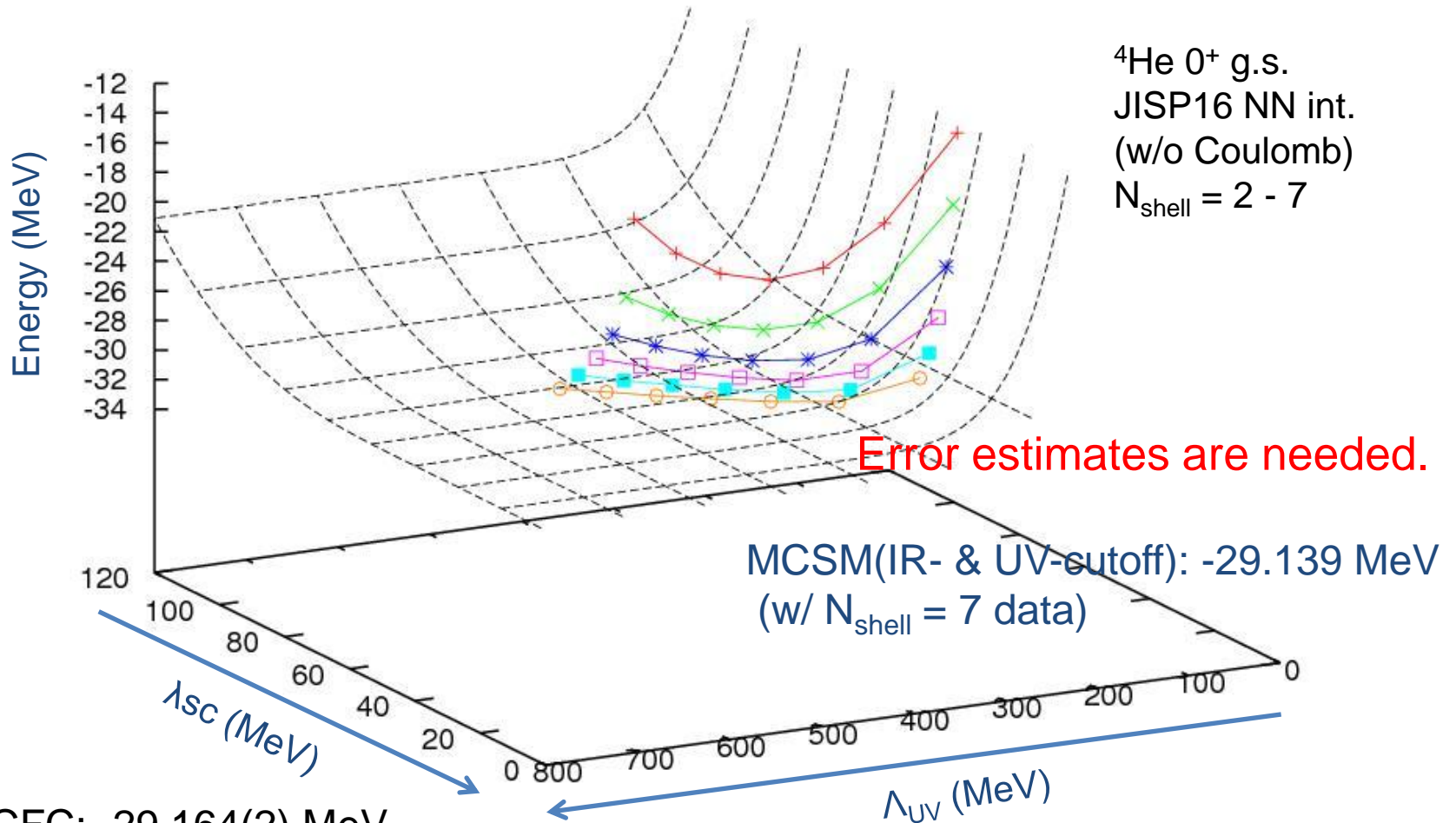
c.f.) NCFC: -29.164(2) MeV  
 Extrapolated results to infinite  $N_{\text{max}}$

on going:  ${}^8\text{Be}$ ,  ${}^{12}\text{C}$ ,  ${}^{16}\text{O}$ , ...



