



INPP

INSTITUTE OF NUCLEAR & PARTICLE PHYSICS

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Ab initio Effective Potentials for NA Scattering based on NCSM Nonlocal One-Body Densities

Ch. Elster

**M. Burrows, S.P. Weppner, K. Launey, P. Maris,
A. Nogga, G. Popa,**

TRIUMF 2019 Workshop
Progress in *ab initio* Techniques
in Nuclear Physics

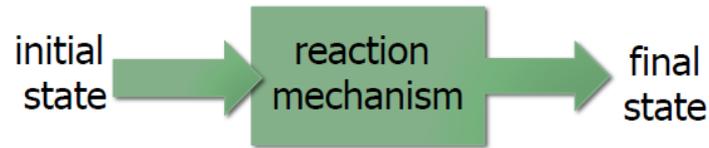
Supported by



Exotic Nuclei are usually short lived:

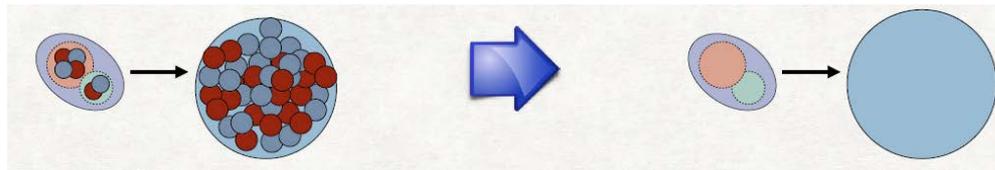
Have to be studied with reactions in inverse kinematics

e.g. direct reaction:



Challenge:

- In the continuum, theory can solve the few-body problem exactly.

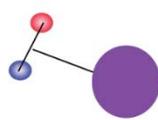
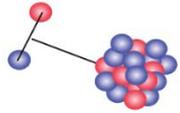


Many-body
problem

Few-body
problem

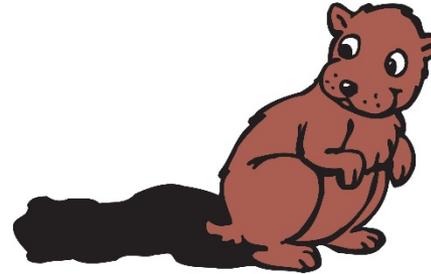
Example (d,p) Reactions:

Reduce Many-Body to Few-Body Problem



Solve few-body problem

“Shadow” ?



Hamiltonian for effective few-body problem:

$$H = H_0 + V_{np} + V_{nA} + V_{pA}$$

Challenges & Opportunities

- **Nucleon-nucleon interaction believed to be well known:**
today: chiral interactions

- **Effective proton (neutron) interactions:**

- purely phenomenological optical potentials fitted to data
- optical potentials with theoretical guidance
- microscopic optical potentials



Isolate relevant degrees of freedom



Formally: separate Hilbert space into P and Q space, and calculate in P space

Projection on P space requires introducing **effective interactions** between the degrees of freedom that are treated explicitly
(Feshbach, Annals Phys. 5 (1958) 357-390)

Effective Interactions: non-local and energy dependent

Isolate relevant degrees of freedom



Formally: separate Hilbert space into P and Q space, and calculate in P space

Projection on P space requires introducing **effective interactions** between the degrees of freedom that are treated explicitly
(Feshbach, Annals Phys. 5 (1958) 357-390)

Effective Interactions: non-local and energy dependent

History: Phenomenological optical potentials

Either fitted to a large global data set OR to a restricted data set

Most general form of optical potential

- $\sum_i [V_{A,Z,N,E}(\mathbf{r}) + i W_{A,Z,N,E}(\mathbf{r})] \text{Operator}_{(i)}$
- Functions are of Woods-Saxon type

Have central and spin orbit term

Fit cross sections, angular distributions polarizations, for a set of nuclei (lightest usually ^{12}C).

No connection to microscopic theory

Today's Goal: effective interaction from *ab initio* methods

Start from many-body Hamiltonian with 2 (and 3) body forces

Theoretical foundations laid by Feshbach and Watson in the 1950s

Feshbach:

→ effective nA interaction via Green's function from solution of many body problem using basis function expansion, e.g. SCGF, CCGF (current truncation to singles and doubles) **energy ~ 10 MeV**

Rotureau, Danielewicz, Hagen, Jansen, Nunes
PRC 95, 024315 (2017)

Idini, Barbieri, Navratil
J.Phys.Conf. 981. 012005 (2018)
Acta Phys. Polon. B48, 273 (2017)

Watson:

→ Multiple scattering expansion, e.g. spectator expansion (current truncation to 2 active particles)

Spectator Expansion:

Siciliano, Thaler (1977)

Picklesimer, Thaler (1981)

Expansion in:

- particles active in the reaction
- antisymmetrized in active particles

"fast reaction", i.e. ≥ 100 MeV

Elastic Scattering (Watson approach)

