

# The shell model as an ab-initio tool

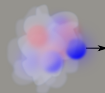
Ragnar Stroberg  
 TRIUMF

Progress in Ab Initio Techniques in Nuclear Physics

$$U = e^\eta$$



$$\frac{dH}{ds} = [\eta, H]$$



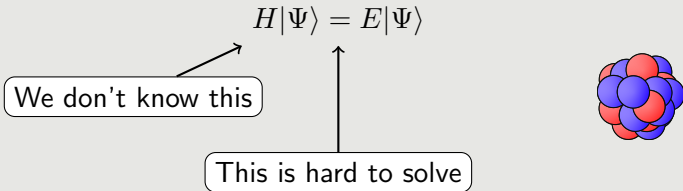
$$UOU^\dagger = \mathcal{O} + [\eta, \mathcal{O}] + \dots$$

# Outline

- Conceptual introduction to IM-SRG with shell model
- Applications to ground state energies
- Excited states, radii, deformation
- Evolved tensor operators (transitions, moments)

# Introduction

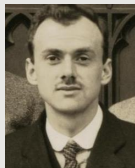
**Starting point:** non-relativistic Schrödinger equation with nucleons as our degrees of freedom.



- Effective theory  $\rightarrow H$  is scheme and scale dependent.
- Strongly-interacting system  $\rightarrow$  highly correlated  $\rightarrow$  hard to solve.

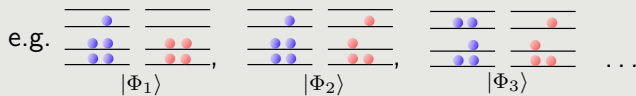
*The underlying physical laws necessary for the mathematical theory of a large part of physics and the whole of chemistry are thus completely known, and the difficulty is only that the exact application of these laws leads to equations much too complicated to be soluble.*

*–Paul Dirac, 1929*



# Introduction

**Straightforward approach:** Expand  $H$  in a convenient basis  $|\Phi_i\rangle$ ,



$$\begin{pmatrix} \langle \Phi_1 | H | \Phi_1 \rangle & \dots & \dots \\ \vdots & \langle \Phi_i | H | \Phi_j \rangle & \dots \\ \vdots & \vdots & \ddots \end{pmatrix} \xRightarrow{\text{diagonalize}} |\Psi\rangle = \sum_i c_i |\Phi_i\rangle$$

- Correlation manifested as superposition of configurations.
- Works well for light systems.
- Basis dimension  $\sim \frac{N!}{A!(N-A)!}$ , current limit on dimension  $\sim 10^{10}$ .
- $A \lesssim 12$  ( $A \lesssim 16$  with importance truncation).

# In-Medium Similarity Renormalization Group

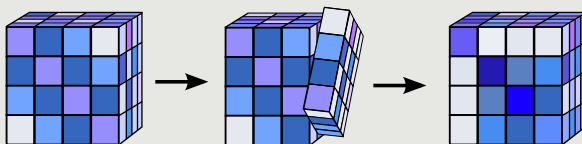
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$$\tilde{H} = U H U^\dagger$$

$$\tilde{H}|\Phi_0\rangle = E|\Phi_0\rangle$$

# IM-SRG

- $U$  may always be written as  $U = e^\eta$ , for some generator  $\eta$
- For two-level system,  $\eta = \begin{pmatrix} 0 & \theta \\ -\theta & 0 \end{pmatrix}$
- For our Hamiltonian, take  $\eta = \frac{1}{2} \text{atan} \left( \frac{2H_{od}}{\Delta} \right) - h.c.$



- Perform multiple rotations:  $U_N = e^{\eta_N} \dots e^{\eta_2} e^{\eta_1}$
- Iterate until  $\eta_N = 0$
- Infinitesimal rotation of angle  $ds \rightarrow \frac{dH(s)}{ds} = [\eta(s), H(s)]$

White (2002), Tsukiyama (2011), Morris (2015)

# IM-SRG

- Why “In-Medium”?  
 $\Rightarrow$  To deal with the problem of induced many-body forces

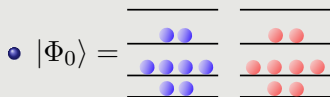
$$\begin{aligned}
 e^\eta &= 1 + \eta + \frac{1}{2!}\eta^2 + \dots \\
 &= 1 + \text{[diagram 1]} + \text{[diagram 2]} + \text{[diagram 3]} + \dots
 \end{aligned}$$

The diagrams represent terms in the expansion of the exponential operator  $e^\eta$ . Each diagram consists of vertical lines representing particles and wavy lines representing interactions. The first diagram is a single wavy line between two vertical lines. The second diagram is two wavy lines between two vertical lines. The third diagram is a wavy line between two vertical lines, with a second wavy line connecting the two vertical lines from the left side.

- All terms beyond two-body operators are too expensive to handle
- Define states with respect to a reference  $|\Phi_0\rangle$  (Normal Ordering)
- If  $|\Phi_0\rangle$  is a reasonable approximation of  $|\Psi\rangle$ , then many-body terms are less important

# IM-SRG

Application to  $^{16}\text{O}$ :

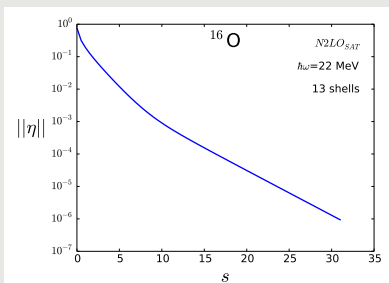
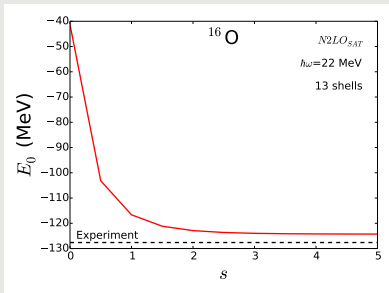


•  $\eta \sim \frac{H_{od}}{\Delta} - h.c.$

•  $H_{od}$  is any term that connects  $|\Phi_0\rangle$  to any other configuration

•  $s$  is the total “angle” rotated

• Ground state energy given by a single matrix element:  $\langle \Phi_0 | \tilde{H} | \Phi_0 \rangle$



Tsukiyama (2011), Ekström (2015)



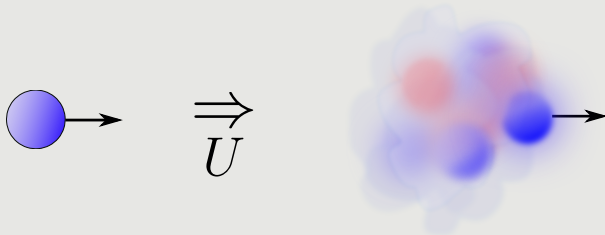
# IM-SRG

Where did all the correlations go?