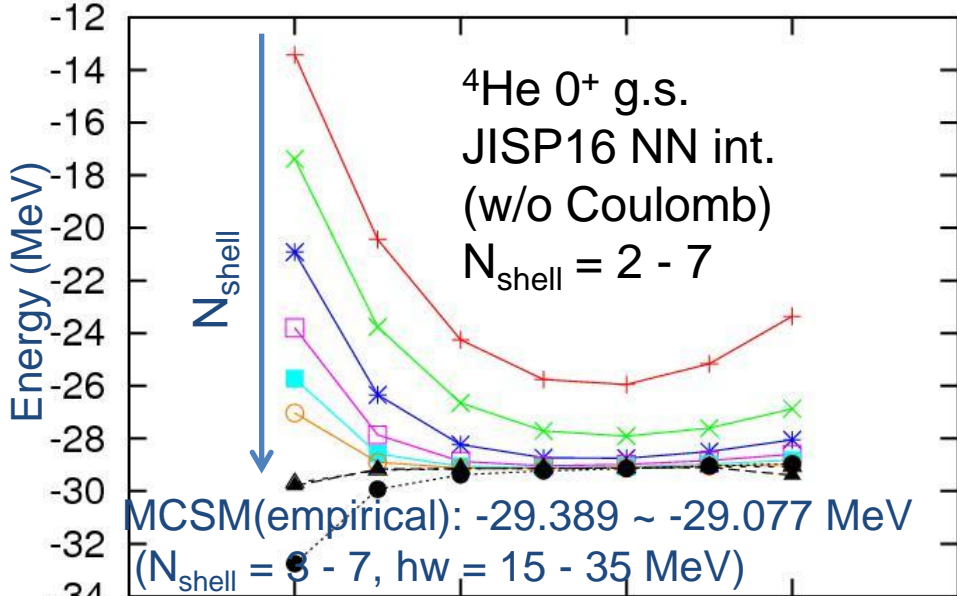
# IR- & UV-cutoff extrapolation

$$E(\lambda, \Lambda) = E(\lambda = 0, \Lambda = \infty) + a \exp(-b/\lambda) + c \exp(-\Lambda^2/d^2)$$

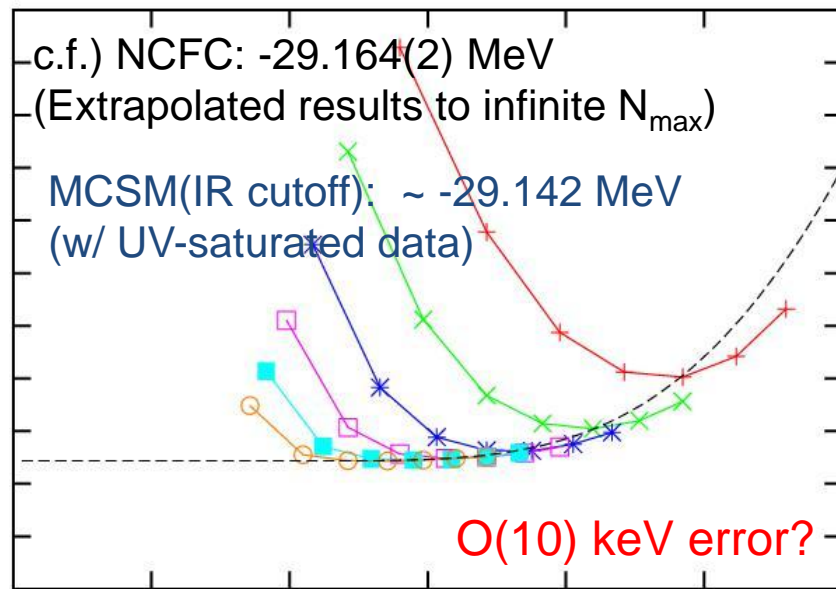


c.f.) NCFC: -29.164(2) MeV  
Extrapolated results to infinite N<sub>max</sub>

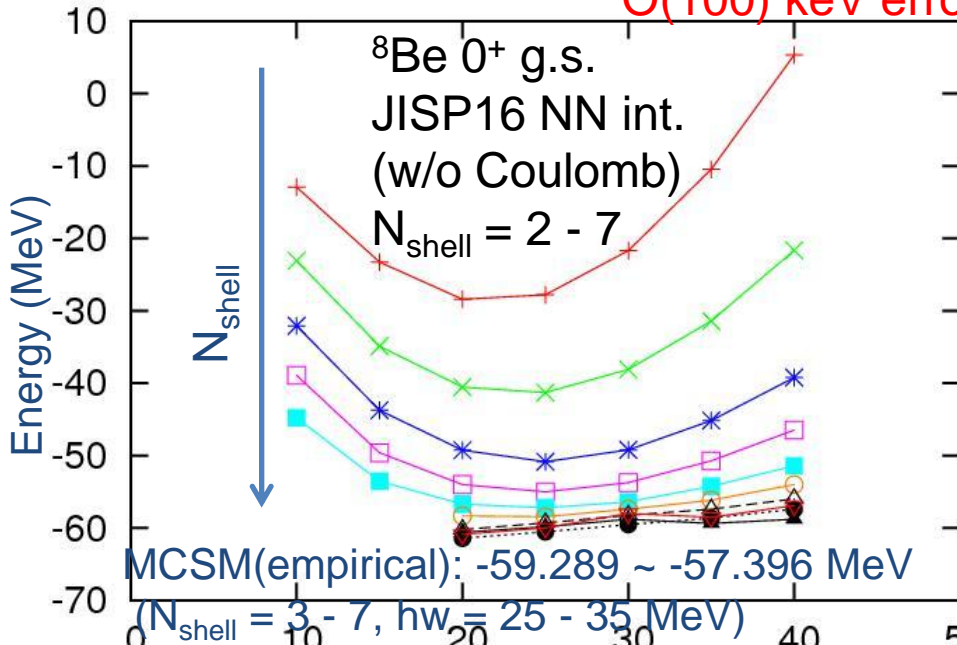
on going: <sup>8</sup>Be, <sup>12</sup>C, <sup>16</sup>O, ...