- In- and Out-States have the target in ground state Φ_0
- Projector on ground state $\mathbf{P} = |\Phi_0\rangle\langle\Phi_0|$
 - With $\mathbf{1}=\mathbf{P}+\mathbf{Q}$ and $[\mathbf{P},\mathbf{G}_0]=0$
- For elastic scattering one needs: $\mathbf{P T P} = \mathbf{P U P} + \mathbf{P U P G}_0(\mathbf{E}) \mathbf{P T P}$

$$\mathbf{T} = \mathbf{U} + \mathbf{U G}_0(\mathbf{E}) \mathbf{P T}$$

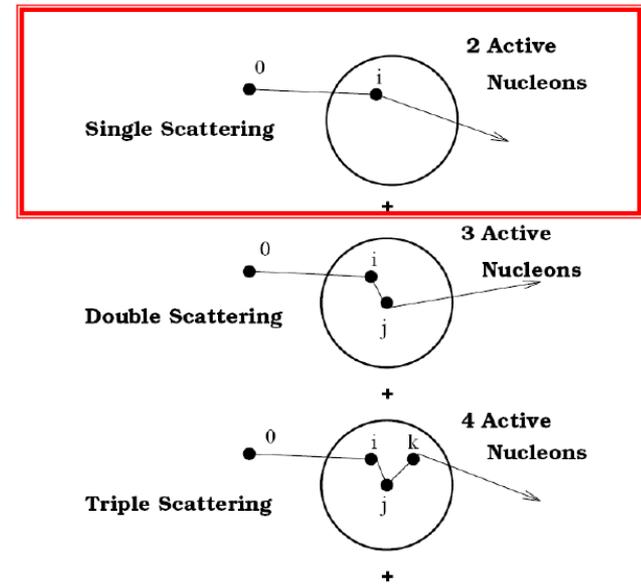
$$\mathbf{U} = \mathbf{V} + \mathbf{V G}_0(\mathbf{E}) \mathbf{Q U} \quad \Leftarrow \text{effective (optical) potential}$$

Up to here exact

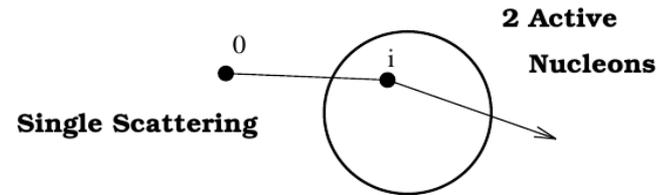
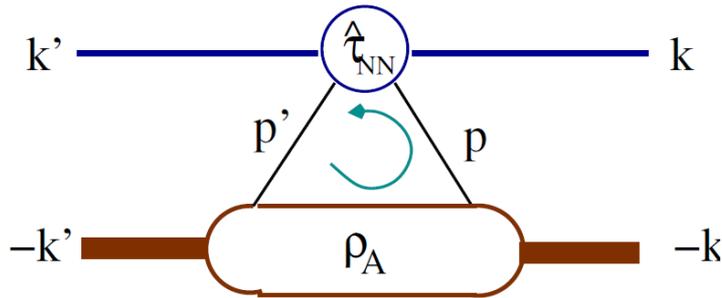
Spectator Expansion of \mathbf{U} :

1st order: single scattering: $\mathbf{U}^{(1)} \approx \sum_{i=0}^A \tau_{0i}$

Chinn, Elster, Thaler, PRC 47, 2242 (1993)



Computing the first order folding potential $U^{(1)} \approx \sum_{i=0}^A \tau_{0i}$



*NN scattering
amplitudes*

*Nuclear
one-body density*

$$U_{el}(\mathbf{q}, \mathbf{K}) = \sum_{i=n,p} \int d\mathbf{P} \eta(\mathbf{q}, \mathbf{K}, \mathbf{P}) \hat{\tau}_{0i} \left(\mathbf{q}, \frac{1}{2} \left(\frac{A+1}{A} \mathbf{K} - \mathbf{P} \right), \mathcal{E} \right) \rho_i \left(\mathbf{P} - \frac{A-1}{2A} \mathbf{q}, \mathbf{P} + \frac{A-1}{2A} \mathbf{q} \right)$$

$$\mathbf{K} \equiv \frac{\mathbf{k} + \mathbf{k}'}{2} \quad \mathbf{P} \equiv \frac{\mathbf{k}_i + \mathbf{k}'_i}{2} + \frac{\mathbf{K}}{A}$$

