

Chiral Three-Nucleon Interactions In Light Nuclei, Neutron- α Scattering, And Neutron Matter

+ Calculations Of Two Neutrons In Finite Volume

Progress in *Ab Initio* Techniques in Nuclear Physics



TECHNISCHE
UNIVERSITÄT
DARMSTADT



Joel E. Lynn in collaboration with
J. Carlson, S. Gandolfi, A. Gezerlis, H.-W. Hammer, M. Hoferichter, P. Klos,
K. Schmidt, A. Schwenk, and I. Tews

February 25, 2016

Outline

- Quantum Monte Carlo
- Chiral EFT
- Three-Nucleon Interaction
- Fits and Results
- Finite Volume Calculations

Quantum Monte Carlo (QMC)

QMC in two lines:

$$H|\Psi\rangle = E|\Psi\rangle$$
$$\lim_{T \rightarrow \infty} e^{-HT} |\Psi_T\rangle \rightarrow |\Psi_0\rangle$$

QMC in more than two lines:

J. Carlson et al, RMP **87**, 1067 (2015).

QMC - Variational Monte Carlo (VMC)

1. Guess a trial wave function Ψ_T and generate a random position: $\mathbf{R} = \mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_A$.
2. Use the Metropolis algorithm to generate new positions \mathbf{R}' based on the probability $P = \frac{|\Psi_T(\mathbf{R}')|^2}{|\Psi_T(\mathbf{R})|^2}$.
(Yields a set of “walkers” distributed according to $|\Psi_T|^2$).
3. Invoke the variational principle: $E_T = \frac{\langle \Psi_T | H | \Psi_T \rangle}{\langle \Psi_T | \Psi_T \rangle} > E_0$.

QMC - Diffusion Monte Carlo

- The wave function is imperfect: $|\Psi_T\rangle = \sum_{i=0}^{\infty} \alpha_i |\Psi_i\rangle$.
- Propagate in imaginary time to project out the ground state $|\Psi_0\rangle$.

$$\begin{aligned} |\Psi(\tau)\rangle &= e^{-(H-E_T)\tau} |\Psi_T\rangle \\ &= e^{-(E_0-E_T)\tau} \left[\alpha_0 |\Psi_0\rangle + \sum_{i \neq 0} \alpha_i e^{-(E_i-E_0)\tau} |\Psi_i\rangle \right]. \end{aligned}$$

QMC - Diffusion Monte Carlo

- The wave function is imperfect: $|\Psi_T\rangle = \sum_{i=0}^{\infty} \alpha_i |\Psi_i\rangle$.
- Propagate in imaginary time to project out the ground state $|\Psi_0\rangle$.

$$\begin{aligned} |\Psi(\tau)\rangle &= e^{-(H-E_T)\tau} |\Psi_T\rangle \\ &= e^{-(E_0-E_T)\tau} \left[\alpha_0 |\Psi_0\rangle + \sum_{i \neq 0} \alpha_i e^{-(E_i-E_0)\tau} |\Psi_i\rangle \right]. \end{aligned}$$

$$|\Psi(\tau)\rangle \xrightarrow{\tau \rightarrow \infty} |\Psi_0\rangle.$$

QMC - An Example

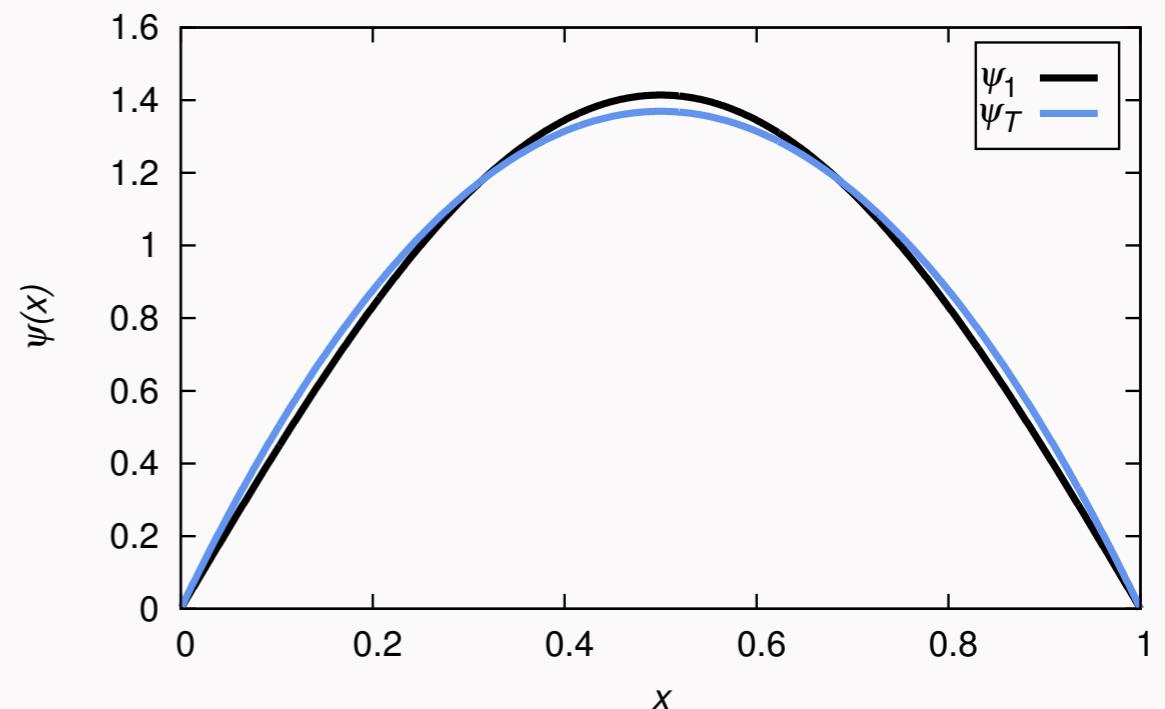
$$H = \frac{p_x^2}{2m} + V(x), \quad V = \begin{cases} 0, & 0 < x < L \\ \infty, & \text{otherwise} \end{cases}$$

$$\hbar = m = L = 1$$

$$\psi_n(x) = \sqrt{2} \sin(n\pi x), \quad E_n = \frac{n^2 \pi^2}{2}.$$

Trial wave function; e.g.

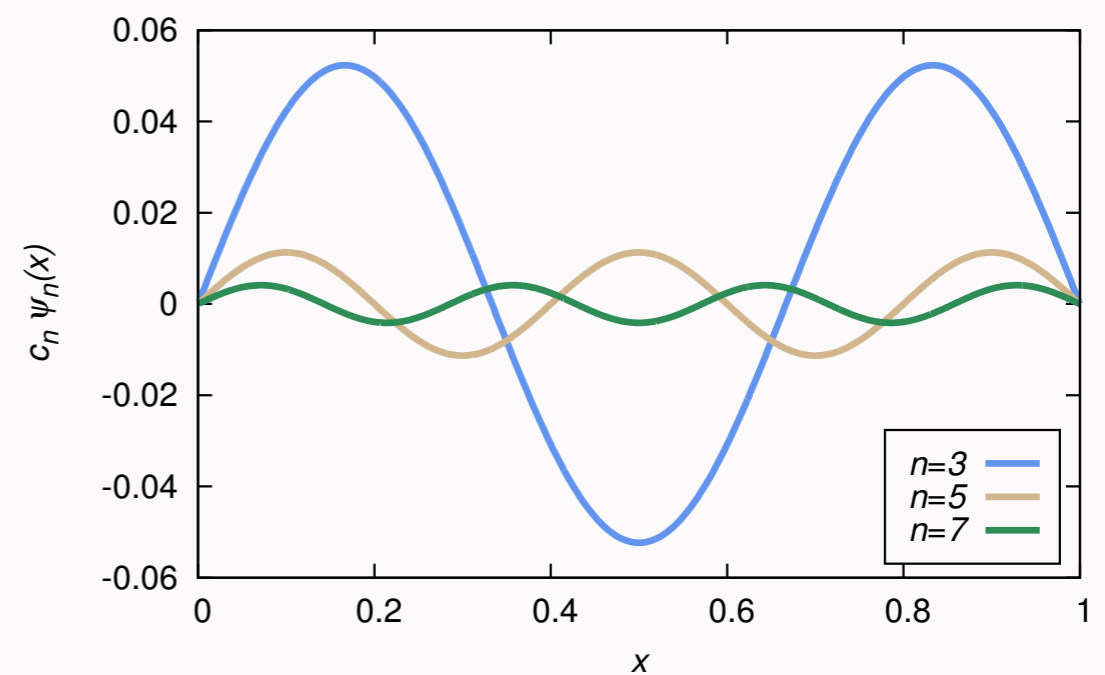
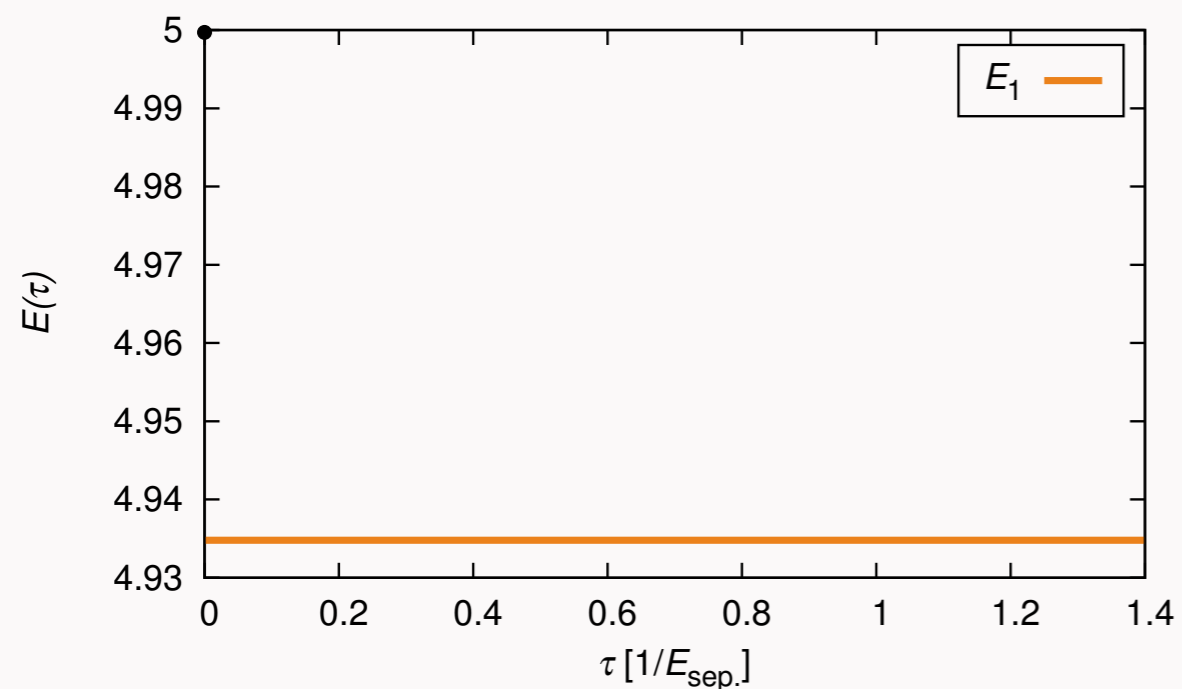
$$\Psi_T(x) = \sqrt{30}x(1-x).$$