- Original single particle basis:  $|\phi_i\rangle = a_i^\dagger|0\rangle$
- The transformed  $\tilde{H}$  is implicitly in terms of  $\tilde{a}_i^\dagger$

$$\begin{aligned}\tilde{a}_i^\dagger &= U^\dagger(a_i^\dagger)U \\ &= c_i a_i^\dagger + \sum_{j \neq i} c_j a_j^\dagger + \sum_{jk} c_{jkl} a_j^\dagger a_k^\dagger a_l + \dots\end{aligned}$$

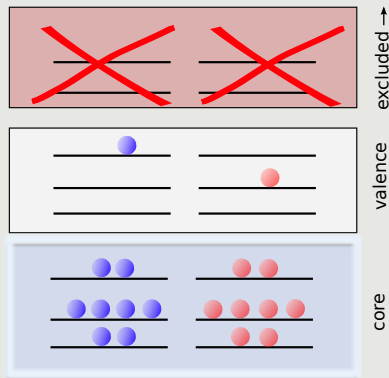
- The single-particle orbits are now much more complicated!



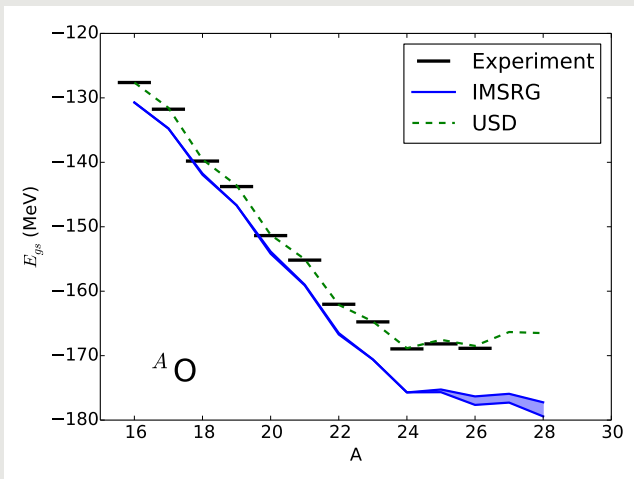
# IM-SRG + shell model: The middle ground

# IM-SRG + Shell model

- Excluded configurations treated with IM-SRG (redefinition of  $H_{od}$ )
- Valence configurations treated explicitly with standard shell model code
- In following, all calculations use E&M  $N^3\text{LO NN} + \text{local } N^2\text{LO 3N}$  (kindly provided by Angelo Calci)

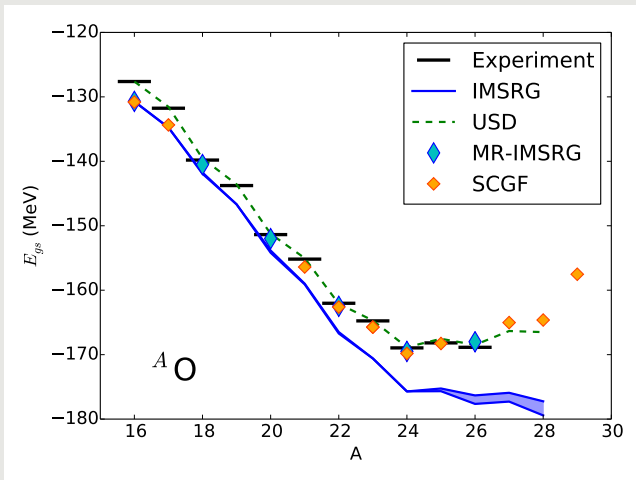


# IM-SRG + Shell model: Ground states



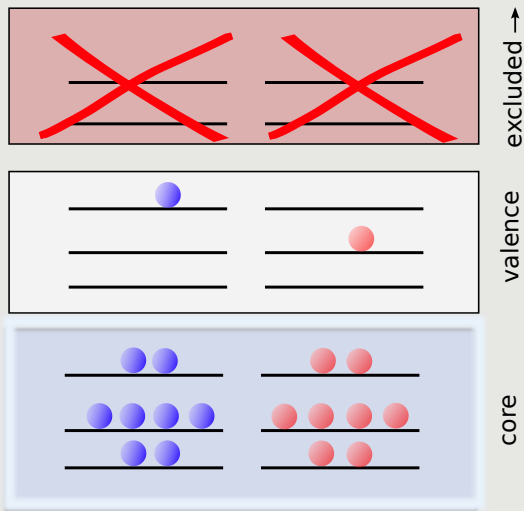
Bogner (2014), Brown (2006)

# IM-SRG + Shell model: Ground states

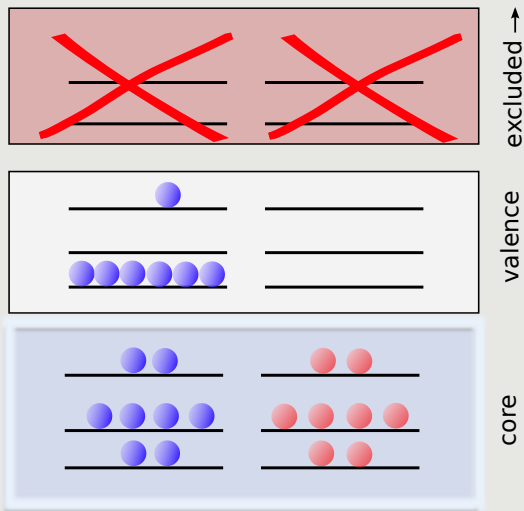


Bogner (2014), Brown (2006), Cipollone (2013), Hergert (2014)

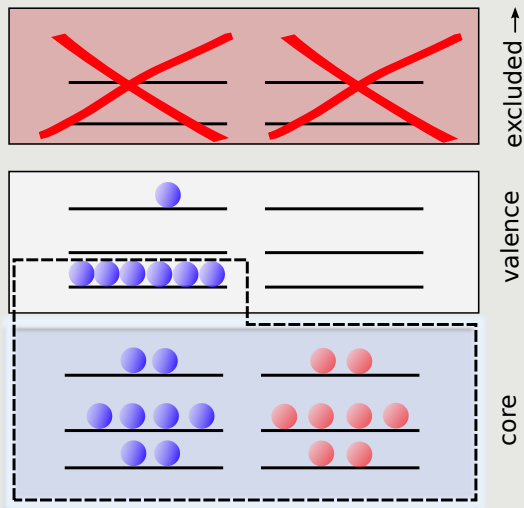
# IM-SRG + Shell model: Ground states



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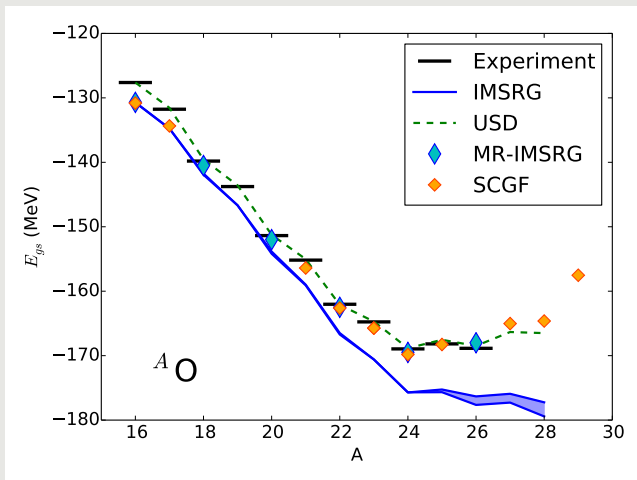