$$\mathbf{q} \equiv \mathbf{k}' - \mathbf{k}$$

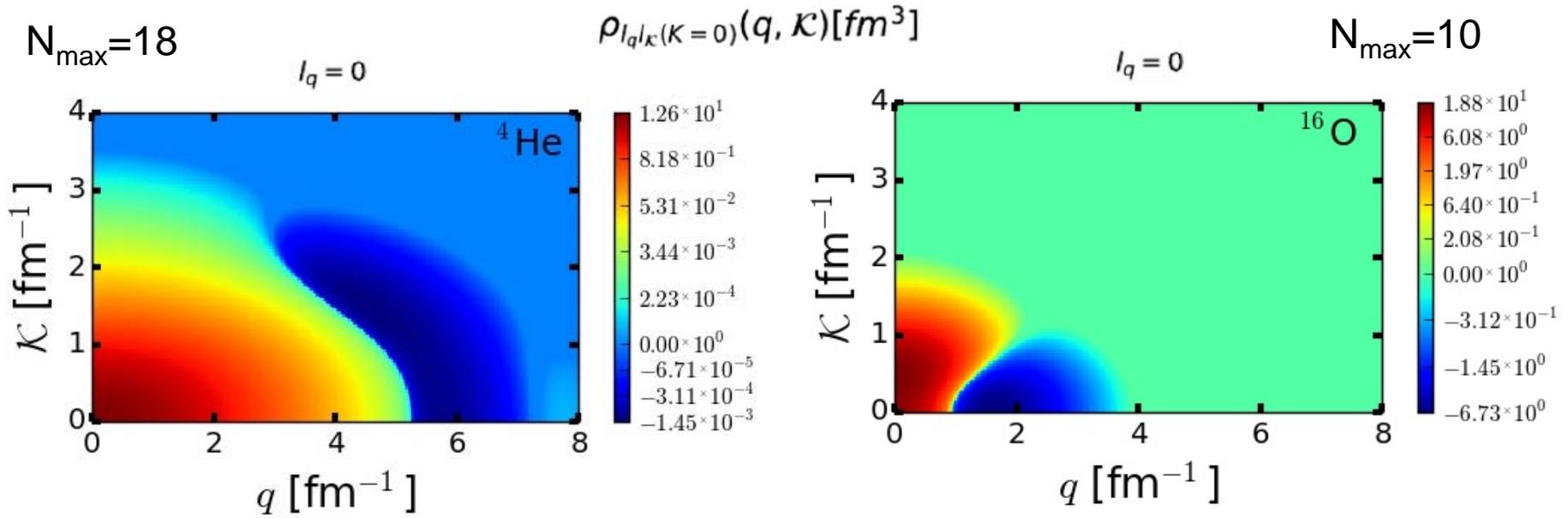
Same NN Interaction can now be used for NN t-matrix and one-body density matrix

Effective Potential is non-local and energy dependent

Details of implementation designed for energies ≥ 100 MeV

Nonlocal one-body densities from NCSM (or SA-NCSM)

translationally invariant (NNLO_{opt}, proton distribution, $\hbar\omega=20$ MeV)



start from:

$$\vec{q} = \vec{p}' - \vec{p}$$

$$\vec{K} = \frac{1}{2}(\vec{p}' + \vec{p})$$

$$\rho_{sf}(\vec{r}, \vec{r}') = \langle \Psi' | \sum_{i=1}^A \delta^3(\vec{r}_i - \vec{r}) \delta^3(\vec{r}'_i - \vec{r}') | \Psi \rangle$$

remove CoM:

Burrows, Elster, Popa, Launey, Nogga, Maris, PRC 97, 024325 (2018)

and obtain $\rho_{ti}(\vec{q}, \vec{K}) \equiv$ scalar function of 2 vector momenta

NN amplitude: $f_{NN}(k'k;E) = C \langle k' | t_{NN}(E) | k \rangle$

Variables $(E, k', k, \varphi) \Rightarrow (E, q, K, \theta)$

with $q = k' - k$
 $K = \frac{1}{2}(k' + k)$

NN t-matrix in Wolfenstein representation:

Projectile "0" : plane wave basis
 Struck nucleon "i" : target basis

Usual assumption:
 Spin saturated
 ground state

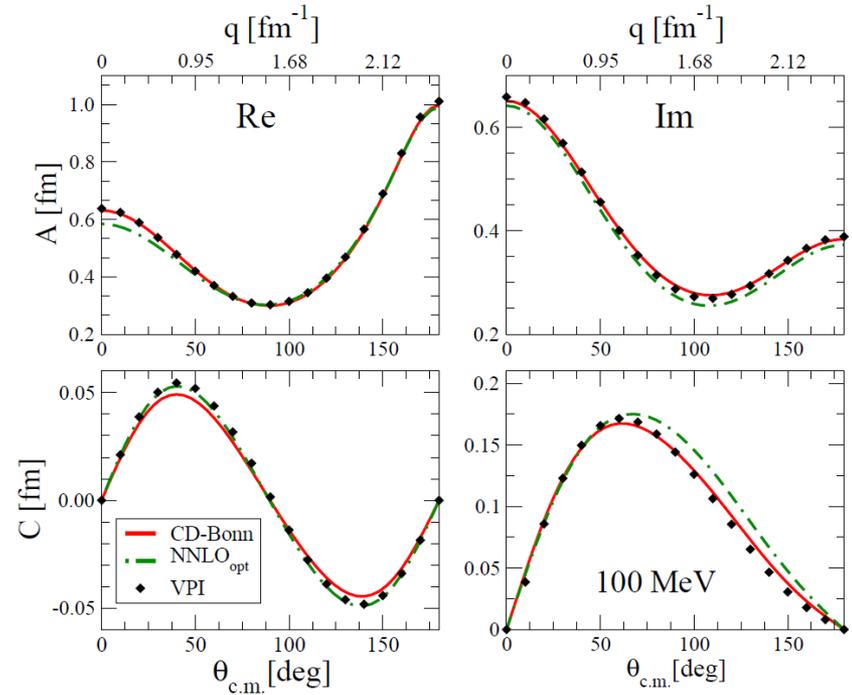
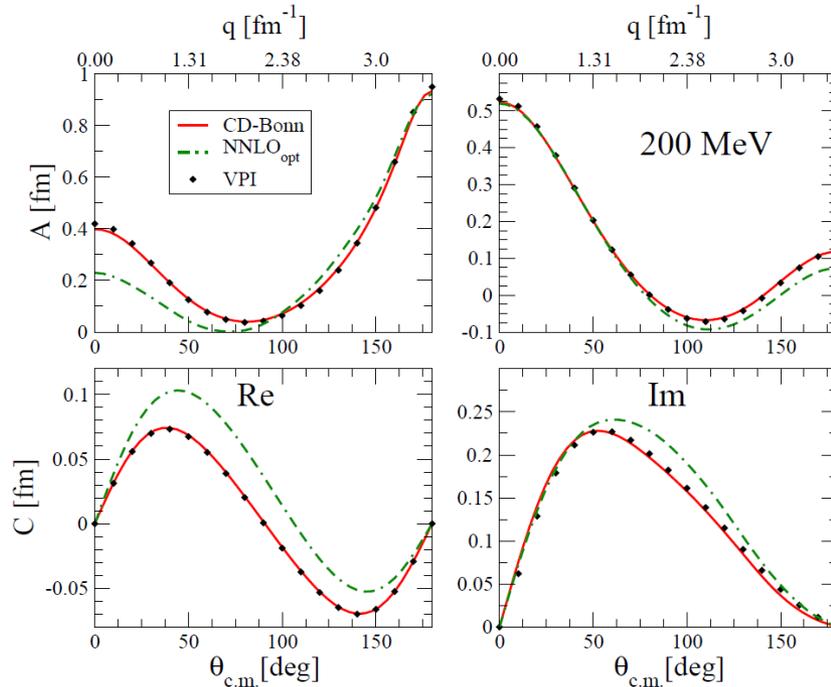
$$\begin{aligned} \bar{M}(q, K_{NN}, \mathcal{E}) = & A(q, K_{NN}, \mathcal{E}) \mathbf{1} + i \cdot C(q, K_{NN}, \mathcal{E}) (\boldsymbol{\sigma}^{(0)} \otimes \mathbf{1} + \mathbf{1} \otimes \boldsymbol{\sigma}^{(i)}) \cdot \hat{\mathbf{n}}_{NN} \\ & + M(q, K_{NN}, \mathcal{E}) (\boldsymbol{\sigma}^{(0)} \cdot \hat{\mathbf{n}}_{NN}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{\mathbf{n}}_{NN}) \\ & + (G(q, K_{NN}, \mathcal{E}) - H(q, K_{NN}, \mathcal{E})) (\boldsymbol{\sigma}^{(0)} \cdot \hat{\mathbf{q}}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{\mathbf{q}}) \\ & + (G(q, K_{NN}, \mathcal{E}) + H(q, K_{NN}, \mathcal{E})) (\boldsymbol{\sigma}^{(0)} \cdot \hat{\mathbf{K}}_{NN}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{\mathbf{K}}_{NN}) \\ \text{---} \\ & + D(q, K_{NN}, \mathcal{E}) \left[(\boldsymbol{\sigma}^{(0)} \cdot \hat{\mathbf{q}}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{\mathbf{K}}_{NN}) + (\boldsymbol{\sigma}^{(0)} \cdot \hat{\mathbf{K}}_{NN}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{\mathbf{q}}) \right] \text{ Off-shell} \end{aligned}$$

Remark: Spin dependence is not explicit in usual definition of one-body density matrix