QMC - An Example

$$E(\tau) = \frac{\langle \Psi_T | H e^{-(H-E_T)\tau} | \Psi_T \rangle}{\langle \Psi_T | e^{-(H-E_T)\tau} | \Psi_T \rangle}, \quad \Psi_T(x) = \sum_{n=1}^{\infty} c_n \psi_n(x)$$

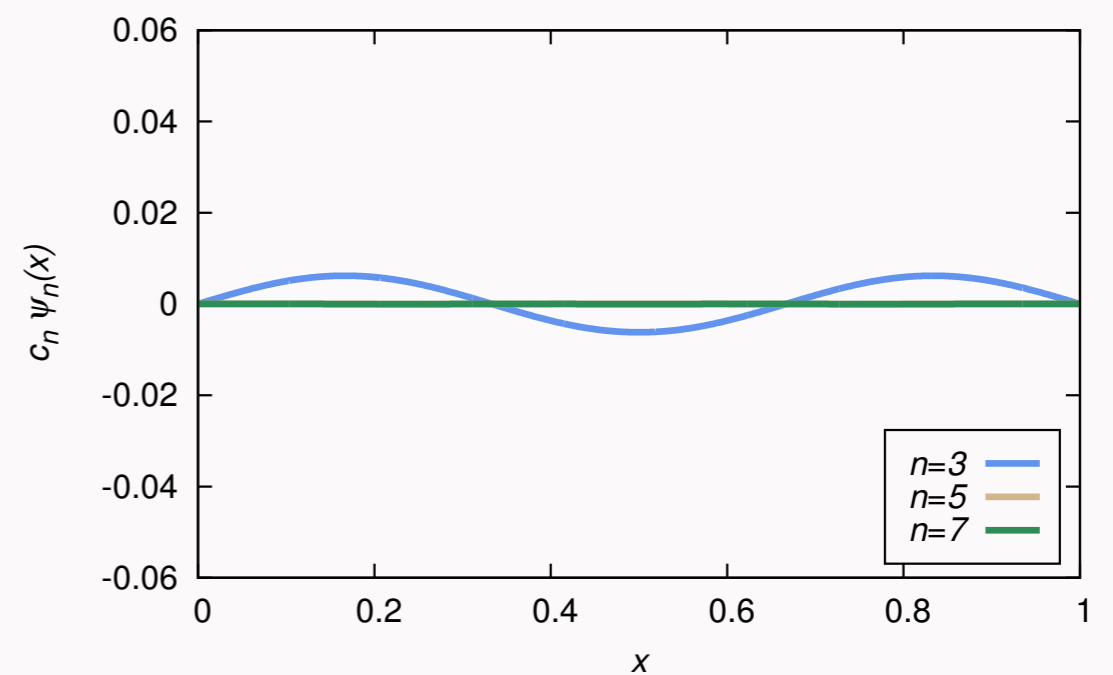
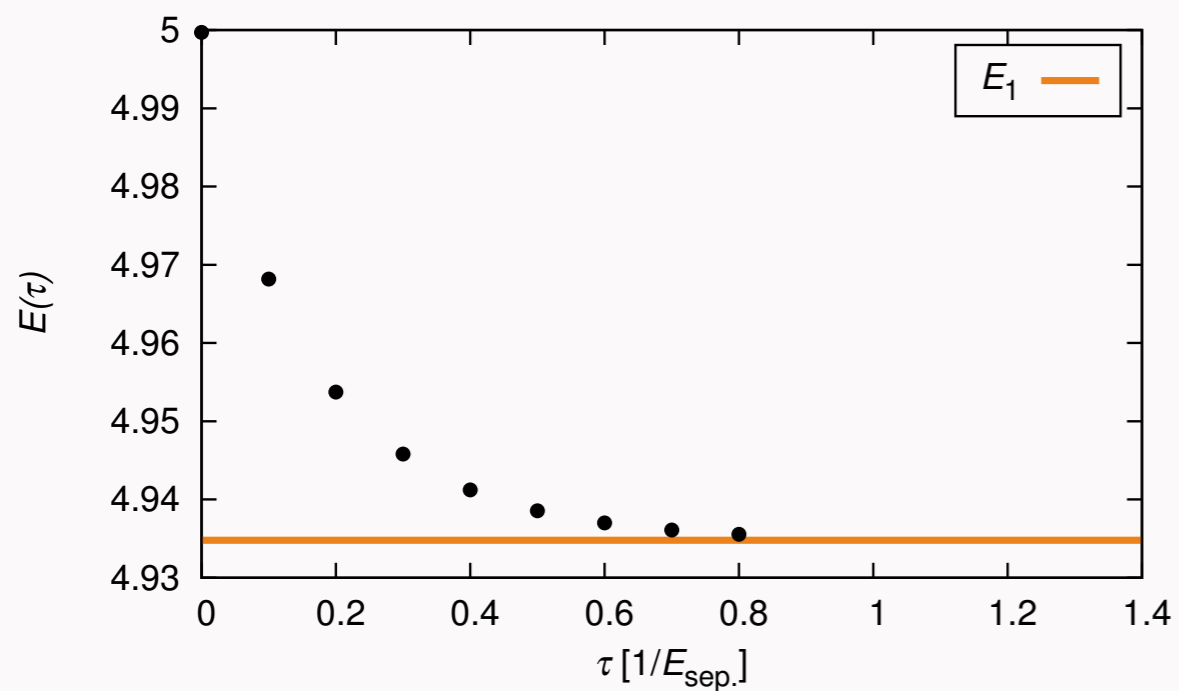
$$\tau = 0.0(1/E_{\text{sep}})$$



QMC - An Example

$$E(\tau) = \frac{\langle \Psi_T | H e^{-(H-E_T)\tau} | \Psi_T \rangle}{\langle \Psi_T | e^{-(H-E_T)\tau} | \Psi_T \rangle}, \quad \Psi_T(x) = \sum_{n=1}^{\infty} c_n \psi_n(x)$$

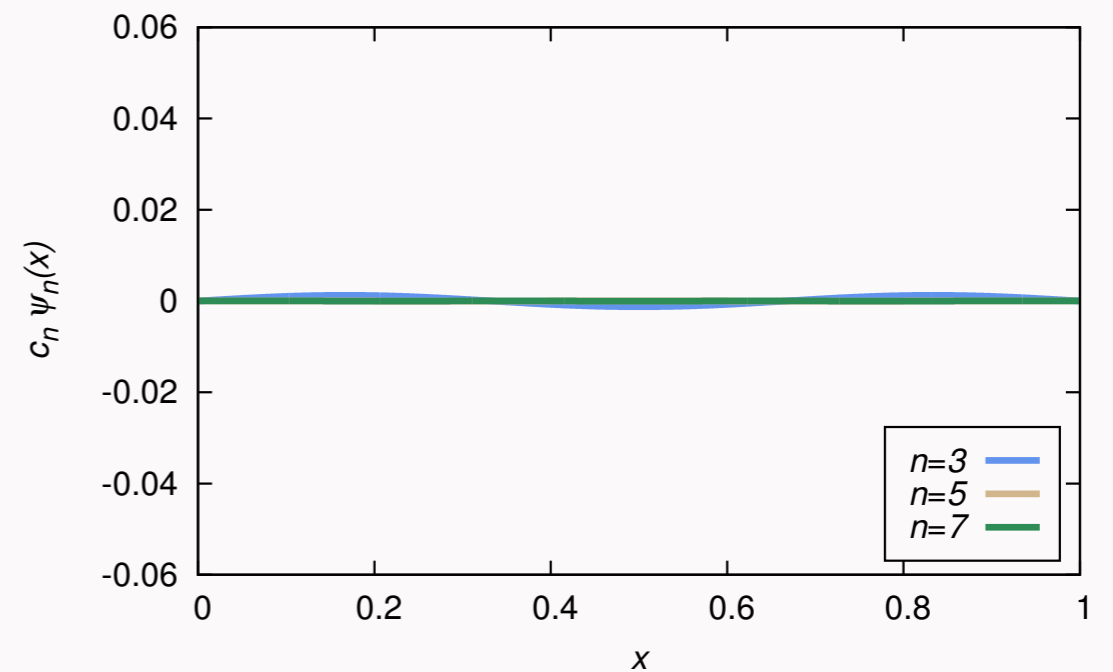
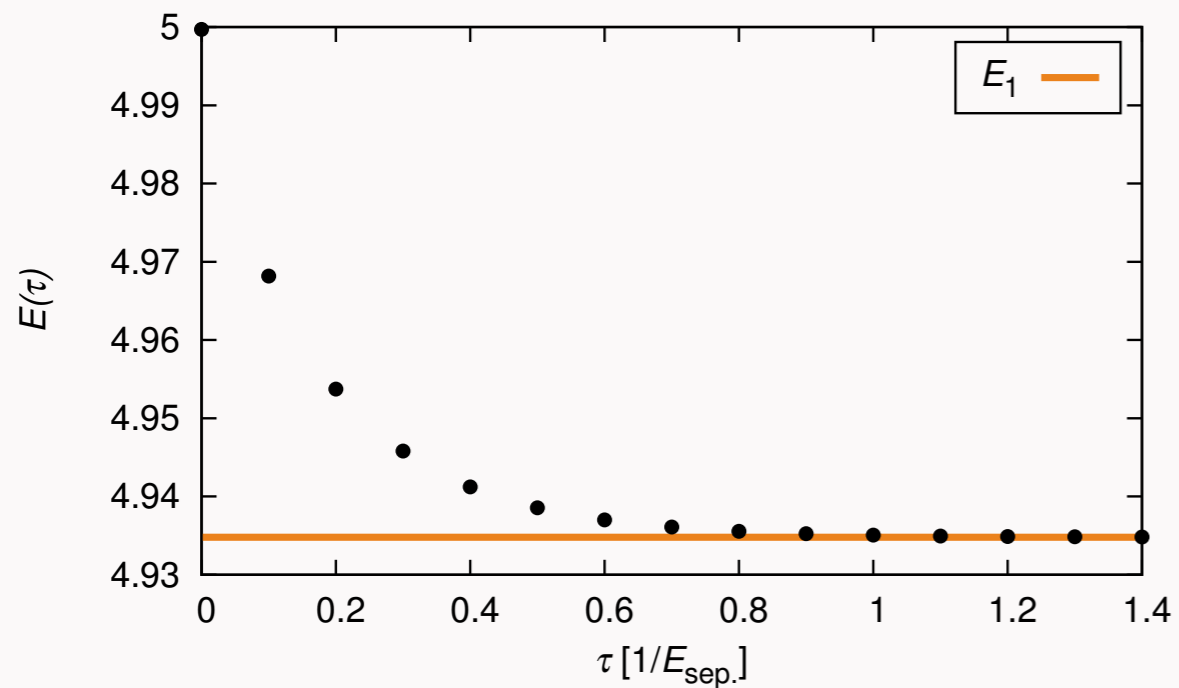
$$\tau = 0.8(1/E_{\text{sep}})$$