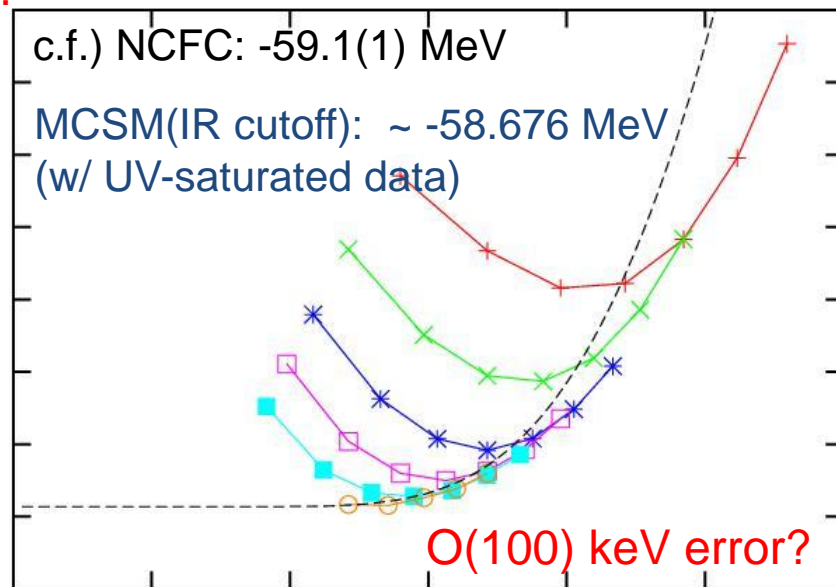
$O(100)$  keV error?