NNLO_{opt}
 fitted to
 $E_{\text{lab}} = 125 \text{ MeV}$

Wolfenstein Amplitudes A and C

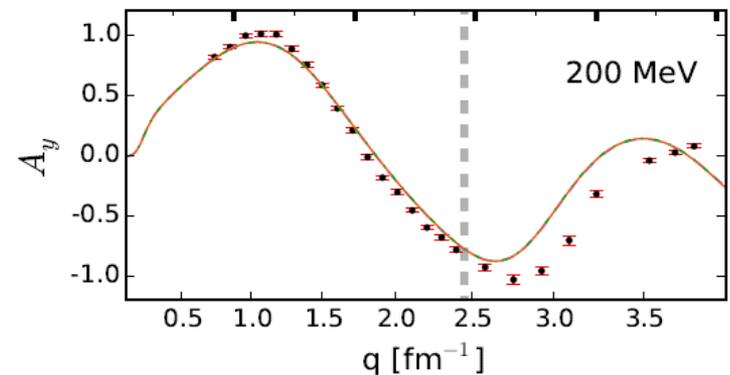
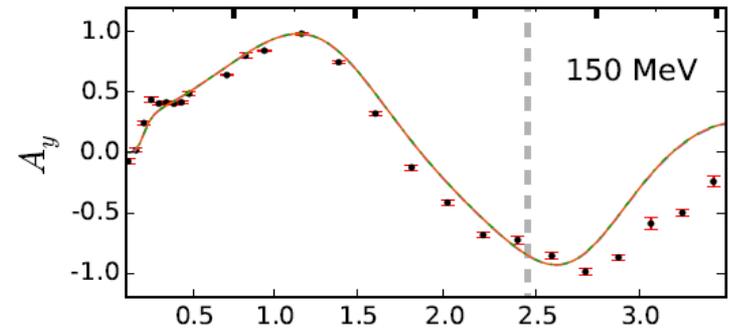
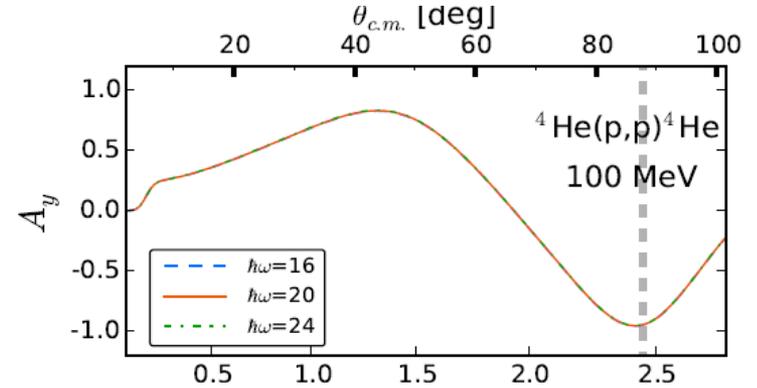
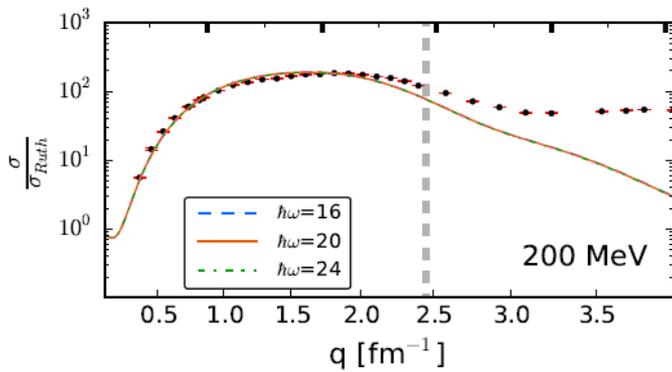
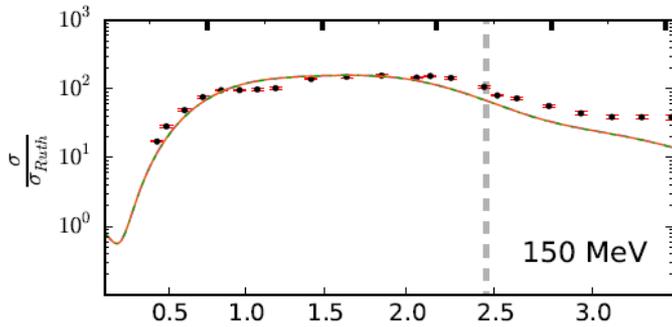
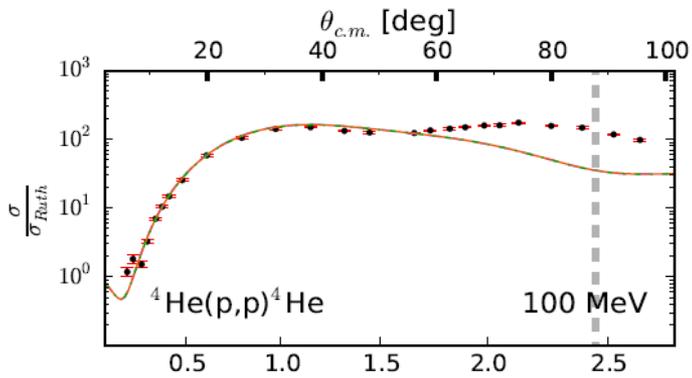
\rightarrow max.
 momentum
 transfer
 $\approx 2.45 \text{ fm}^{-1}$



${}^4\text{He}$

Nmax=18

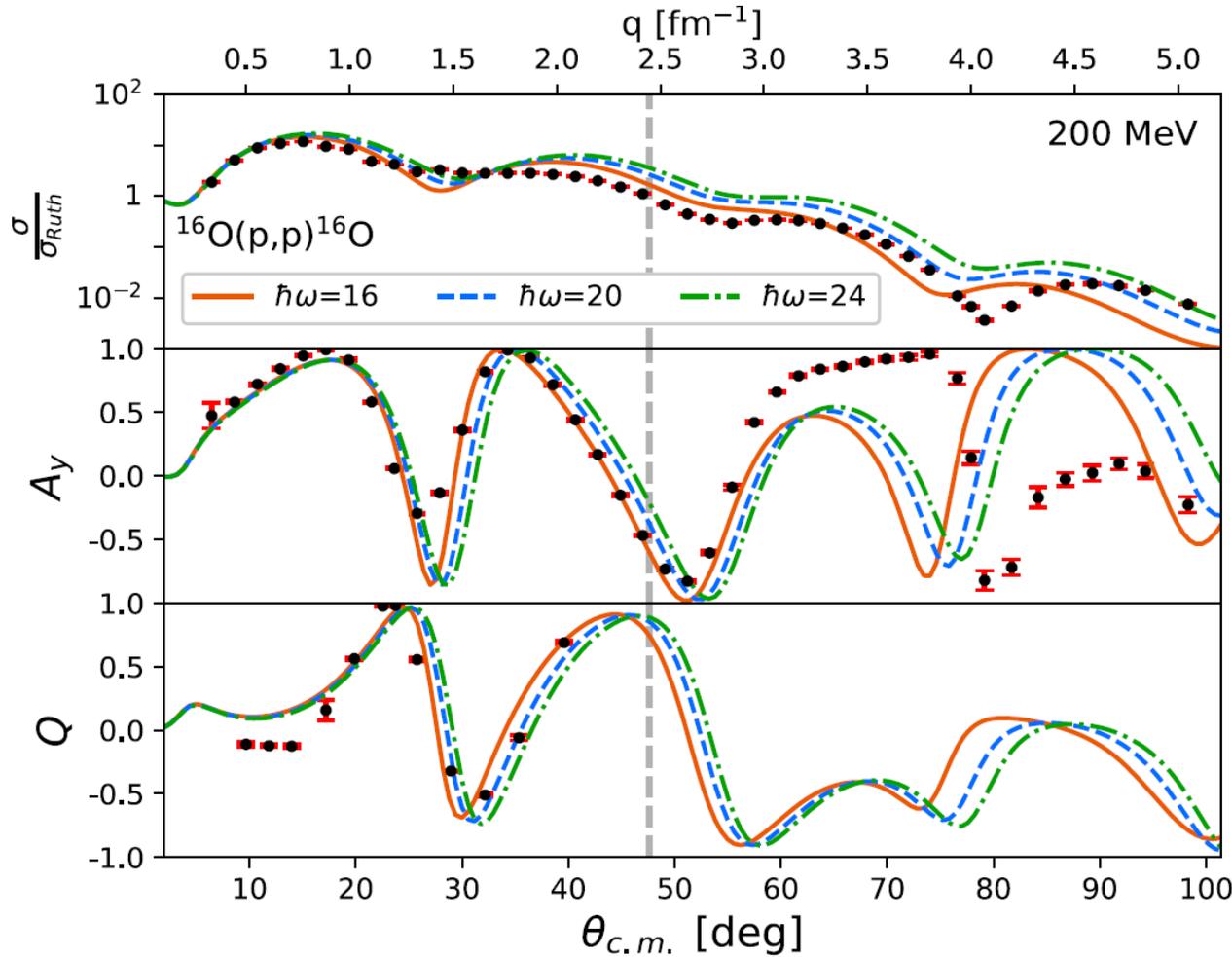
$$\vec{q}_{nn} = \vec{q}_{nA} = \vec{q}$$
$$q \approx 480 \text{ MeV} = 2.45 \text{ fm}^{-1}$$