QMC - An Example

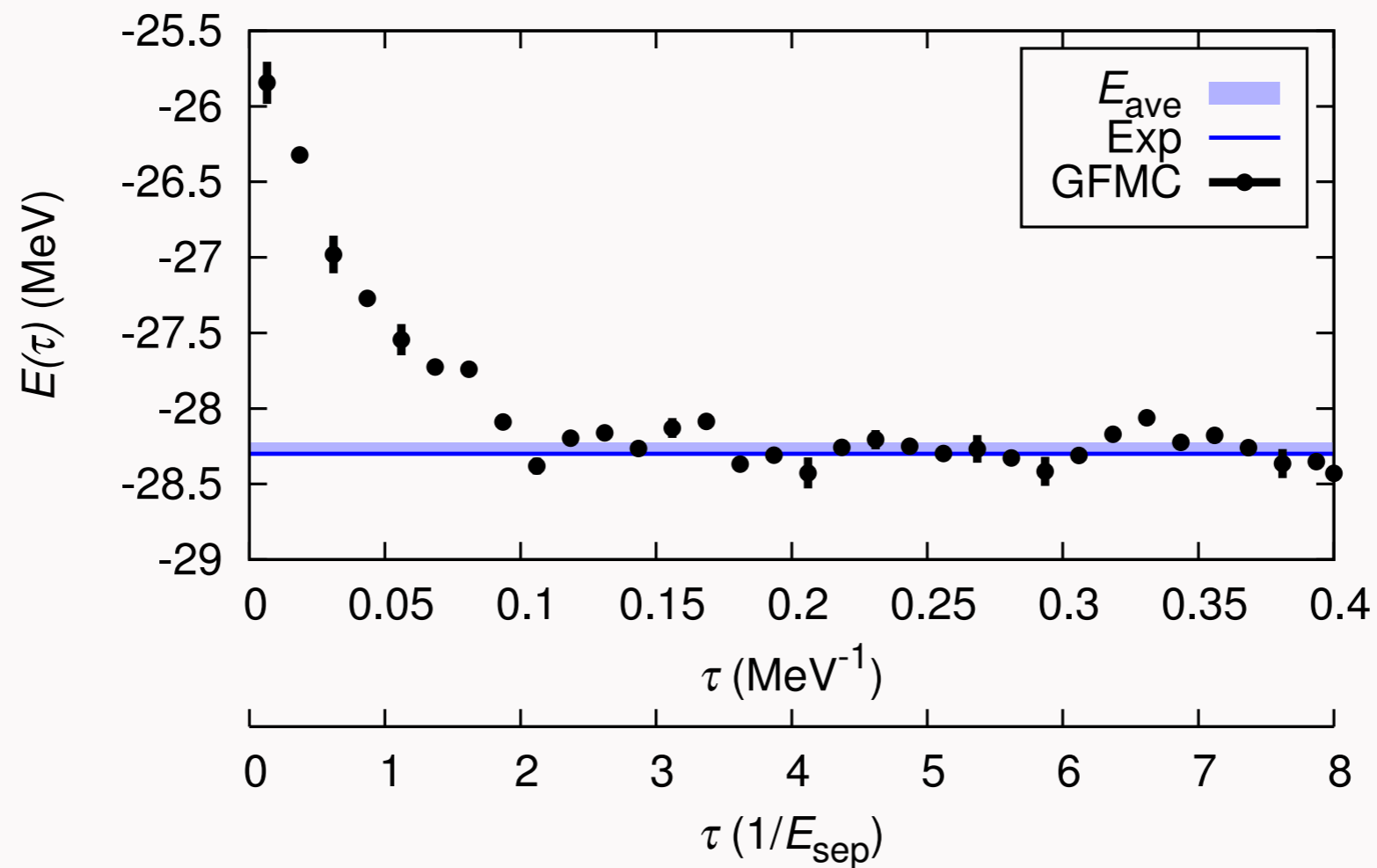
$$E(\tau) = \frac{\langle \Psi_T | H e^{-(H-E_T)\tau} | \Psi_T \rangle}{\langle \Psi_T | e^{-(H-E_T)\tau} | \Psi_T \rangle}, \quad \Psi_T(x) = \sum_{n=1}^{\infty} c_n \psi_n(x)$$

$$\tau = 1.4 (1/E_{\text{sep}})$$



QMC - An Example

For ${}^4\text{He}$, $1/E_{\text{sep}} = 1/|E_{\alpha} - E_t| \approx 0.05 \text{ MeV}^{-1}$.



The Hamiltonian

Of course, the Hamiltonian is much more complicated in nuclear physics.

$$H = \sum_{i=1}^A \frac{\mathbf{p}_i^2}{2m_i} + \sum_{i<j}^A V_{ij} + \sum_{i<j<k}^A V_{ijk} + \dots$$

Chiral Effective Field Theory (EFT)

Chiral EFT

Chiral EFT in two lines:

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{2g^2} \text{Tr}(G_{\mu\nu} G^{\mu\nu}) + \bar{q} i \gamma^\nu D_\nu q - \bar{q} \cancel{M} q \rightarrow \text{Chiral symmetry}$$

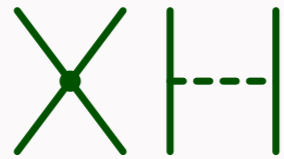
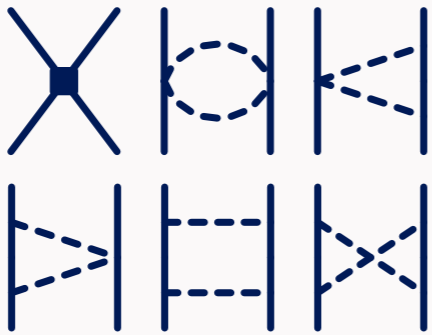
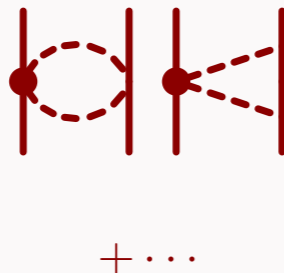
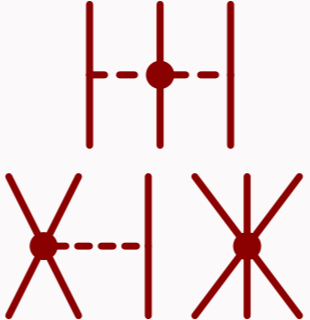


$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\pi\pi} + \mathcal{L}_{\pi N} + \mathcal{L}_{NN} + \dots$$

More Details:

E. Epelbaum et al, RMP **81**, 1773 (2009);

R. Machleidt et al, Phys. Rep. **503**, (2011).

Chiral EFT

		NN	NNN
LO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^0$		-
NLO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^2$		-
N ² LO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^3$		
N ³ LO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^4$		

- Chiral EFT: Expand in powers of Q/Λ_b .
 $Q \sim m_\pi \sim 100 \text{ MeV}$
 $\Lambda_b \sim 800 \text{ MeV}$
- Long-range physics: π exchanges.
- Short-range physics: Contacts \times LECs.
- Many-body forces & currents enter systematically.

Chiral EFT

Local construction possible¹ up to N²LO.

Definitions.

$$\mathbf{q} = \mathbf{p} - \mathbf{p}', \quad \mathbf{k} = \mathbf{p} + \mathbf{p}'$$

Regulator:

$$f(p, p') = e^{-(p/\Lambda)^n} e^{-(p'/\Lambda)^n}$$

Contacts:

$$\propto \mathbf{q} \text{ and } \mathbf{k}$$

¹A. Gezerlis et al, PRL **111** 032501 (2013); JEL et al, PRL **113** 192501 (2014); A. Gezerlis et al, PRC **90** 054323 (2014)

Chiral EFT

Local construction possible¹ up to N²LO.