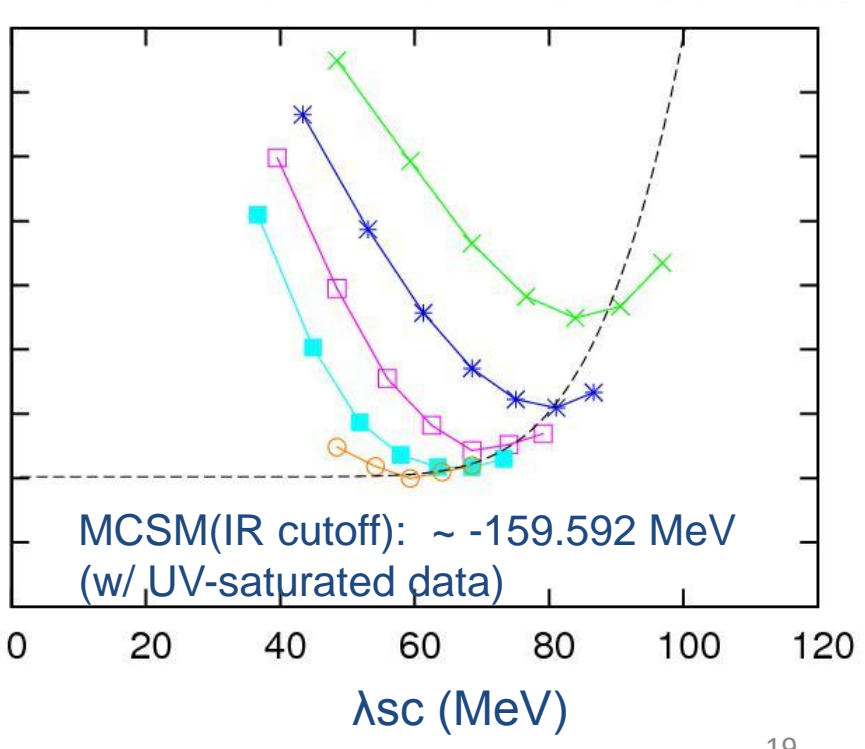
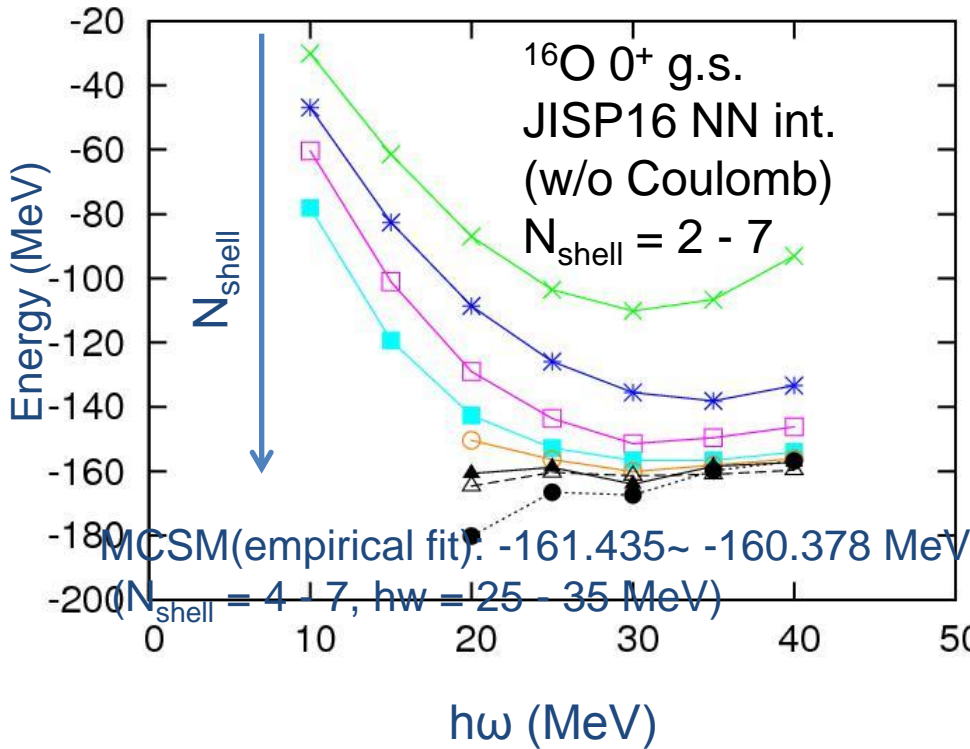
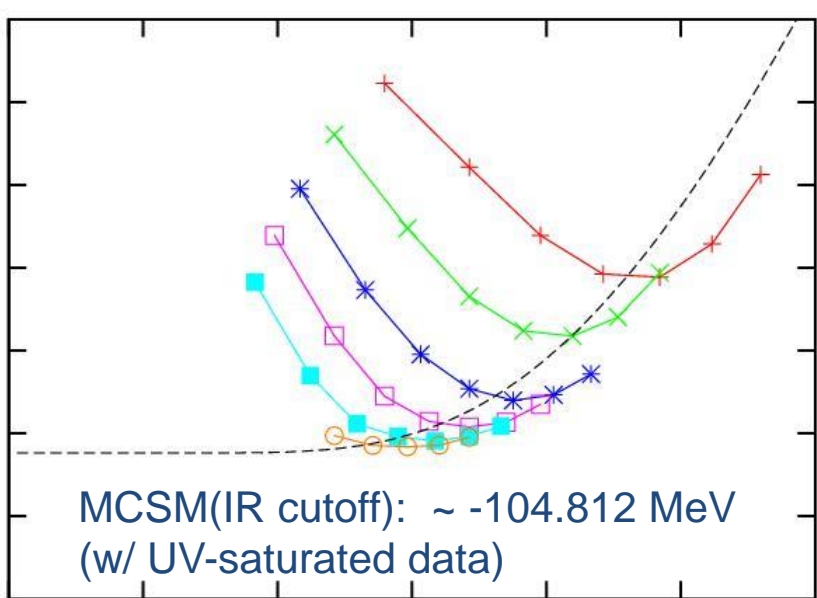
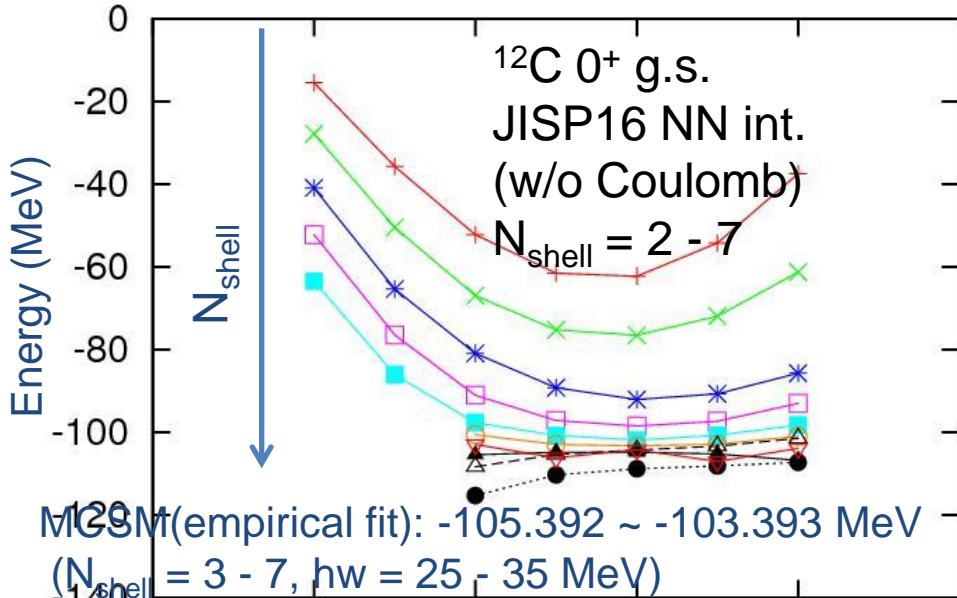
$O(10)$  keV error?