NNLO_{opt}
fitted up to
Elab=125
MeV

Burrows, Elster, Weppner, Launey, Maris, Nogga, Popa
[arXiv:1810.06442](https://arxiv.org/abs/1810.06442)

$N_{\max}=10$



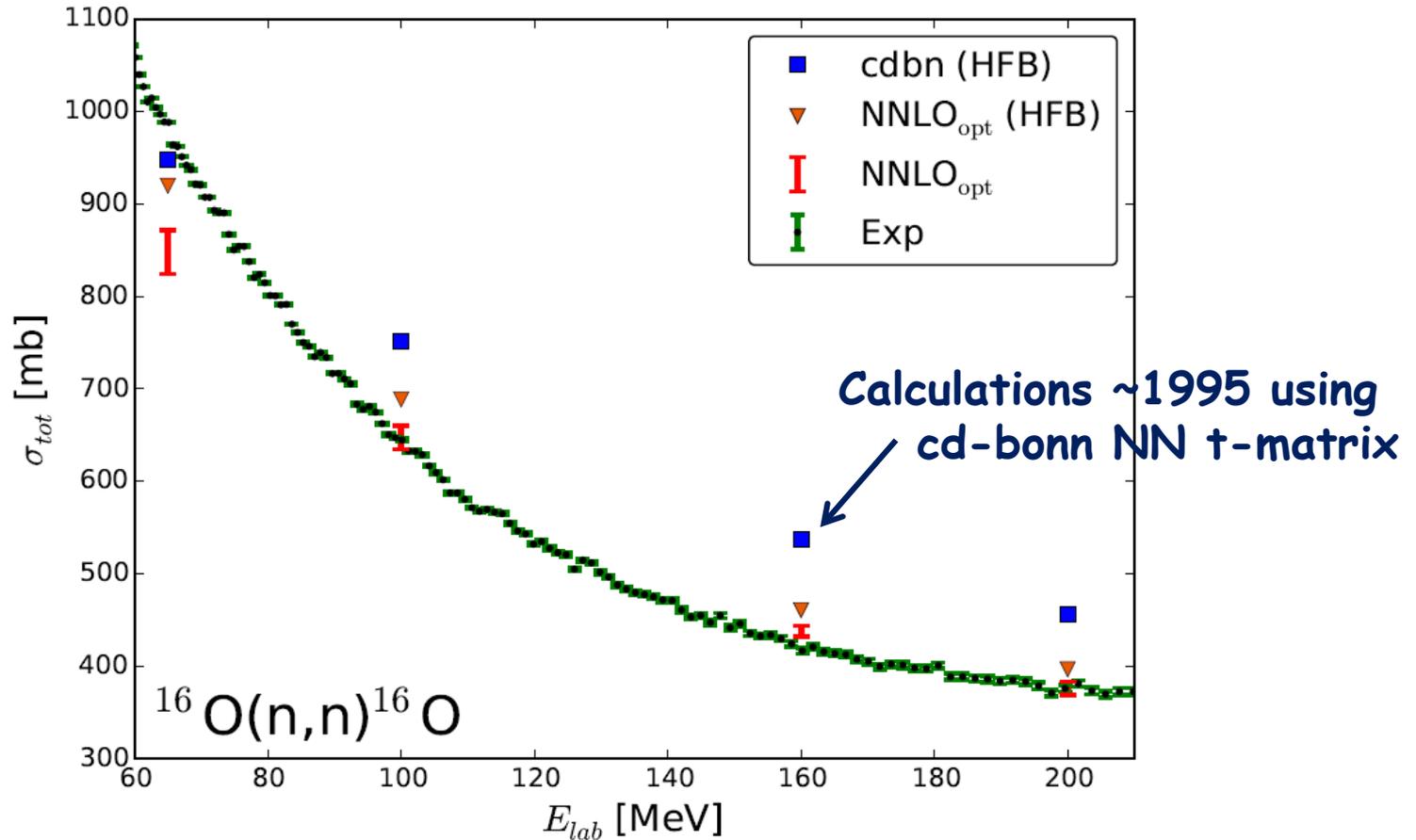
$$\vec{q}_{nn} = \vec{q}_{nA} = \vec{q}$$