Definitions.

$$\mathbf{q} = \mathbf{p} - \mathbf{p}', \mathbf{k} = \mathbf{p} + \mathbf{p}'$$

Regulator:

~~$$f(\mathbf{p}, \mathbf{p}') = e^{-(\mathbf{p}/\Lambda)^n} e^{-(\mathbf{p}'/\Lambda)^n}$$~~

$$\rightarrow f_{\text{long}}(r) = 1 - e^{-(r/R_0)^4} : R_0 = 1.0, 1.1, 1.2 \text{ fm.}$$


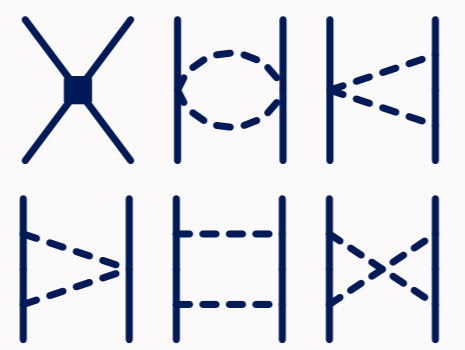
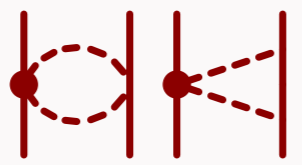
Contacts:

~~$$\propto \mathbf{q} \text{ and } \mathbf{k}$$~~


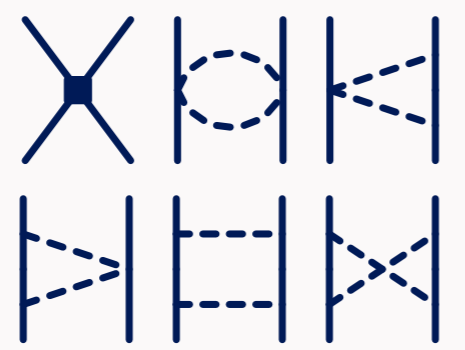
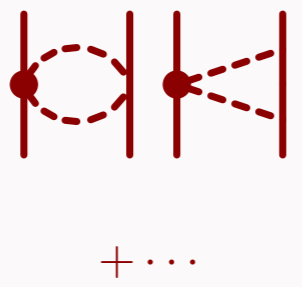
→ Choose contacts $\propto \mathbf{q}$ (As much as possible!)

¹A. Gezerlis et al, PRL **111** 032501 (2013); JEL et al, PRL **113** 192501 (2014); A. Gezerlis et al, PRC **90** 054323 (2014)

Chiral EFT

		NN
LO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^0$	
NLO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^2$	
N ² LO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^3$	 + ...

Chiral EFT

		NN
LO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^0$	
NLO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^2$	
N ² LO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^3$	


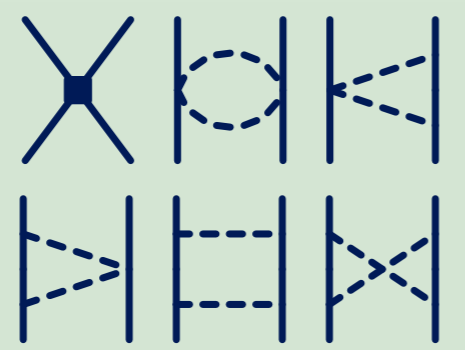
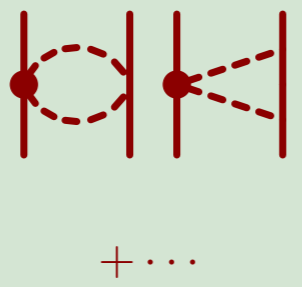
$$V_{\text{cont}}^{(0)} = \alpha_1 + \alpha_2(\sigma_1 \cdot \sigma_2) + \alpha_3(\tau_1 \cdot \tau_2) + \alpha_4(\sigma_1 \cdot \sigma_2)(\tau_1 \cdot \tau_2)$$

Pauli Exclusion Principle

→ Only two independent contacts!


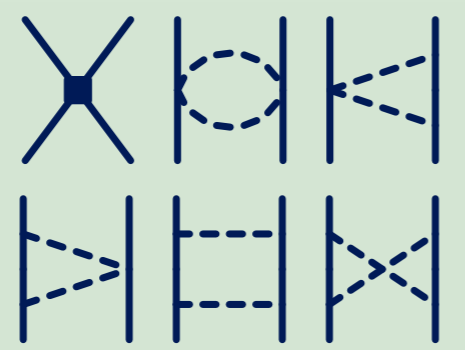
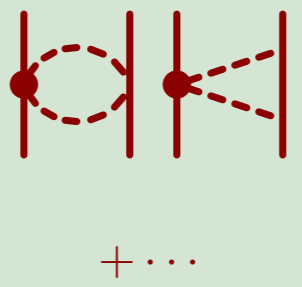
$$V_{\text{cont}}^{(0)} = C_S + C_T(\sigma_1 \cdot \sigma_2)$$

Chiral EFT

		NN
LO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^0$	
NLO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^2$	
N ² LO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^3$	

$$\begin{aligned}
 V_{\text{cont}}^{(2)} = & \gamma_1 q^2 + \gamma_2 q^2 (\sigma_1 \cdot \sigma_2) \\
 & + \gamma_3 q^2 (\tau_1 \cdot \tau_2) + \gamma_4 q^2 (\sigma_1 \cdot \sigma_2) (\tau_1 \cdot \tau_2) \\
 & + \gamma_5 k^2 + \gamma_6 k^2 (\sigma_1 \cdot \sigma_2) + \gamma_7 k^2 (\tau_1 \cdot \tau_2) \\
 & + \gamma_8 k^2 (\sigma_1 \cdot \sigma_2) (\tau_1 \cdot \tau_2) \\
 & + (\sigma_1 + \sigma_2) (\mathbf{q} \times \mathbf{k}) (\gamma_9 + \gamma_{10} (\tau_1 \cdot \tau_2)) \\
 & + (\sigma_1 \cdot \mathbf{q}) (\sigma_2 \cdot \mathbf{q}) (\gamma_{11} + \gamma_{12} (\tau_1 \cdot \tau_2)) \\
 & + (\sigma_1 \cdot \mathbf{k}) (\sigma_2 \cdot \mathbf{k}) (\gamma_{13} + \gamma_{14} (\tau_1 \cdot \tau_2))
 \end{aligned}$$

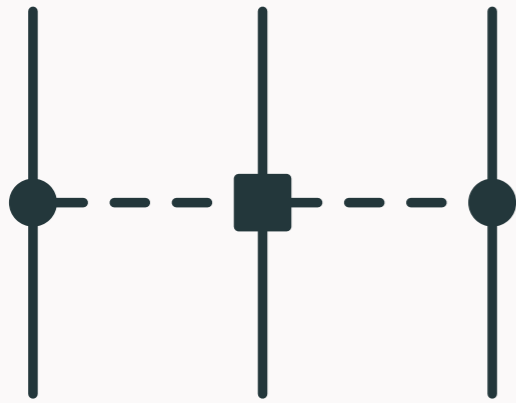
Chiral EFT

		NN
LO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^0$	
NLO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^2$	
N ² LO	$\mathcal{O}\left(\frac{Q}{\Lambda_b}\right)^3$	

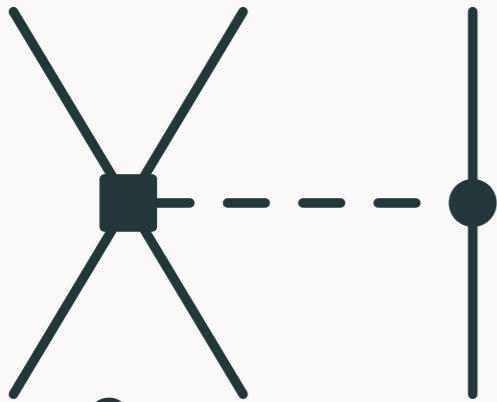
$$\begin{aligned}
 V_{\text{cont}}^{(2)} = & \gamma_1 q^2 + \gamma_2 q^2 (\sigma_1 \cdot \sigma_2) \\
 & + \gamma_3 q^2 (\tau_1 \cdot \tau_2) + \gamma_4 q^2 (\sigma_1 \cdot \sigma_2) (\tau_1 \cdot \tau_2) \\
 & + \gamma_5 k^2 + \gamma_6 k^2 (\sigma_1 \cdot \sigma_2) + \gamma_7 k^2 (\tau_1 \cdot \tau_2) \\
 & + \gamma_8 k^2 (\sigma_1 \cdot \sigma_2) (\tau_1 \cdot \tau_2) \\
 & + (\sigma_1 + \sigma_2) (\mathbf{q} \times \mathbf{k}) (\gamma_9 + \gamma_{10} (\tau_1 \cdot \tau_2)) \\
 & + (\sigma_1 \cdot \mathbf{q}) (\sigma_2 \cdot \mathbf{q}) (\gamma_{11} + \gamma_{12} (\tau_1 \cdot \tau_2)) \\
 & + (\sigma_1 \cdot \mathbf{k}) (\sigma_2 \cdot \mathbf{k}) (\gamma_{13} + \gamma_{14} (\tau_1 \cdot \tau_2))
 \end{aligned}$$

Three-Nucleon Interaction

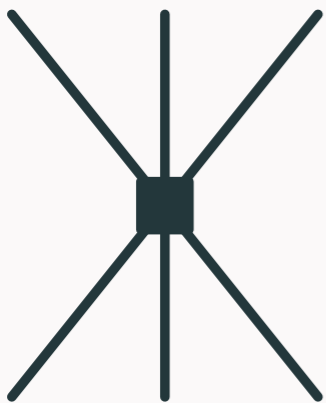
Three-Nucleon Interaction



C_1, C_3, C_4

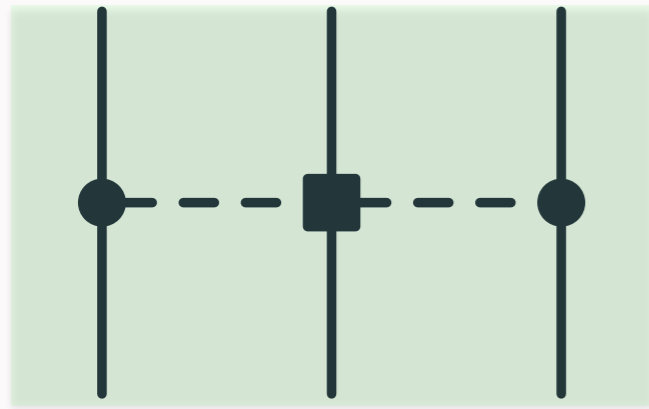


C_D



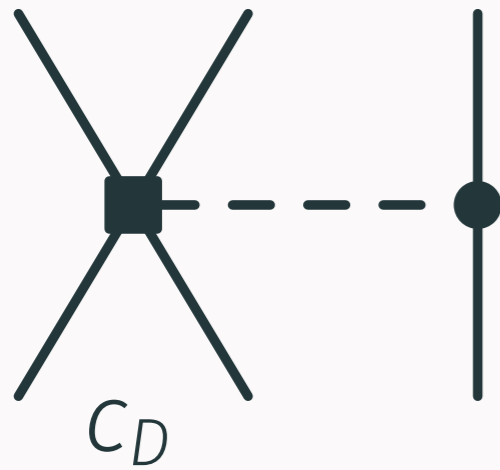
C_E

Three-Nucleon Interaction



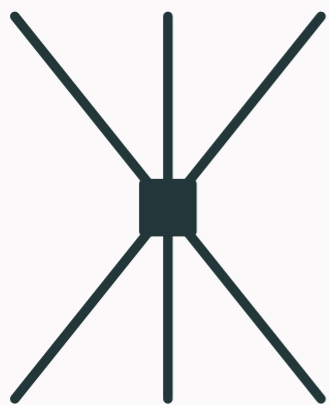
C_1, C_3, C_4

$$\mathcal{F} \left\{ \begin{array}{c} \text{---} \bullet \text{---} \blacksquare \text{---} \bullet \text{---} \\ | \quad | \quad | \\ C_1 \end{array} \right\} \rightarrow \sim \text{Tucson-Melbourne } a' \text{ Term}$$