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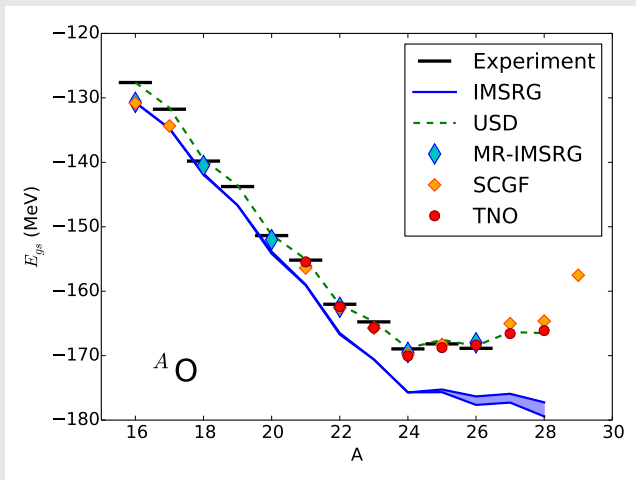


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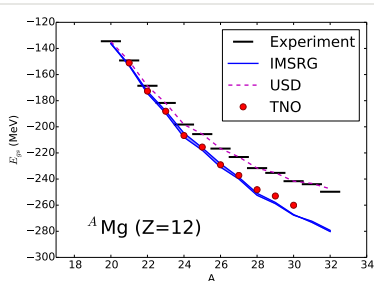
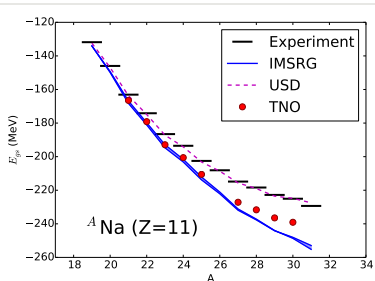
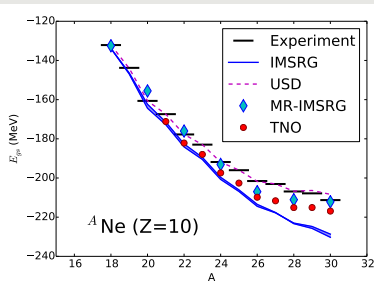
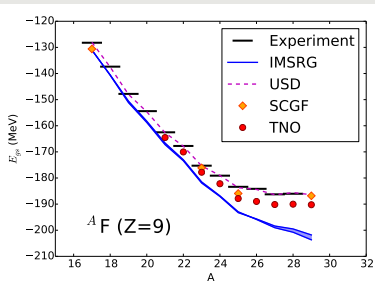
Bogner (2014), Brown (2006), Cipollone (2013), Hergert (2014)

# IM-SRG + Shell model: Ground states

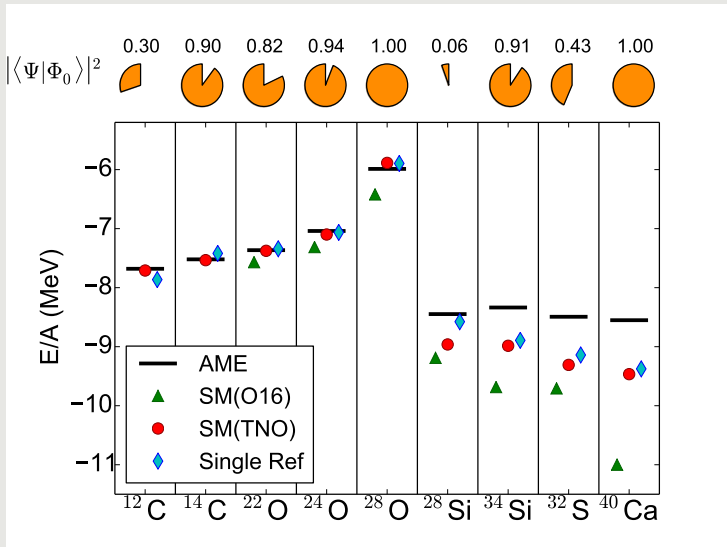


Bogner (2014), Brown (2006), Cipollone (2013), Hergert (2014)

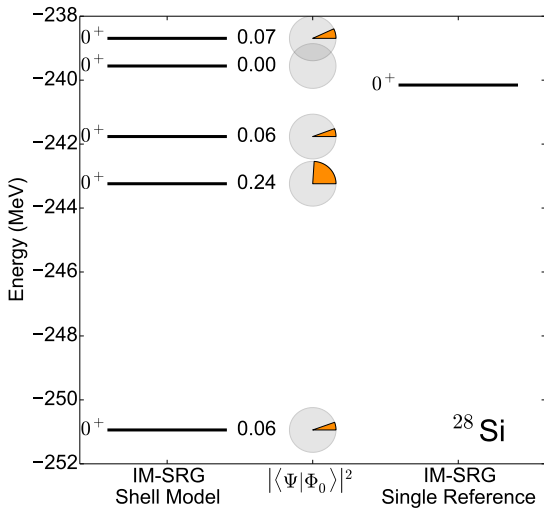
# IM-SRG + Shell model: Ground states



# IM-SRG + Shell model: Closed subshells

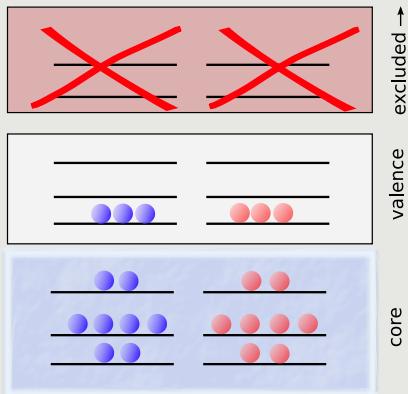


# IM-SRG + Shell model: Ground state of $^{28}\text{Si}$

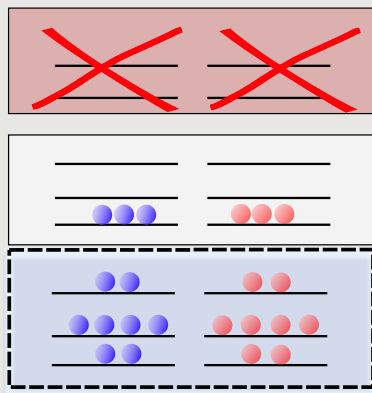


# IM-SRG + Shell model: $^{22}\text{Na}$ ground state

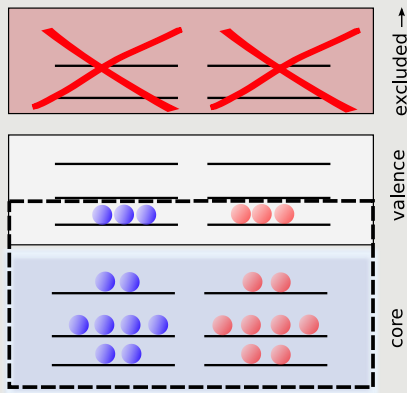
- Ground state spin of  $^{22}\text{Na}$  is difficult for microscopic calculations
- Likely due to 3N forces, analogous to  $^{10}\text{B}$  in  $p$ -shell