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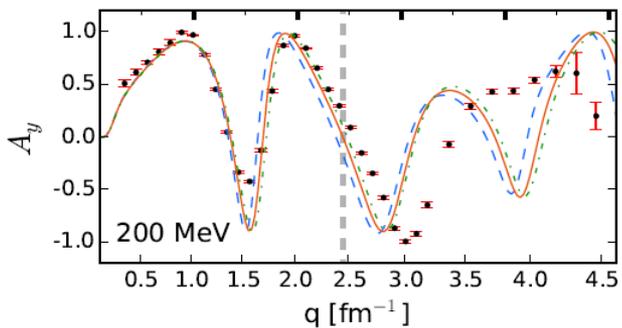
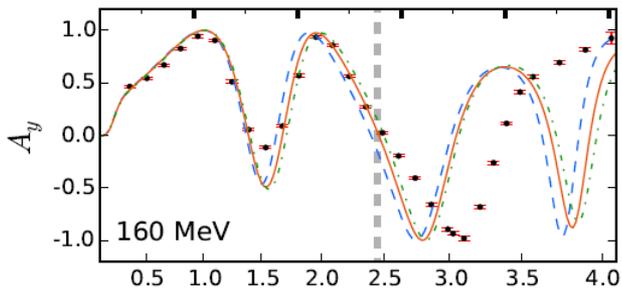
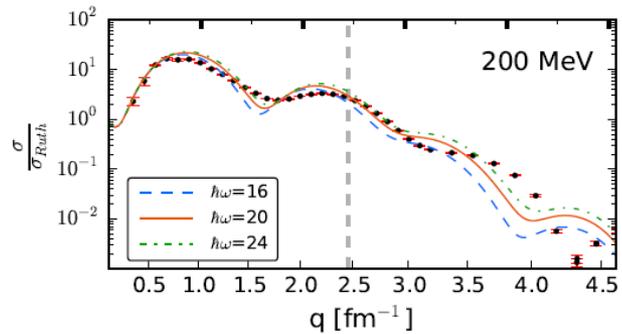
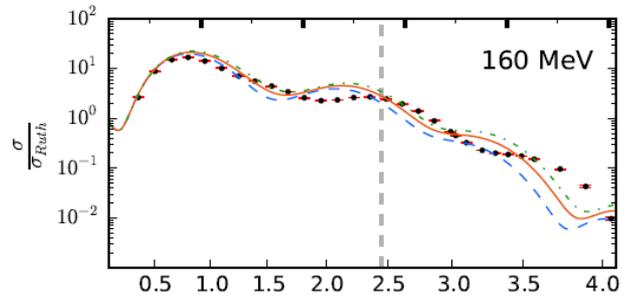
NNLO_{opt}
fitted up to
Elab=125
MeV