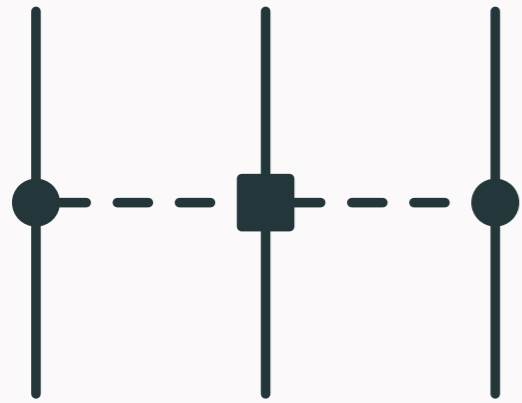
C_D

$$\mathcal{F} \left\{ \begin{array}{c} \text{---} \bullet \text{---} \blacksquare \text{---} \bullet \text{---} \\ | \quad | \quad | \\ C_3, C_4 \end{array} \right\} \rightarrow \sim \text{Fujita-Miyazawa}$$



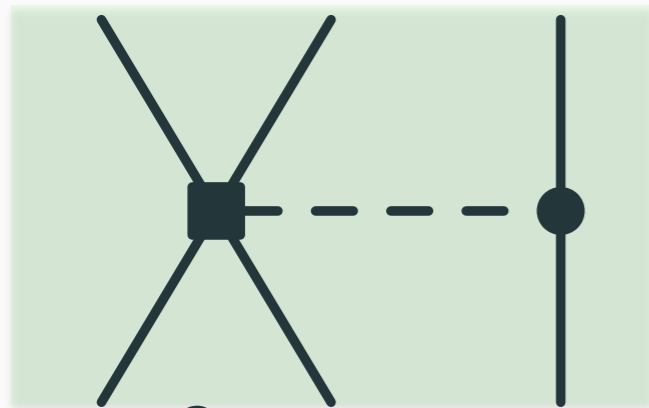
C_E

Three-Nucleon Interaction



C_1, C_3, C_4

$$\mathcal{F} \left\{ \begin{array}{c} \diagup \quad \diagdown \\ \quad \quad \square \\ \diagdown \quad \diagup \\ \quad \quad \cdot \\ \quad \quad | \end{array} \right\} \rightarrow 1\pi\text{-Exchange} + \text{Contact}$$



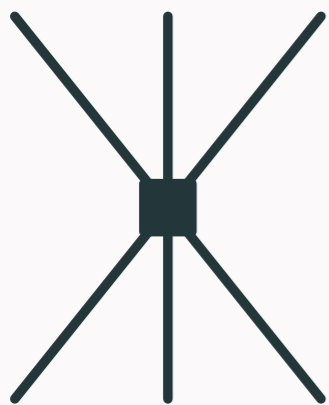
C_D

$$V_{D1} \propto \sum_{i < j < k} \sum_{\text{cyc.}} (\tau_i \cdot \tau_k) \times$$

$$\left[X_{ik}(\mathbf{r}_{kj}) \Delta_{ij} + X_{ik}(\mathbf{r}_{ij}) \Delta_{kj} - \frac{2^3 \pi}{m_\pi^3} (\sigma_i \cdot \sigma_k) \Delta_{ij} \Delta_{kj} \right]$$

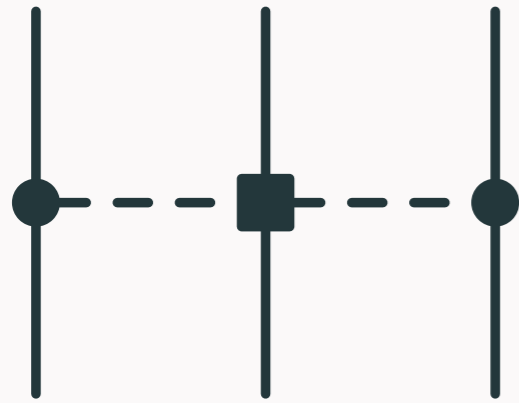
$$V_{D2} \propto \sum_{i < j < k} \sum_{\text{cyc.}} (\tau_i \cdot \tau_k) \times$$

$$\left[X_{ik} - \frac{2^2 \pi}{m_\pi^3} (\sigma_i \cdot \sigma_k) \Delta_{ik} \right] (\Delta_{ij} + \Delta_{kj})$$

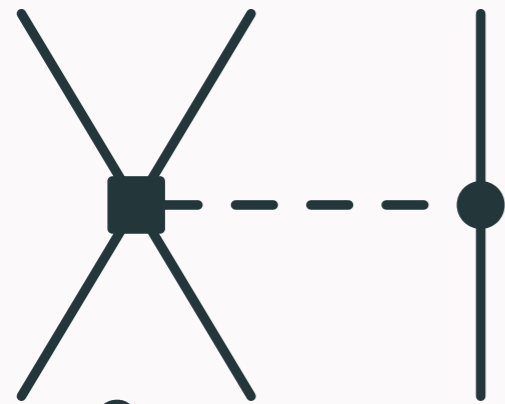


C_E

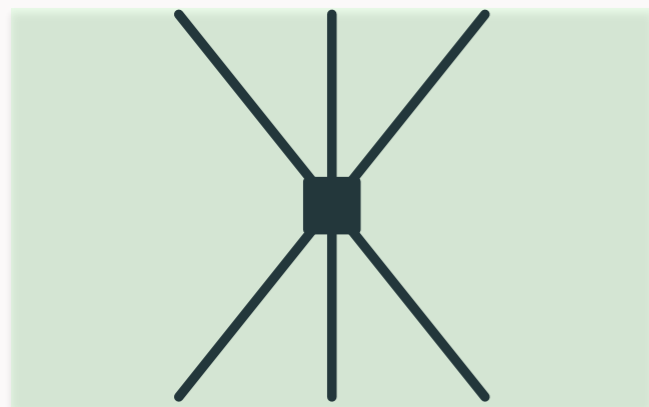
Three-Nucleon Interaction



C_1, C_3, C_4



C_D



C_E

$$\mathcal{F} \left\{ \begin{array}{c} \text{Diagram with square and lines} \\ C_E \end{array} \right\} \rightarrow \text{Contact}$$

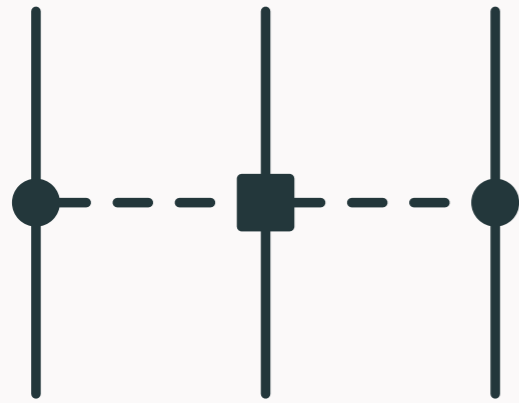
$$V_E \propto \sum_{i < j < k} \sum_{\text{CYC.}} O_{ijk} \Delta_{ij} \Delta_{kj}$$

Fierz rearrangement freedom

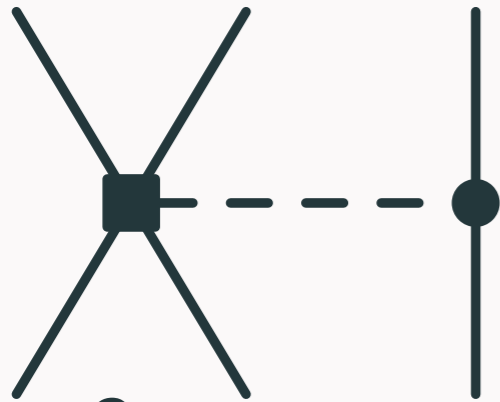
$$O_{ijk} = \{ \mathbb{1}, \sigma_i \cdot \sigma_j, \tau_i \cdot \tau_j, \sigma_i \cdot \sigma_j \tau_i \cdot \tau_j,$$

$$\sigma_i \cdot \sigma_j \tau_i \cdot \tau_k, [(\sigma_i \times \sigma_j) \cdot \sigma_k][(\tau_i \times \tau_j) \cdot \tau_k] \}$$

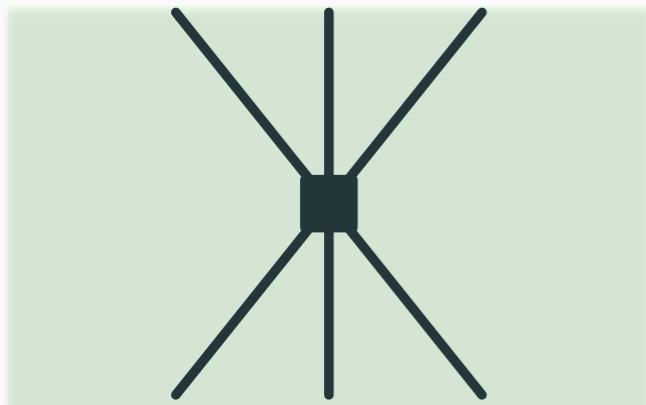
Three-Nucleon Interaction



C_1, C_3, C_4



C_D



C_E

$$\mathcal{F} \left\{ \begin{array}{c} \diagup \quad \diagdown \\ \quad \quad \quad \square \\ \diagdown \quad \diagup \\ C_E \end{array} \right\} \rightarrow \text{Contact}$$

$$V_E \propto \sum_{i < j < k} \sum_{\text{CYC.}} O_{ijk} \Delta_{ij} \Delta_{kj}, \quad \Delta_{ij} \propto e^{-(r_{ij}/R_0)^4}$$