$O(1)$  MeV error?



$O(100)$  keV error?



# Effective 2N force from 3N force

w/ M. Kohno

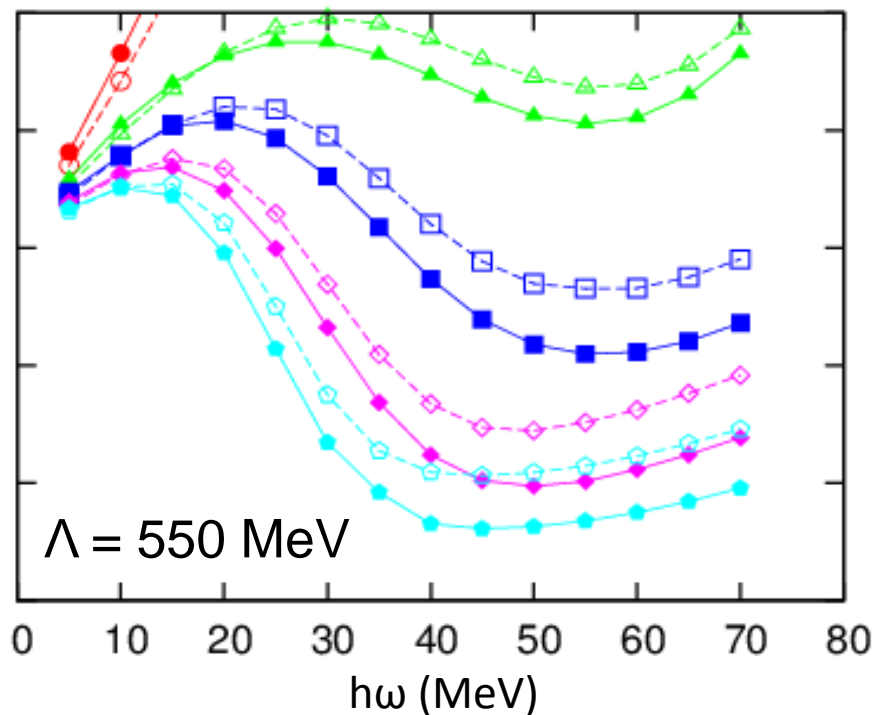
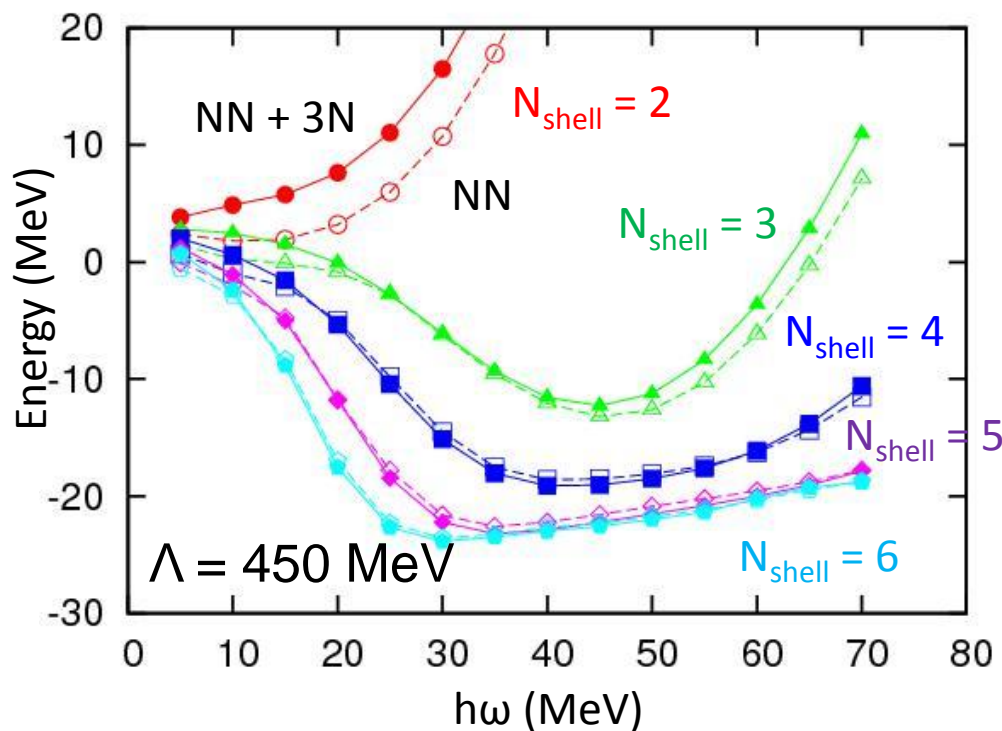
$^4\text{He } 0^+$  g.s. energy calculated by FCI & no-core MCSM w/  $\chi\text{EFT N3LO NN}$  (+ “N2LO 3N”) potential