# IM-SRG + Shell model: $^{22}\text{Na}$ ground state

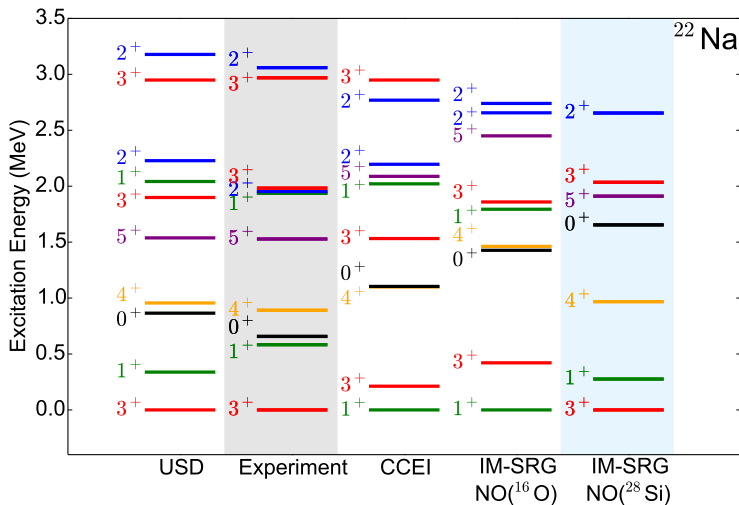


$$|\Phi_0\rangle = {}^{16}\text{O}$$



$$|\Phi_0\rangle = {}^{28}\text{Si}$$

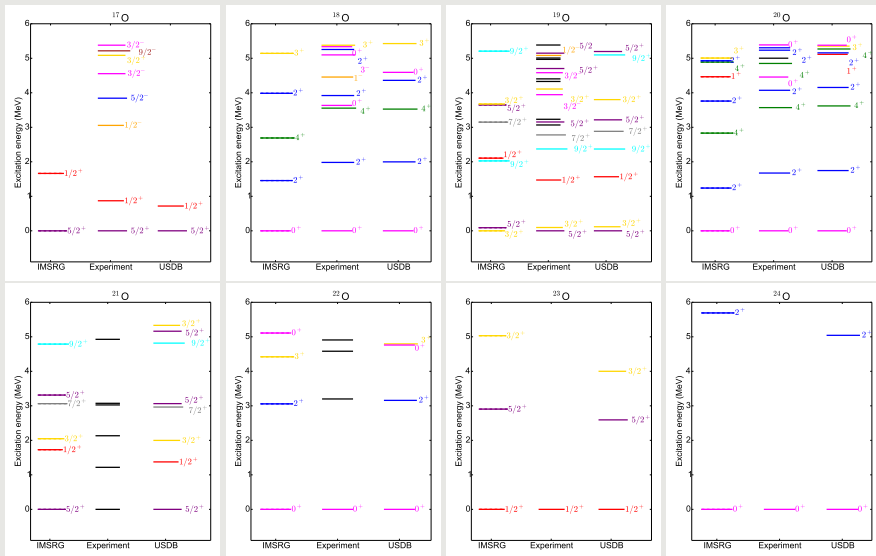
# IM-SRG + Shell model: $^{22}\text{Na}$ ground state