~~Fierz rearrangement freedom~~

$$O_{ijk} = \{ \mathbb{1}, \sigma_i \cdot \sigma_j, \tau_i \cdot \tau_j, \sigma_i \cdot \sigma_j \tau_i \cdot \tau_j,$$

$$\sigma_i \cdot \sigma_j \tau_i \cdot \tau_k, [(\sigma_i \times \sigma_j) \cdot \sigma_k][(\tau_i \times \tau_j) \cdot \tau_k] \}$$

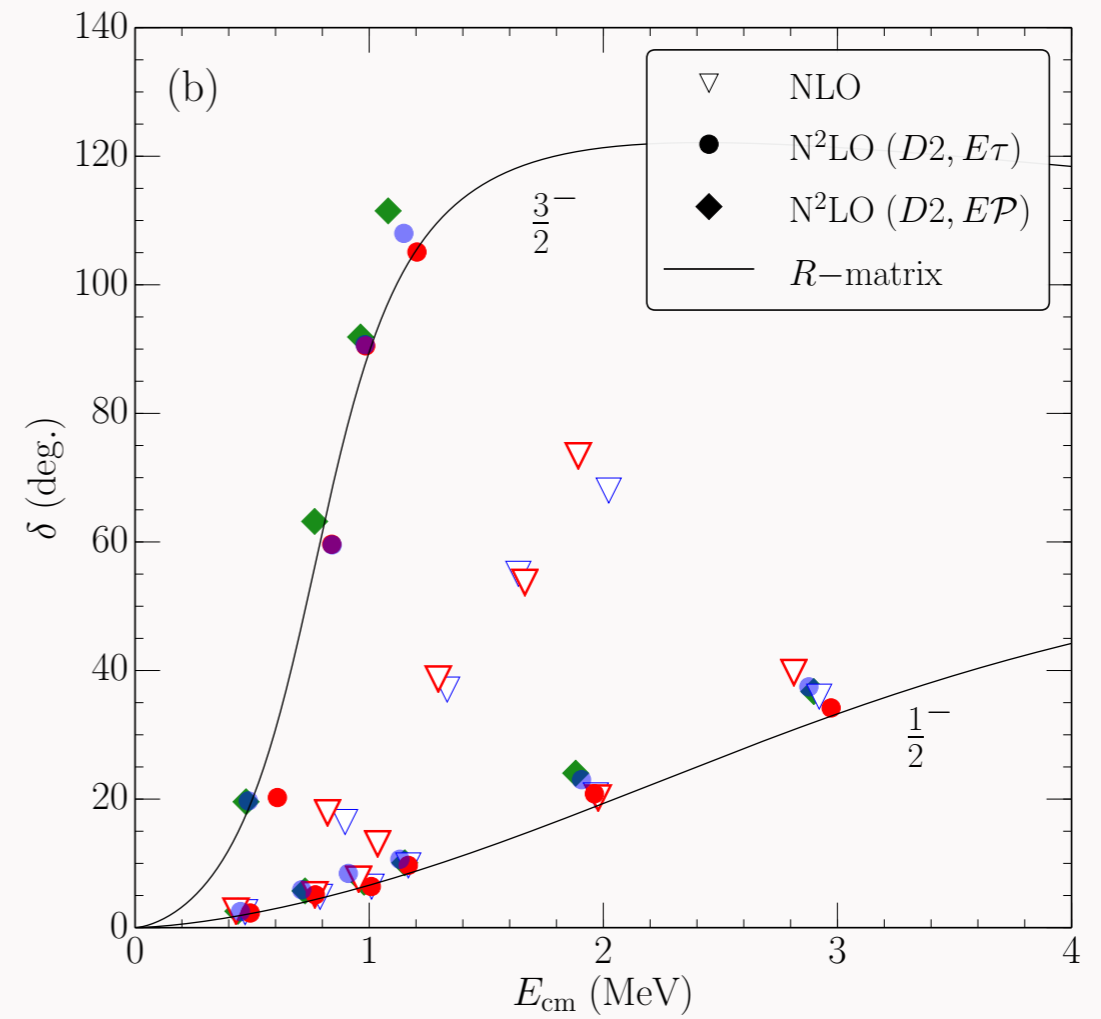
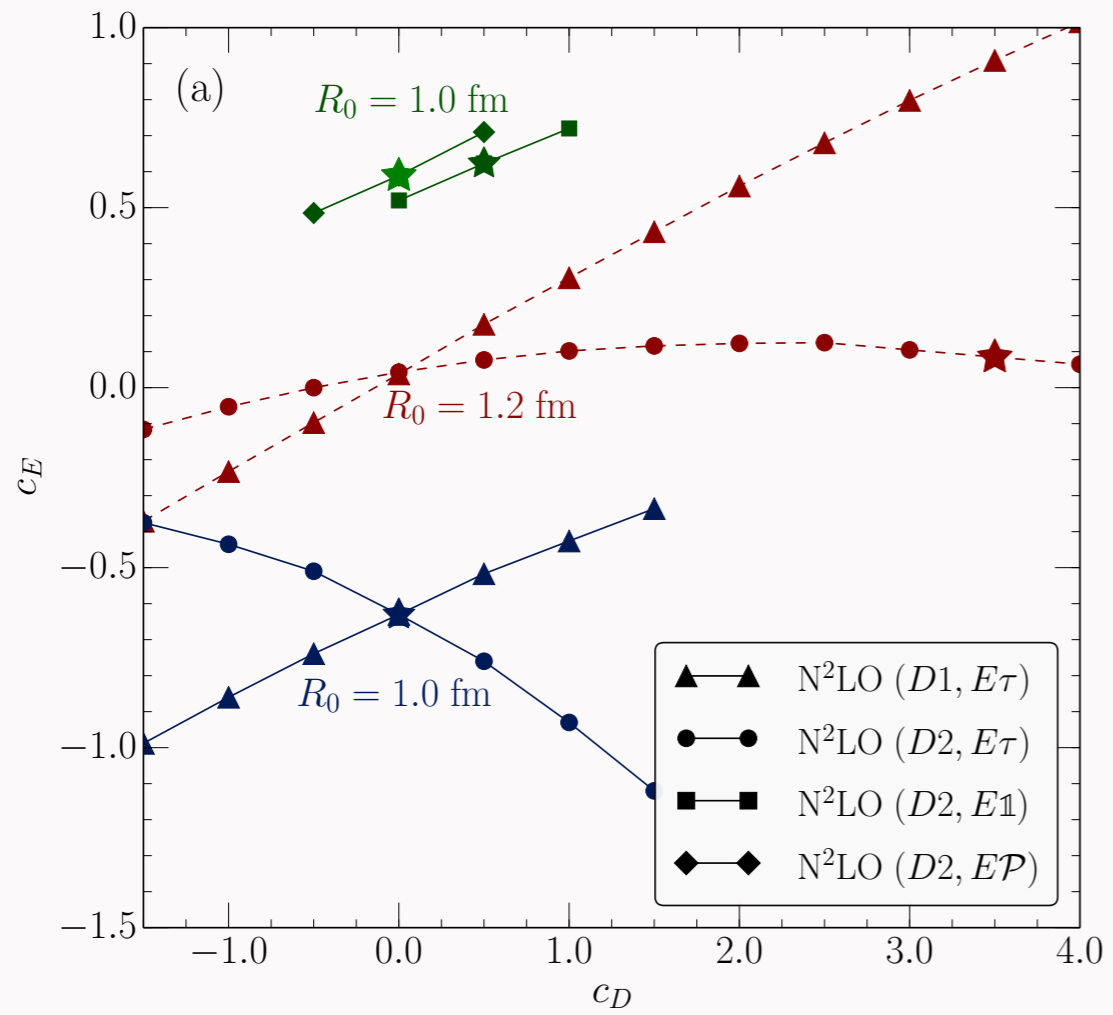
Fits

Choosing Observables

What to fit c_D and c_E to?

- Uncorrelated observables.
- Probe properties of light nuclei: ${}^4\text{He}$ E_B .
- Probe $T = 3/2$ physics: n - α scattering phase shifts.

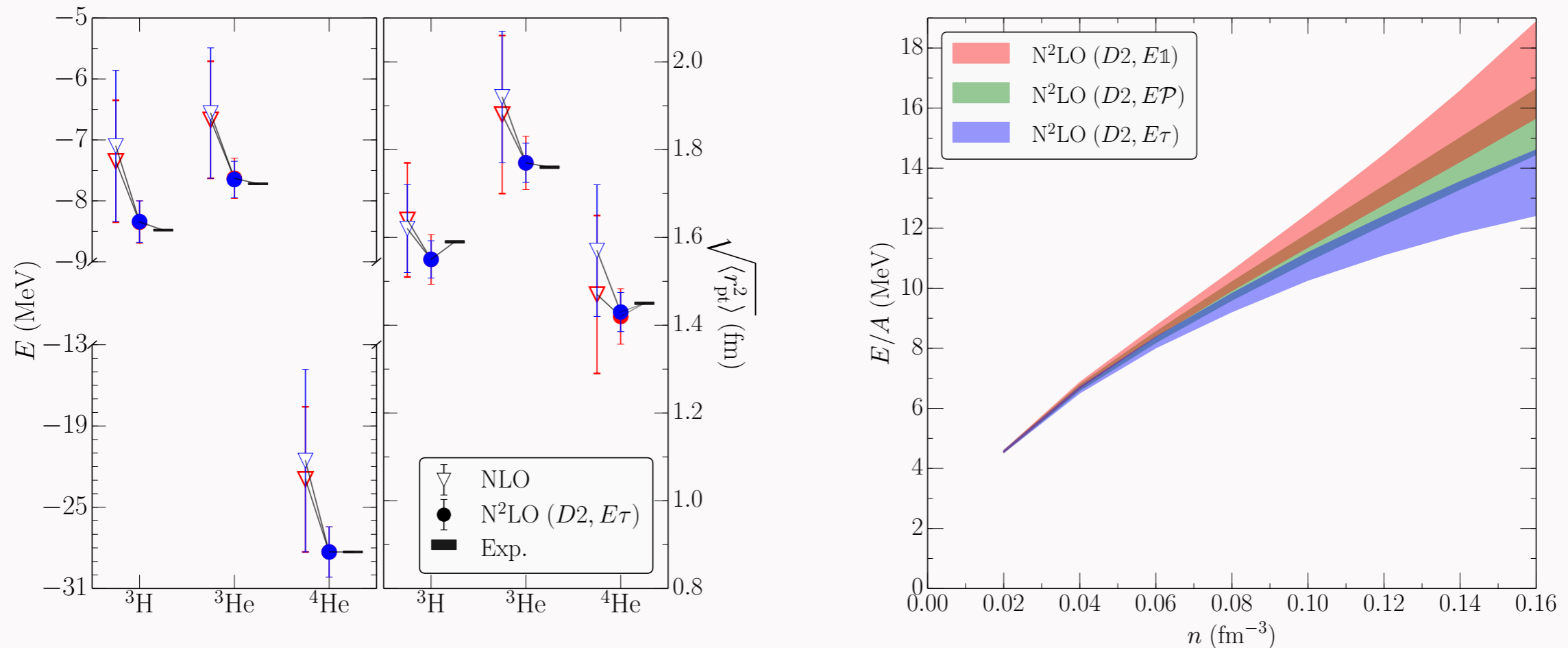
Fits



Results

A simultaneous description of properties of light nuclei, n - α scattering and neutron matter is possible.