Effective 2N potential from initial 3N potential in momentum space

$$\langle k'_1, k'_2 | V_{12(3)} | k_1, k_2 \rangle_A \equiv \sum_{k_3} \langle k'_1, k'_2, k_3 | V_{123} | k_1, k_2, k_3 \rangle_A,$$

A: antisymmetrized matrix element

$$\begin{aligned} & \frac{1}{2} \sum_{k_1 k_2} \langle k_1 k_2 | V_{12} | k_1 k_2 \rangle_A + \frac{1}{3!} \sum_{k_1 k_2 k_3} \langle k_1 k_2 k_3 | V_{123} | k_1 k_2 k_3 \rangle_A \\ &= \frac{1}{2} \sum_{k_1 k_2} \langle k_1 k_2 | V_{12} + \frac{1}{3} V_{12(3)} | k_1 k_2 \rangle_A. \end{aligned}$$



Energies with 3NF in the different cutoff scales are consistent in a sufficiently large basis space

# Density distribution from ab initio calc.

- Green's function Monte Carlo (GFMC)

- "Intrinsic" density is constructed by aligning the moment of inertia among samples

R. B. Wiringa, S. C. Pieper, J. Carlson, & V. R. Pandharipande, *Phys. Rev. C*62, 014001 (2000)

- No-core full configuration (NCFC)

- Translationally-invariant density is obtained by deconvoluting the intrinsic & CM w.f.
- C. Cockrell J. P. Vary & P. Maris, *Phys. Rev. C*86, 034325 (2012)

- Lattice EFT

- Triangle structure in carbon-12
- E. Epelbaum, H. Krebs, T. A. Lahde, D. Lee, & U.-G. Meissner, *Phys. Rev. Lett.* 109, 252501 (2012)

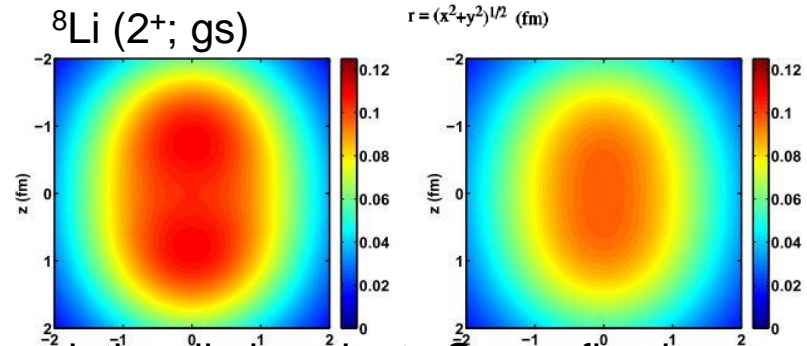
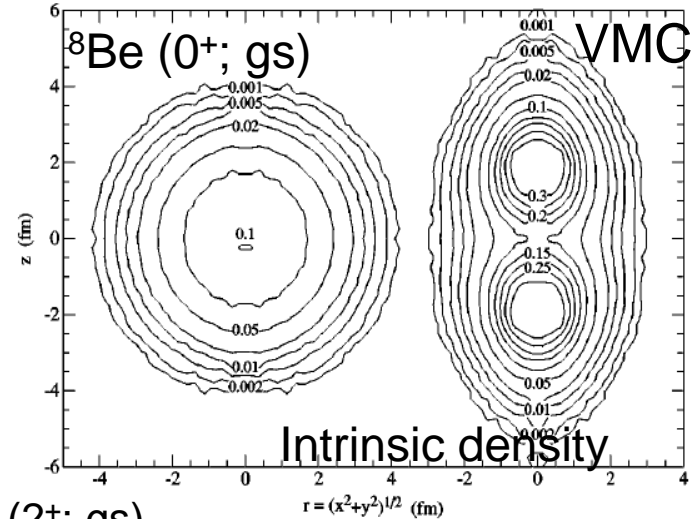
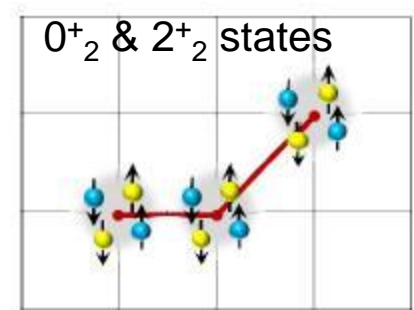
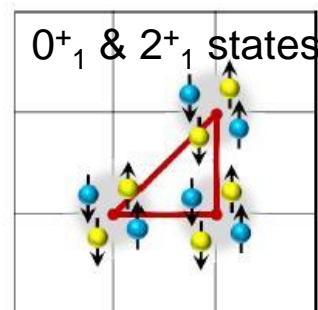


FIG. 12: (Color online) The  $y = 0$  slice of the translationally-invariant neutron density (left) of the  $2^+$  gs of  ${}^8\text{Li}$ . The space-fixed neutron density (right) is shown in the inset. These densities were calculated using the GFMC method.