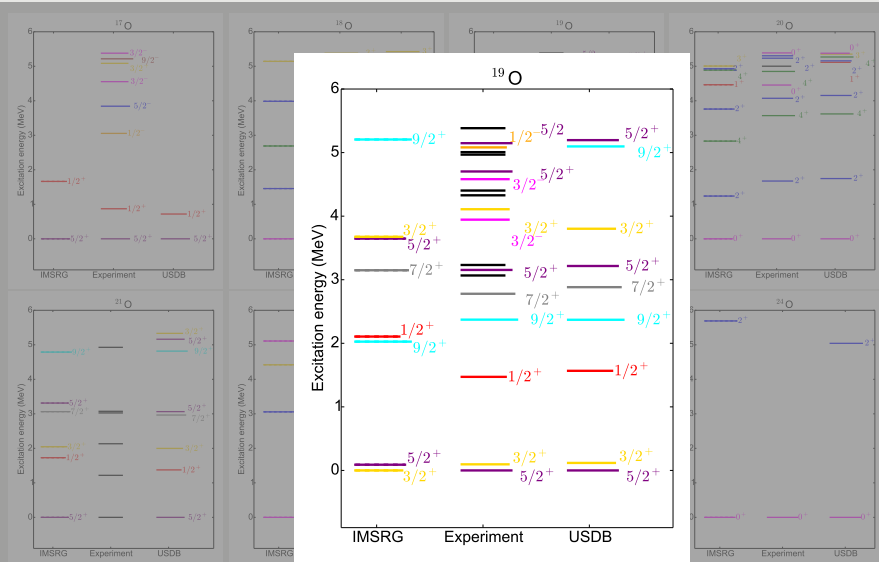


# Excited States

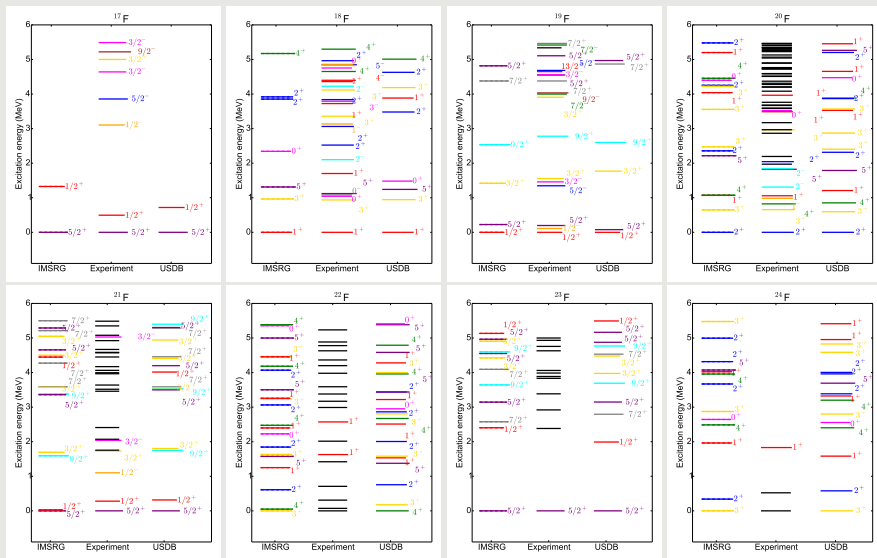
# IM-SRG + Shell model: Excited states



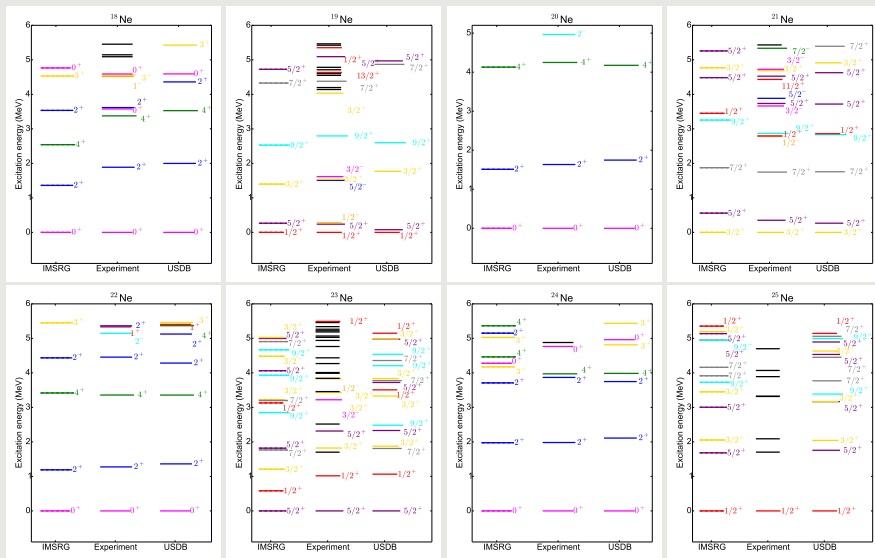
# IM-SRG + Shell model: Excited states



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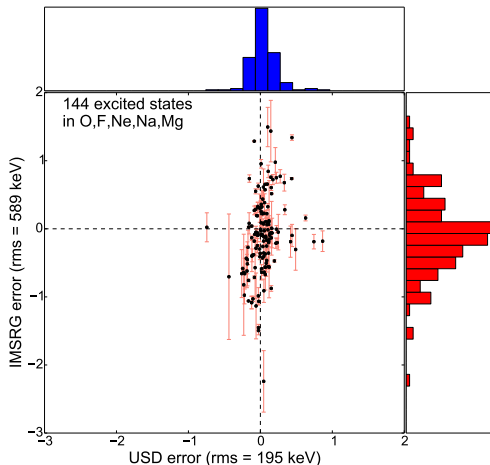
# IM-SRG + Shell model: Excited states



# IM-SRG + Shell model: Excited states

Deviation from experiment:

Interaction	RMS
USD	195
IM-SRG	589
CCEI	582
Kuo	817
Bonn A	832



Brown (2006), Jansen (2015),  
Hjorth-Jensen (2000), Kuo (1967)

Ragnar Stroberg (TRIUMF)

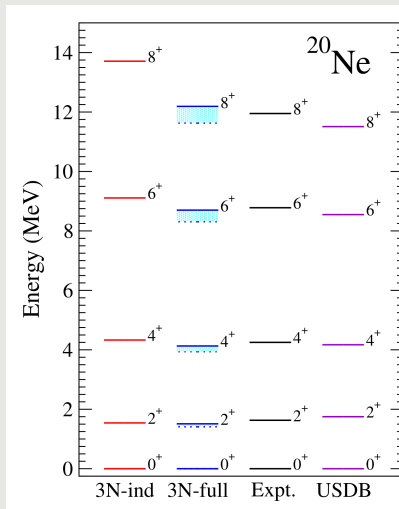
Shell Model w/ IMSRG

February 26, 2016

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# Deformation

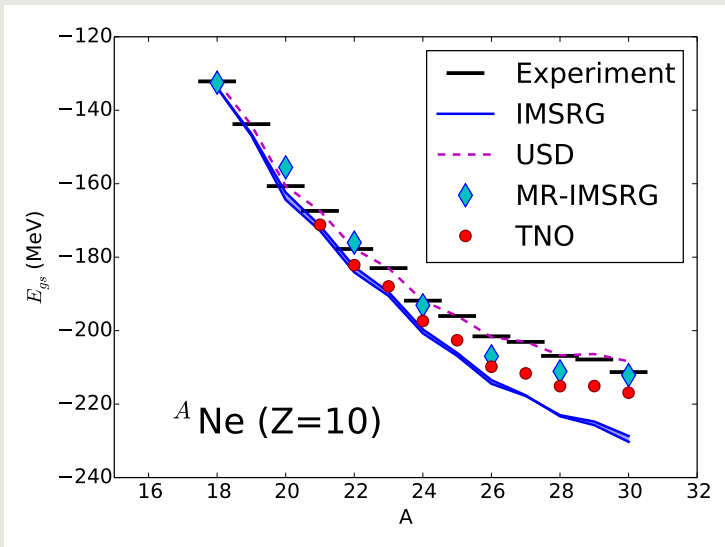
# IM-SRG + Shell model: Deformation



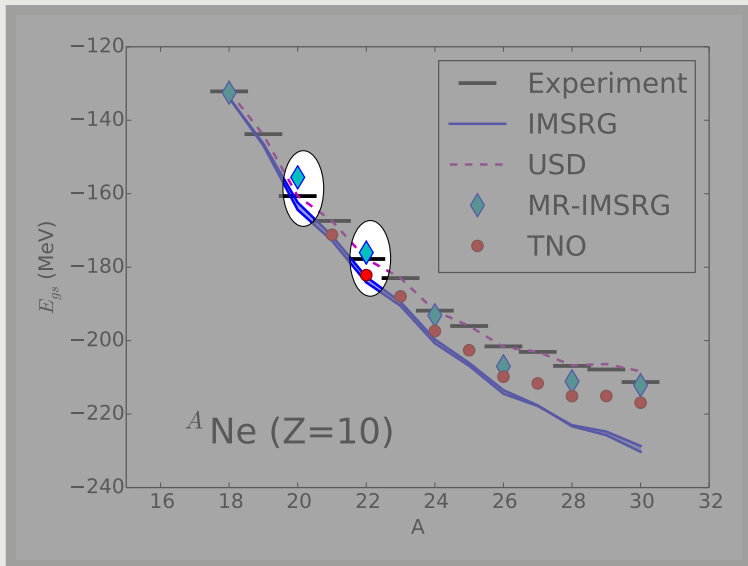
See also, Jansen et al (2015)