Uncertainty analysis as in
E. Epelbaum et al, EPJ **A51**, 53 (2015).



Finite Volume Calculations

Motivation - Nuclei In Finite Volume

- Lattice QCD is the only *ab initio* method available to solve QCD directly at low energies.
- Computational costs mean in our lifetimes, Lattice QCD will not likely simulate, e.g., ^{12}C .
- Need some connection between Lattice QCD and *ab initio* low-energy nuclear theory; e.g. obtaining LECs in chiral EFT from Lattice simulations.

Motivation - Nuclei In Finite Volume

- Lattice QCD is the only *ab initio* method available to solve QCD directly at low energies.
- Computational costs mean in our lifetimes, Lattice QCD will not likely simulate, e.g., ^{12}C .
- Need some connection between Lattice QCD and *ab initio* low-energy nuclear theory;
e.g. obtaining LECs in chiral EFT from Lattice simulations.
Use Lattice ideas to extract resonant properties from finite volume calculations.

Motivation - Lüscher Formula

- Take a simple scattering problem $np \rightarrow d\gamma$.
Near threshold radiative capture in the 1S_0 channel.

- Might expect $L \gg |a^{^1S_0}|, |a^{^3S_1}|$, with, e.g.
 $a^{^1S_0} = -23.71$ fm.

- Not so! Lüscher $\rightarrow p \cot \delta_0(p) = \frac{1}{\pi L} S \left[\left(\frac{Lp}{2\pi} \right)^2 \right],$

$$S(\eta) \equiv \lim_{\Lambda_j \rightarrow \infty} \left(\sum_j^{\Lambda_j} \frac{1}{|j|^2 - \eta} - 4\pi\Lambda_j \right).$$

More On The Lüscher Formula

For low-energy S -wave scattering, can use the effective-range expansion:

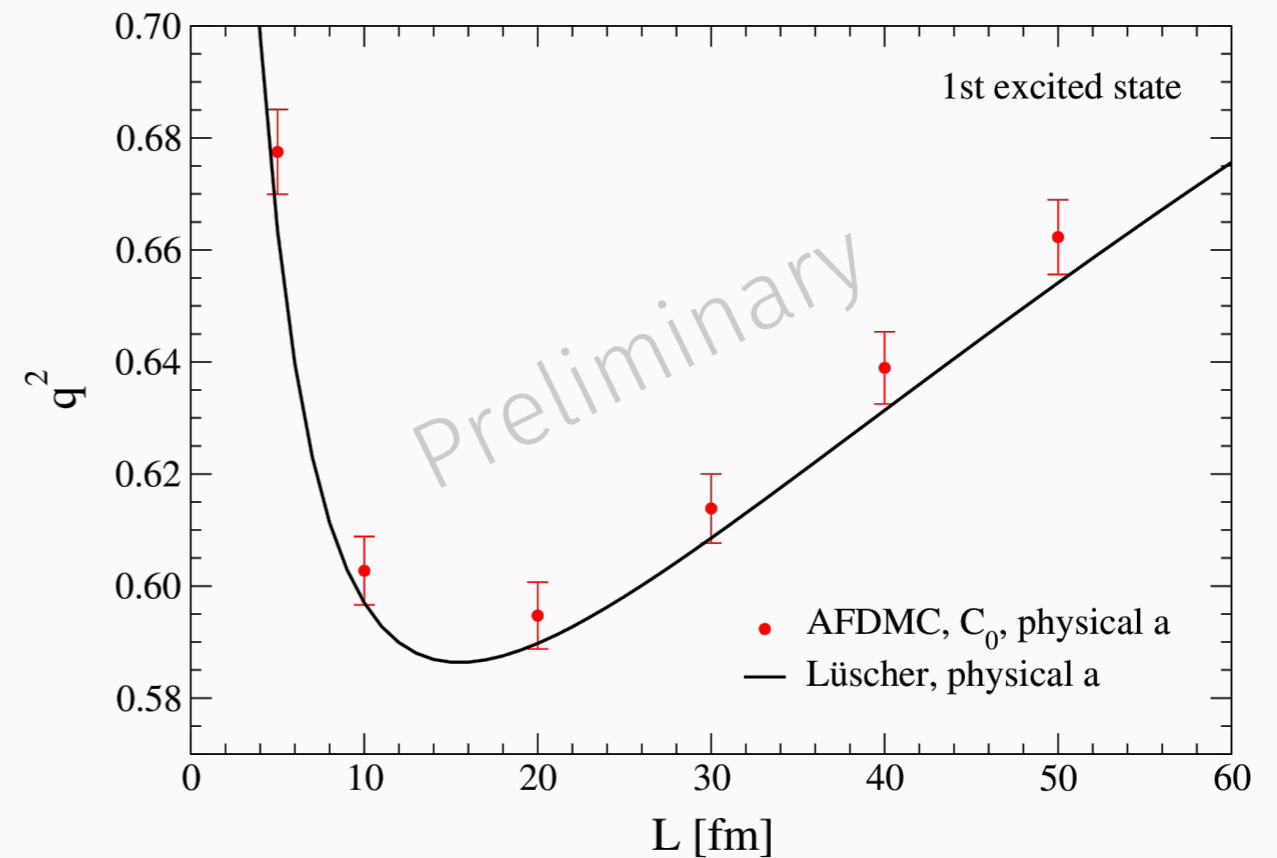
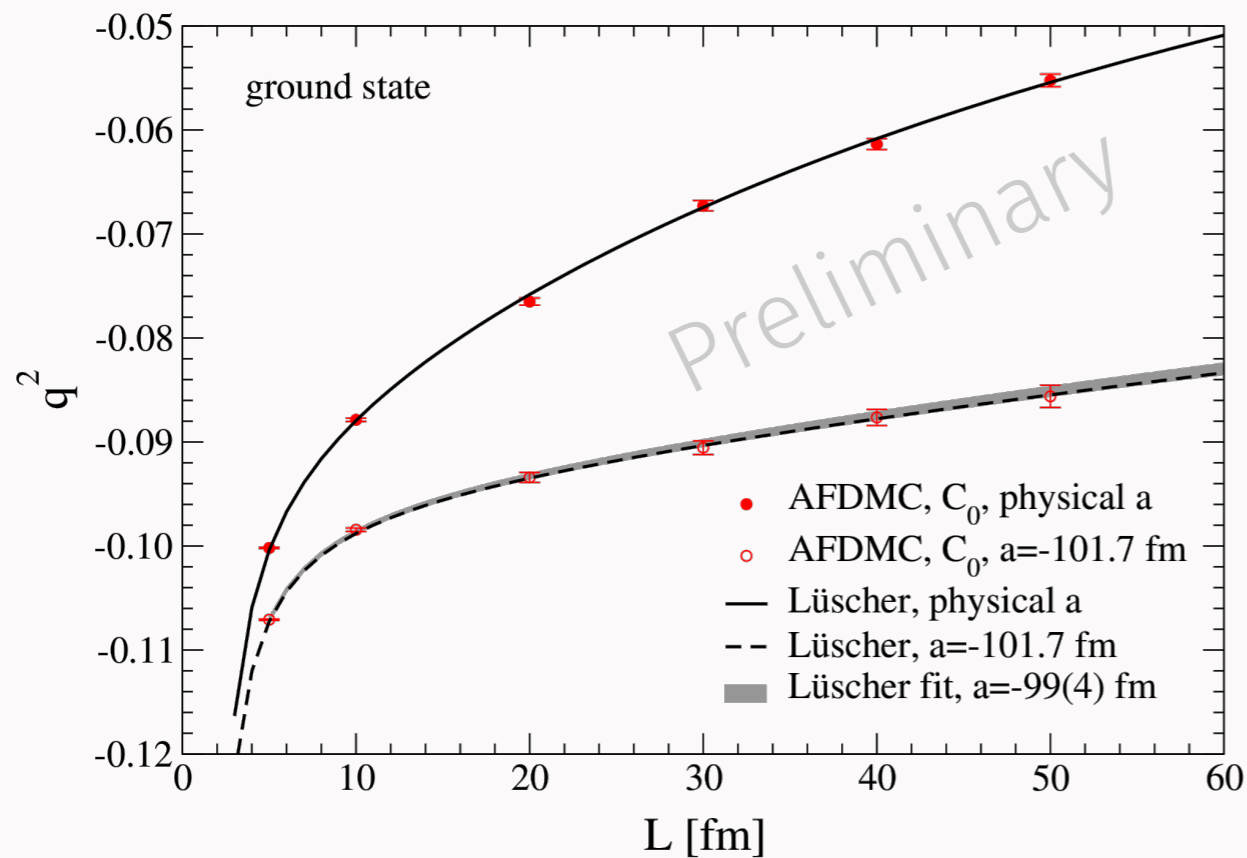
$$-\frac{1}{a^{(1S_0)}} + \frac{1}{2}r_0^{(1S_0)}p^2 = \frac{1}{\pi L}S \left[\left(\frac{Lp}{2\pi} \right)^2 \right].$$

Consider first two neutrons only and a contact interaction (smeared out)

$$V(r) = C_0 \exp \left[- \left(\frac{r}{R_0} \right)^4 \right].$$

Introduce $q = pL/2\pi$.

Results - Contact



First AFDMC calculations of excited states.