# Density distribution in MCSM

T. Yoshida (CNS)

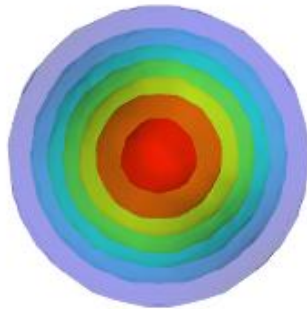
$$|\Phi\rangle = \sum_{i=1}^{N_{basis}} c_i |\Phi_i\rangle = c_1 \text{img}_1 + c_2 \text{img}_2 + c_3 \text{img}_3 + c_4 \text{img}_4 + \dots$$

Angular-momentum projection

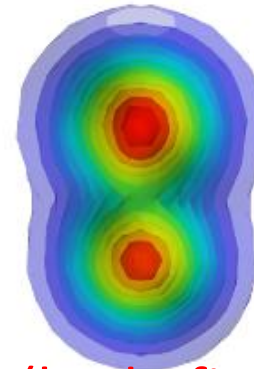
$$|\Psi\rangle = \sum_{i=1}^{N_{basis}} c_i P^J P^\pi |\Phi_i\rangle$$

Rotation of each basis  
by diagonalizing Q-moment

$$|\Phi'\rangle = \sum_{i=1}^{N_{basis}} c_i R(\Omega_i) |\Phi_i\rangle$$



$^8\text{Be } 0^+$  ground state



Laboratory frame

“Intrinsic” (body-fixed) frame

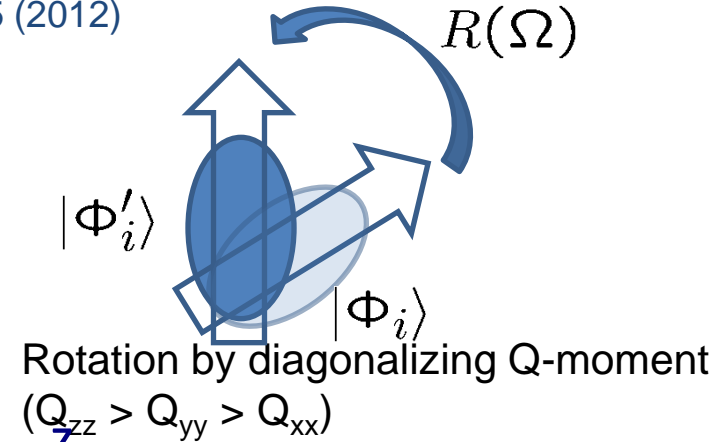
Densities in lab. & body-fixed frames can be constructed by MCSM

# How to construct an “intrinsic” density from MCSM w.f.

N. Shimizu, T. Abe, Y. Tsunoda, Y. Utsuno, T. Yoshida, T. Mizusaki, M. Honma, T. Otsuka,  
 Progress in Theoretical and Experimental Physics, 01A205 (2012)

- MCSM wave function

$$|\Psi\rangle = \sum_{i=1}^{N_{basis}} c_i P^J P^\pi |\Phi_i\rangle$$



- Wave function w/o the projections

$$\sum_{i=1}^{N_{basis}} c_i |\Phi_i\rangle = c_1 \text{ [diagonal plot]} + c_2 \text{ [diagonal plot]} + \dots + c_{N_{basis}} \text{ [diagonal plot]}$$

- Wave function w/o the projection w/ the alignment of Q-moment

$$\sum_{i=1}^{N_{basis}} c_i |\Phi'_i\rangle = c_1 \text{ [vertical plot]} + c_2 \text{ [vertical plot]} + \dots + c_{N_{basis}} \text{ [vertical plot]}$$

# Density distribution of g.s. of Be isotopes

( $N_{\text{shell}} = 4$ ,  $hw = 25$  MeV,  $\beta = 0$ , JISP16 NN w/o Coulomb)