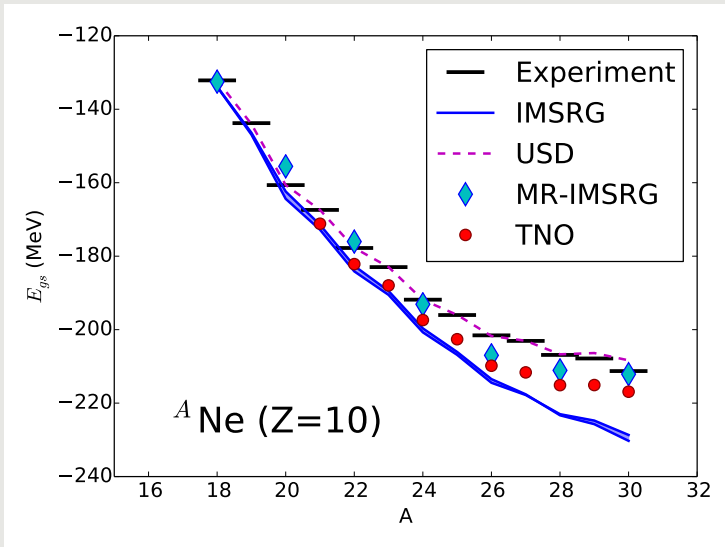
# IM-SRG + Shell model: Deformation



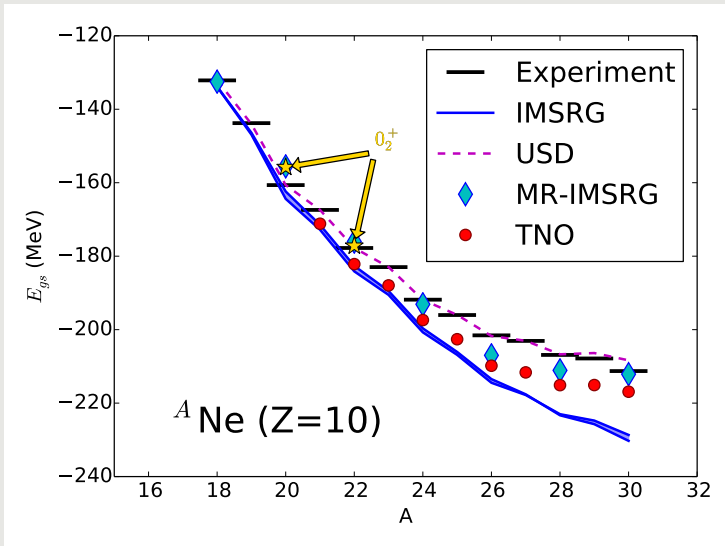
# IM-SRG + Shell model: Deformation



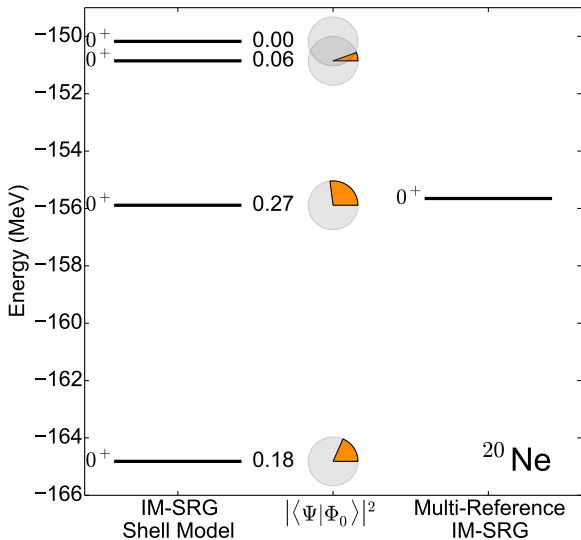
# IM-SRG + Shell model: Deformation



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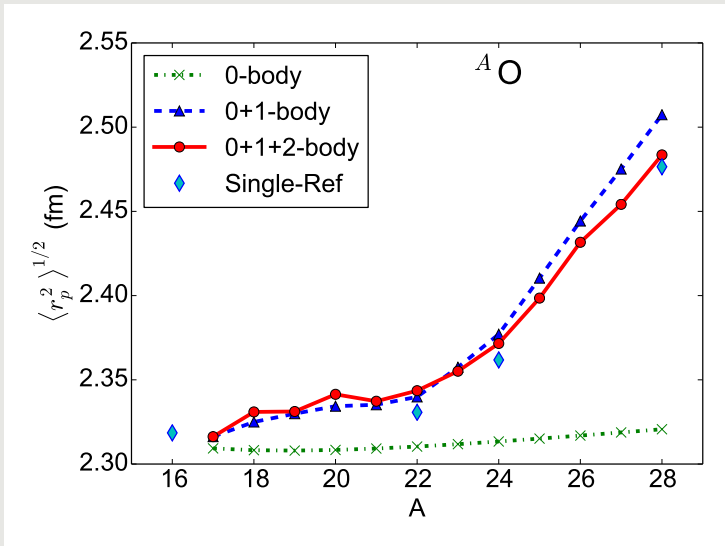


## Radii

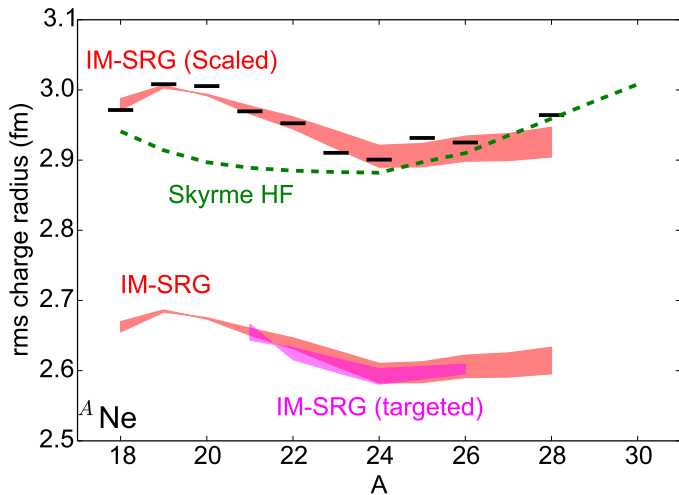
$$\tilde{R}^2 = UR^2U^\dagger$$

$$\langle R^2 \rangle = \langle \Phi_0 | \tilde{R}^2 | \Phi_0 \rangle + \langle \Psi_{SM} | \tilde{R}^2 | \Psi_{SM} \rangle$$

# IM-SRG + Shell model: Oxygen Radii



# IM-SRG + Shell model: Neon Radii



Marinova (2011), Brown (1998)