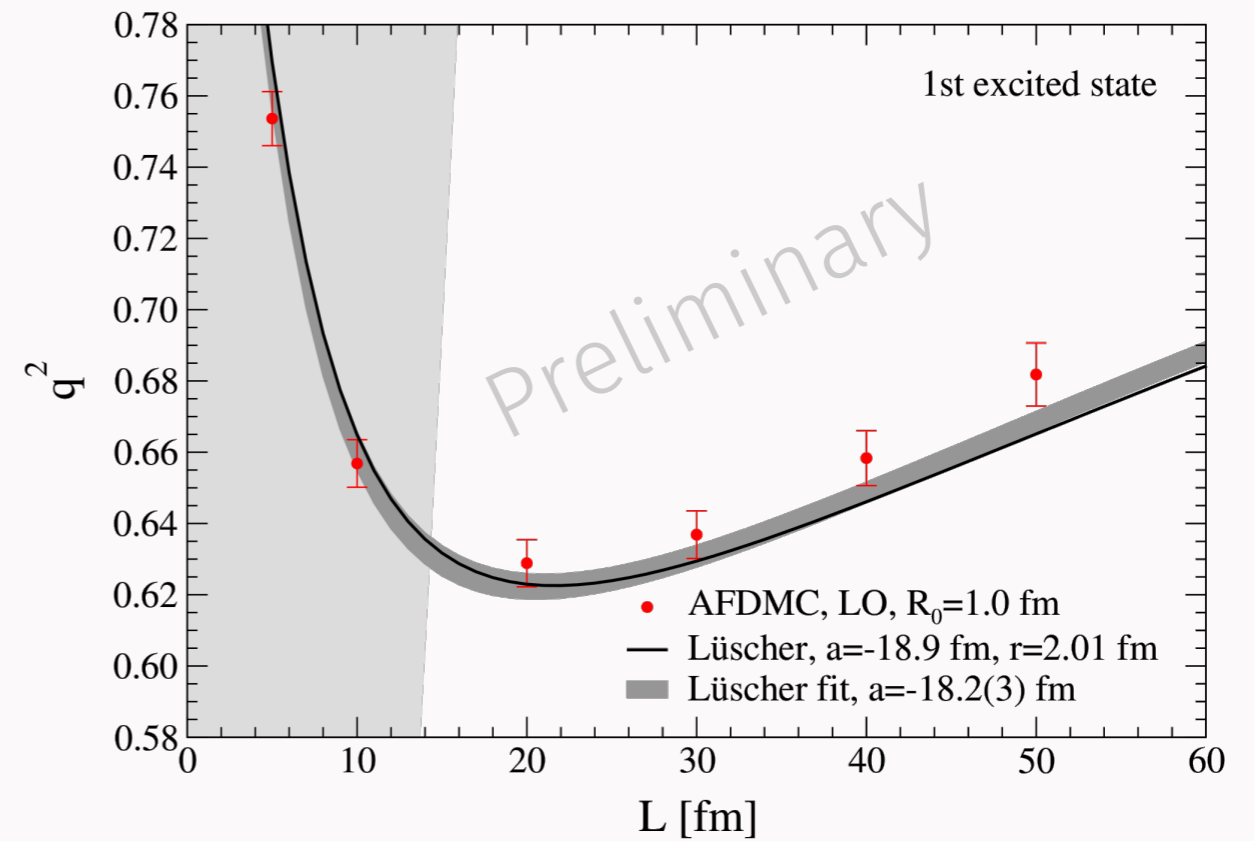
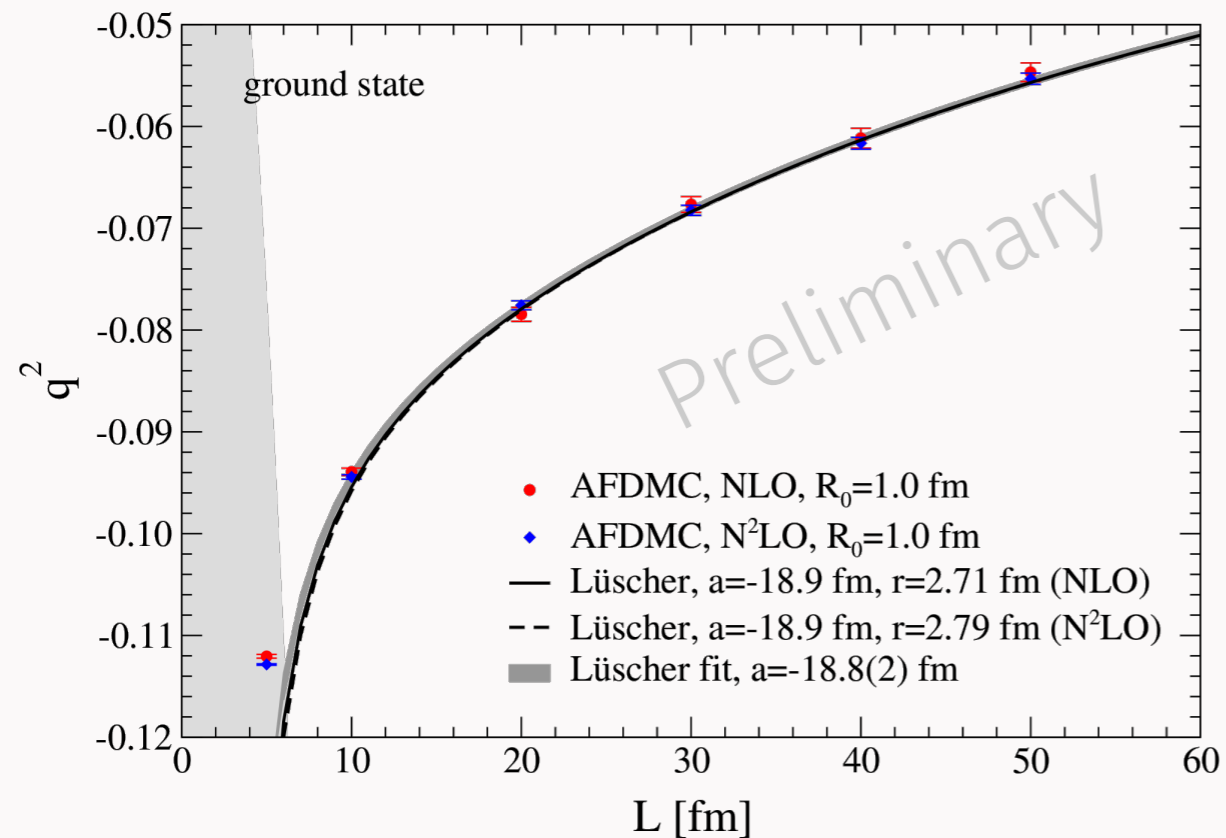
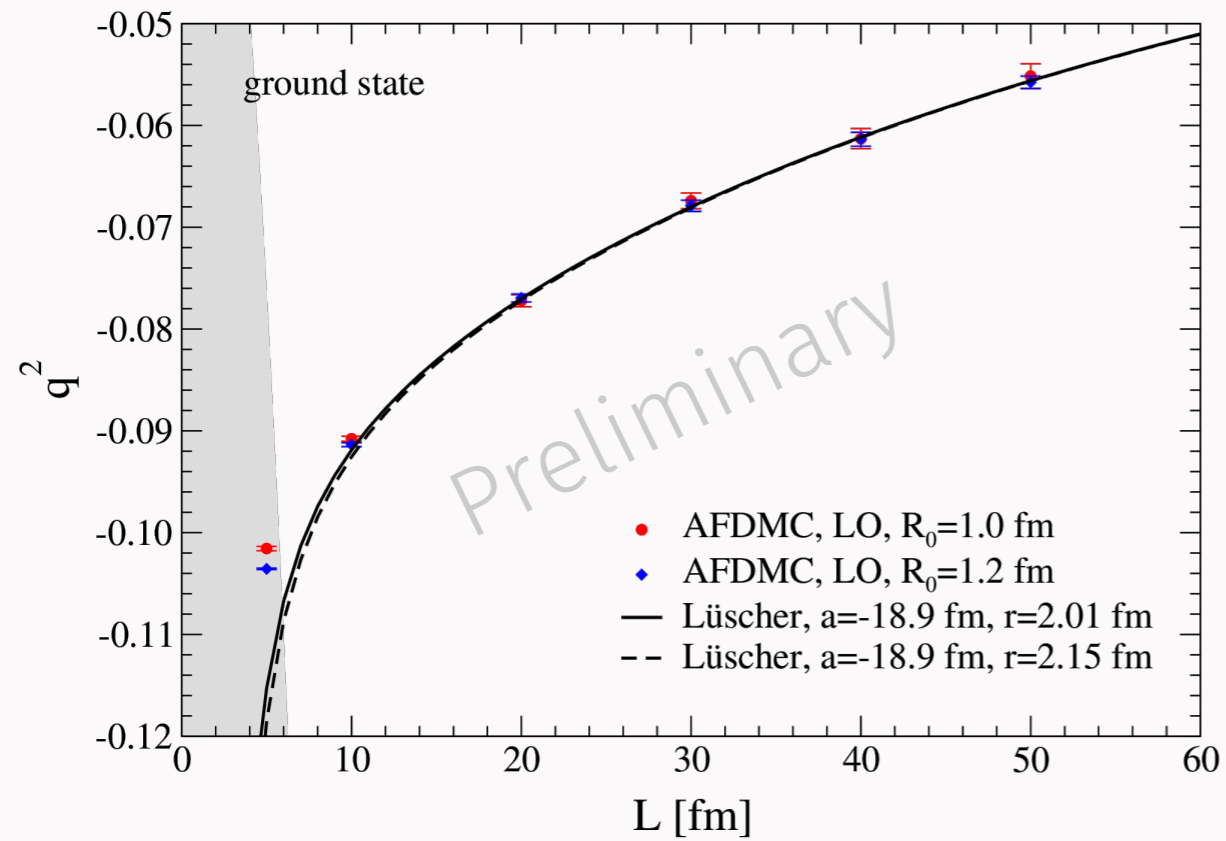
2n In Finite Volume With Chiral Interactions

Now consider chiral EFT interactions.

Standard Lüscher formula assumes \nrightarrow EFT.

$$p \lesssim m_\pi/2$$

Results - Chiral EFT



Summary

- QMC + Chiral EFT is possible and yields new insights.
- More studies of regulator choices and effects are necessary (no surprise to this audience!).
- Chiral two- and three-nucleon interactions at N^2 LO have sufficient freedom to give a good description of light nuclei, n - α scattering, and neutron matter.
- Calculations of nuclei in finite volume will eventually allow for comparison to Lattice QCD calculations.

Thank You!