T. Yoshida (CNS)

A = 8

A = 9

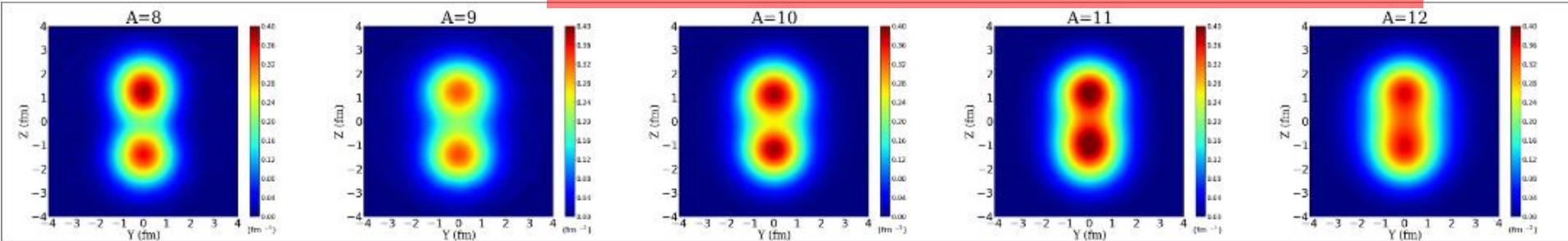
A = 10

A = 11

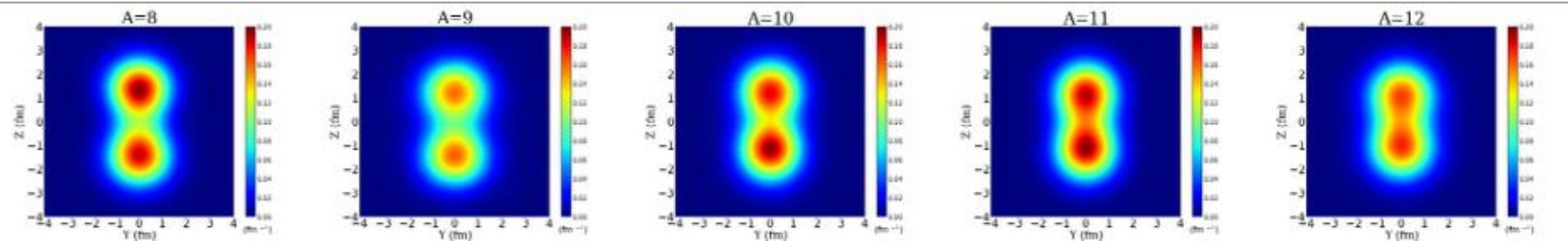
A = 12

Matter density

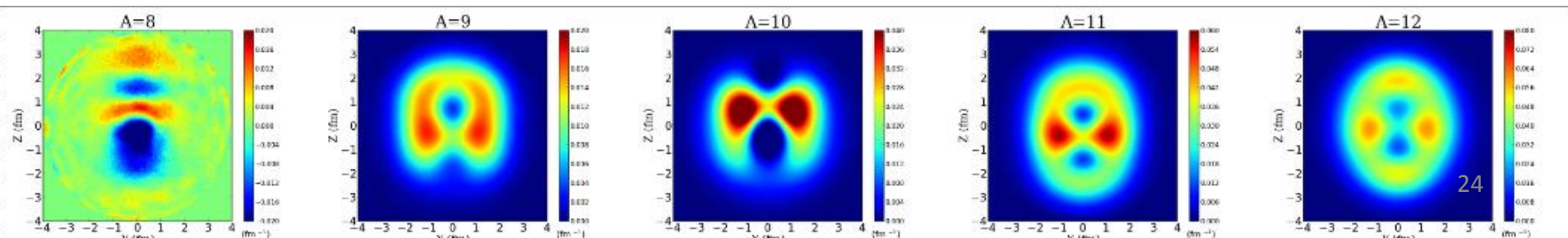
2- $\alpha$  structure is vanishing as A increases



Proton density



Neutron density – Proton density





# Summary

- MCSM can be applied to no-core calculations of the p-shell nuclei.
  - Benchmarks for the p-shell nuclei have been performed and gave good agreements w/ FCI results. Some results are obtained only by MCSM.
  - Extension to larger model spaces ( $N_{\text{shell}} = 6, 7, \dots$ ), extrapolation to infinite basis space, & comparison with another truncation ( $N_{\text{max}}$ )

# Perspective

- MCSM algorithm/computation
  - Error estimates of the extrapolations
  - Inclusion of the full 3-body force
  - GPGPU
- Physics
  - 3-alpha cluster states in  $^{12}\text{C}$
  - sd-shell nuclei

# Collaborators

- U of Tokyo
  - Takaharu Otsuka (Department of Physics & CNS)
  - Noritaka Shimizu (CNS)
  - Tooru Yoshida (CNS)
  - Yusuke Tsunoda (Department of Physics)
  - Takayuki Miyagi (Department of Physics)
- JAEA
  - Yutaka Utsuno
- Iowa State U
  - James P. Vary
  - Pieter Maris
- Kyushu Institute of Technology
  - Ryoji Okamoto
- RCNP, Osaka U
  - Michio Kohno