# Evolution of Tensor Operators\*

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$$\langle \Psi^f \| \mathcal{O}^\Lambda \| \Psi^i \rangle = \langle \Psi_{SM}^f \| \tilde{\mathcal{O}}^\Lambda \| \Psi_{SM}^i \rangle$$

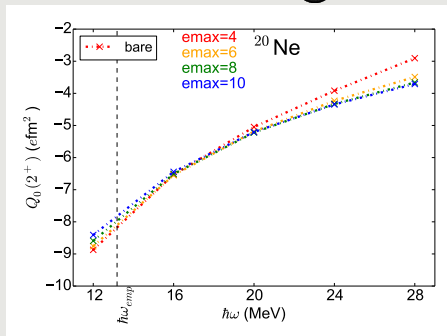
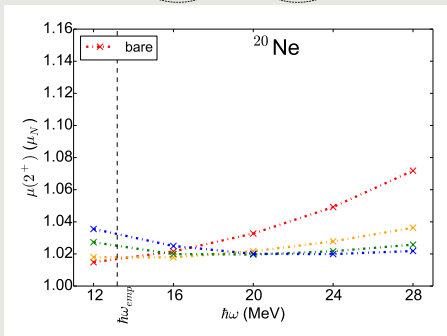
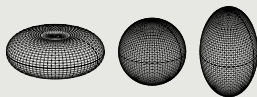
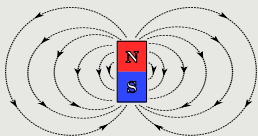
$$\tilde{\mathcal{O}}^\Lambda = e^\Omega \mathcal{O}^\Lambda e^{-\Omega} = \mathcal{O}^\Lambda + [\Omega, \mathcal{O}^\Lambda] + [\Omega, [\Omega, \mathcal{O}^\Lambda]] + \dots$$

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\* More benchmarking remains to be done

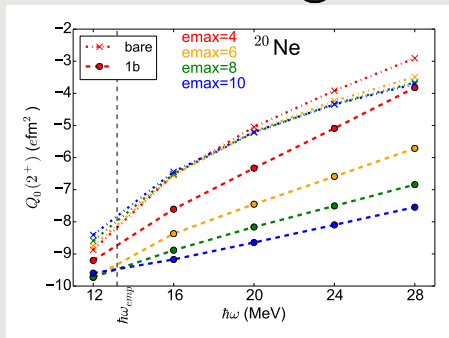
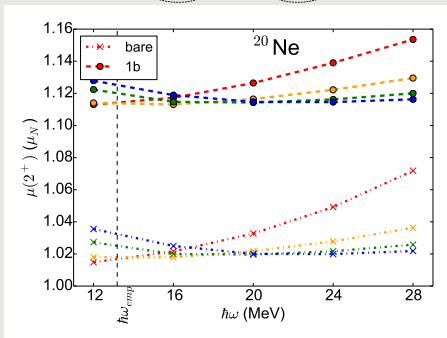
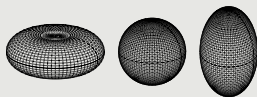
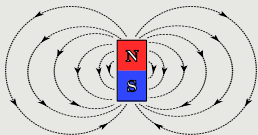
# IM-SRG + Shell model: Tensor Operators

## Moments



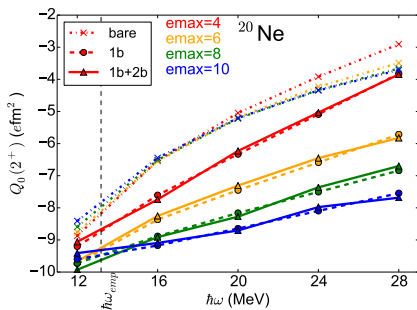
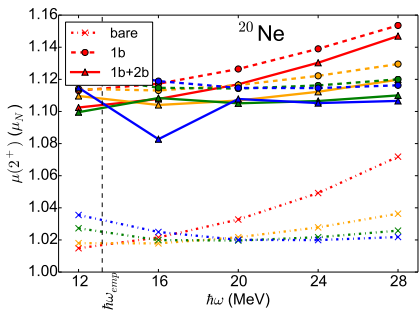
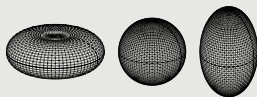
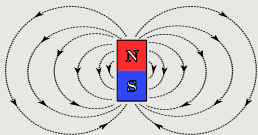
# IM-SRG + Shell model: Tensor Operators

## Moments



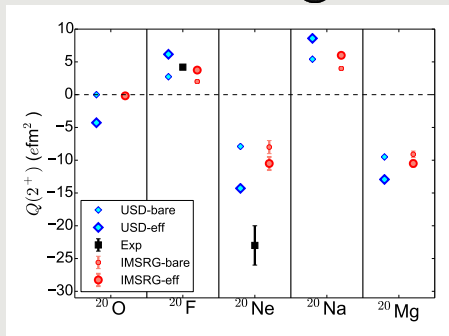
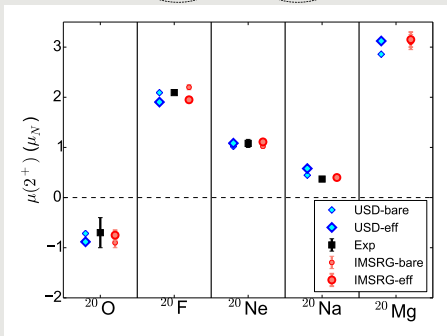
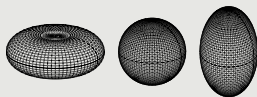
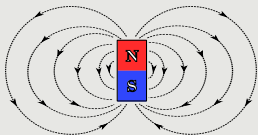
# IM-SRG + Shell model: Tensor Operators

## Moments



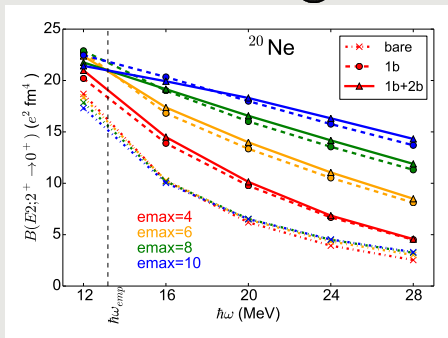
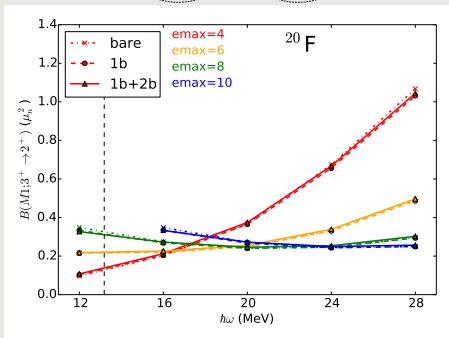
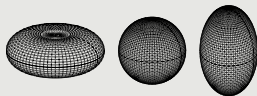
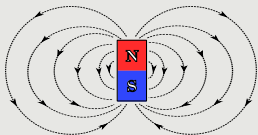
# IM-SRG + Shell model: Tensor Operators

## Moments



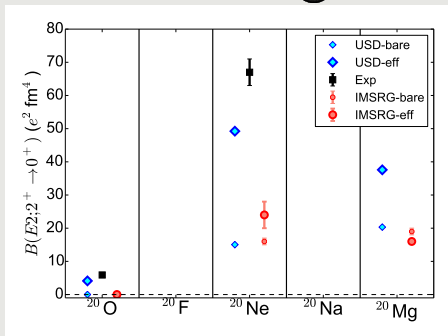
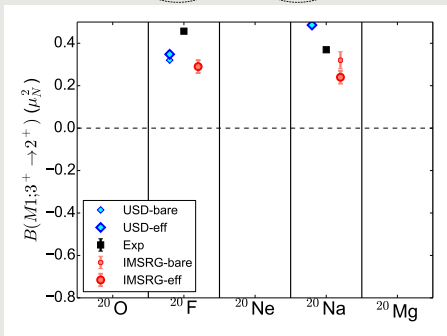
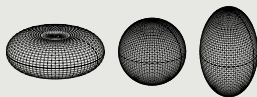
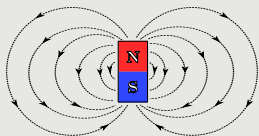
# IM-SRG + Shell model: Tensor Operators

## Transitions



# IM-SRG + Shell model: Tensor Operators

## Transitions



# Summary

- IM-SRG provides an appealing ab initio framework to address medium-mass nuclei and test microscopic interactions
- Effective valence-space interactions and operators open the door to excited states, transitions, open-shell/deformed systems
- Targeted normal ordering provides a reasonable first approximation of valence 3N forces, with more improvements still possible
- A first look at tensor operators yields relatively small renormalization – more work to be done.

Collaborators:



A. Calci, J. Holt, P. Navrátil



NSCL/MSU S. Bogner, H. Hergert, T. Morris, N. Parzuchowski



TU Darmstadt A. Schwenk, J. Simonis



# Appendix

# How to choose $\hat{\Omega}$ ?

A toy problem:

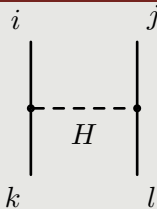
$$\hat{H} = \begin{pmatrix} \epsilon_1 & h_{od} \\ h_{od} & \epsilon_2 \end{pmatrix}, \quad \hat{\Omega} = \begin{pmatrix} 0 & \theta \\ -\theta & 0 \end{pmatrix}, \quad e^{\hat{\Omega}} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$e^{\hat{\Omega}} \hat{H} e^{-\hat{\Omega}} = \begin{pmatrix} \epsilon_1 \cos^2 \theta + \epsilon_2 \sin^2 \theta + h \sin 2\theta & h_{od} \cos 2\theta + \frac{\epsilon_2 - \epsilon_1}{2} \sin 2\theta \\ h_{od} \cos 2\theta + \frac{\epsilon_2 - \epsilon_1}{2} \sin 2\theta & \epsilon_2 \cos^2 \theta + \epsilon_1 \sin^2 \theta - h \sin 2\theta \end{pmatrix}$$

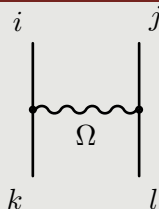
$$h'_{od} \rightarrow 0 \quad \Rightarrow \quad \theta = \frac{1}{2} \tan^{-1} \left( \frac{2h_{od}}{\epsilon_1 - \epsilon_2} \right)$$

$$\theta \ll 1 \quad \Rightarrow \quad \theta \approx \frac{h_{od}}{\epsilon_1 - \epsilon_2}$$

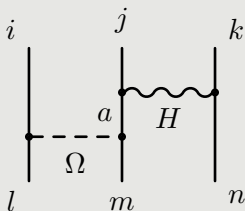
# Induced forces and normal ordering



$$\hat{H}^{(2)} \sim h_{ijkl} a_i^\dagger a_j^\dagger a_l a_k$$



$$\hat{\Omega}^{(2)} \sim \omega_{ijkl} a_i^\dagger a_j^\dagger a_l a_k$$

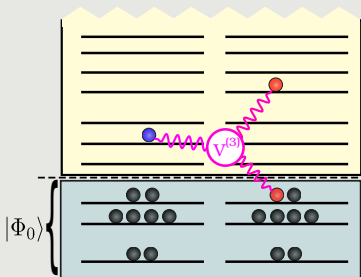


$$\left[ \hat{\Omega}^{(2)}, \hat{H}^{(2)} \right] \sim \omega_{ialm} h_{jkan} a_i^\dagger a_j^\dagger a_k^\dagger a_n a_m a_l$$

# Induced forces and normal ordering

$$\hat{H}_{\text{free}} = \underbrace{\sum_{ij} t_{ij} a_i^\dagger a_j}_{\text{1-body}} + \underbrace{\frac{1}{(2!)^2} \sum_{ijkl} V_{ijkl}^{(2)} a_i^\dagger a_j^\dagger a_k a_l}_{\text{2-body}} + \underbrace{\frac{1}{(3!)^2} \sum_{ijklmn} V_{ijklmn}^{(3)} a_i^\dagger a_j^\dagger a_k^\dagger a_n a_m a_l}_{\text{3-body}}$$

$$\hat{H}_{\text{NO}} = \underbrace{E_0}_{\text{0-body}} + \underbrace{\sum_{ij} f_{ij} \{a_i^\dagger a_j\}}_{\text{1-body}} + \underbrace{\frac{1}{(2!)^2} \sum_{ijkl} \Gamma_{ijkl} \{a_i^\dagger a_j^\dagger a_k a_l\}}_{\text{2-body}} + \underbrace{\frac{1}{(3!)^2} \sum_{ijklmn} W_{ijklmn} \{a_i^\dagger a_j^\dagger a_k^\dagger a_n a_m a_l\}}_{\text{3-body}}$$



$$E_0 = \sum_{i \in |\Phi_0\rangle} t_{ii} + \frac{1}{2} \sum_{ij \in |\Phi_0\rangle} V_{ijij}^{(2)} + \frac{1}{6} \sum_{ijk \in |\Phi_0\rangle} V_{ijkijk}^{(3)}$$

$$f_{ij} = t_{ij} + \sum_{k \in |\Phi_0\rangle} V_{ikjk}^{(2)} + \frac{1}{2} \sum_{kl \in |\Phi_0\rangle} V_{ikljk}^{(3)}$$

$$\Gamma_{ijkl} = V_{ijkl} + \sum_{m \in |\Phi_0\rangle} V^{(3)}_{ijmklm}$$

$$W_{ijklmn} = V^{(3)}_{ijklmn}$$