Burrows, Elster, Weppner, Launey,
Maris, Nogga, Popa
arXiv:1810.06442

Total cross section for neutron scattering



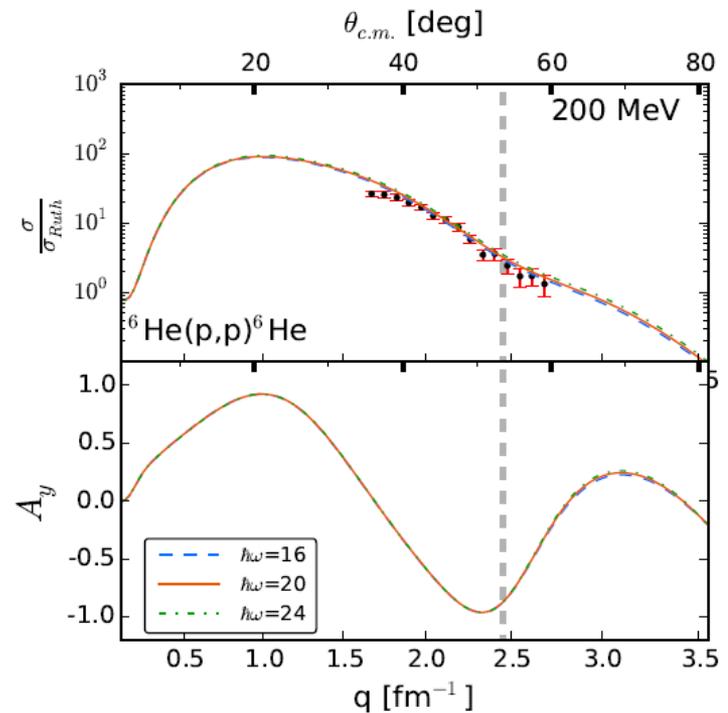
$^{12}\text{C}(p,p)^{12}\text{C}$



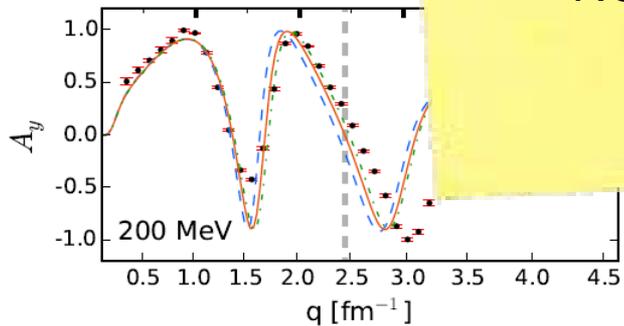
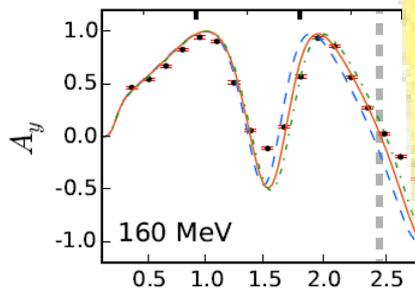
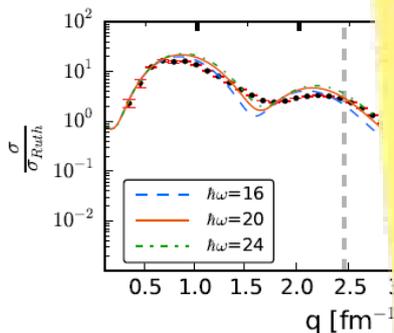
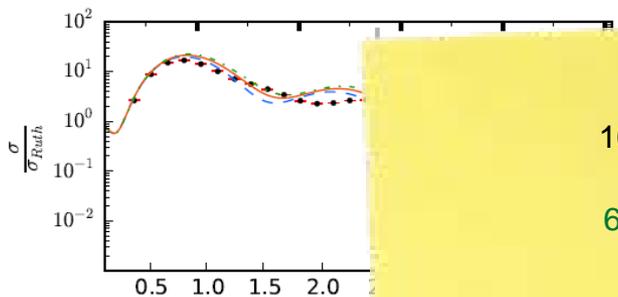
Note:

Implementation of first order term
(past, present, all groups)

only exact for spin saturated ground states
(\equiv spin-flip of struck target nucleon neglected)



$^{12}\text{C}(p,p)^{12}\text{C}$



^{16}O : spin-0 contribution $\sim 95\%$

^6He : spin-0 contribution $\sim 80\text{-}85\%$

^{12}C : spin-0 contribution $\sim 60\%$

Consider that calculations contain:

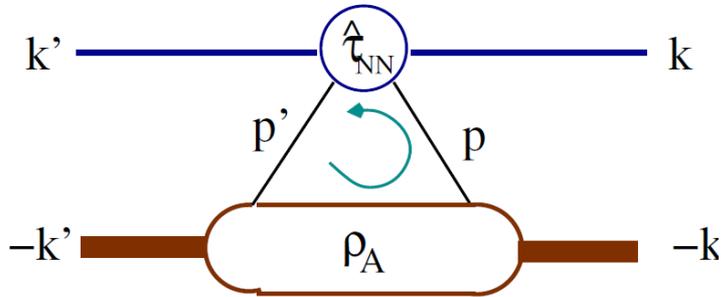
Reaction Theory $\approx 1992 \pm 2$

Crespo, Johnson, Tostevin
Chinn, Elster, Thaler, Weppner
Arellano, Brieva, Love

Nuclear force employed ≈ 2013

NCSM calculation matured $\approx 2000 +$

Improve on reaction theory (first order term)



$$\mathbf{K} \equiv \frac{\mathbf{k} + \mathbf{k}'}{2} \quad \mathbf{P} \equiv \frac{\mathbf{k}_i + \mathbf{k}'_i}{2} + \frac{\mathbf{K}}{A}$$

$$\mathbf{q} \equiv \mathbf{k}' - \mathbf{k}$$

*NN scattering
amplitudes*

*Nuclear
one-body density*

$$U_{el}(\mathbf{q}, \mathbf{K}) = \sum_{i=n,p} \int d\mathbf{P} \eta(\mathbf{q}, \mathbf{K}, \mathbf{P}) \hat{\tau}_{0i} \left(\mathbf{q}, \frac{1}{2} \left(\frac{A+1}{A} \mathbf{K} - \mathbf{P} \right), \mathcal{E} \right) \rho_i \left(\mathbf{P} - \frac{A-1}{2A} \mathbf{q}, \mathbf{P} + \frac{A-1}{2A} \mathbf{q} \right)$$

Define one-body density such that information on spin is contained explicitly

$$\rho_{q_s}^{K_s}(\vec{r}_s, \vec{r}'_s) = \left\langle \Phi' \left| \sum_{i=1}^A \delta^3(r_i - r_s) \delta^3(r'_i - r'_s) \widehat{\tau}_{(i)q_s}^{K_s} \right| \Phi \right\rangle$$

Scalar:

$$K_s = 0 \quad : \quad \widehat{\tau}_{(i)0}^0 = 1$$

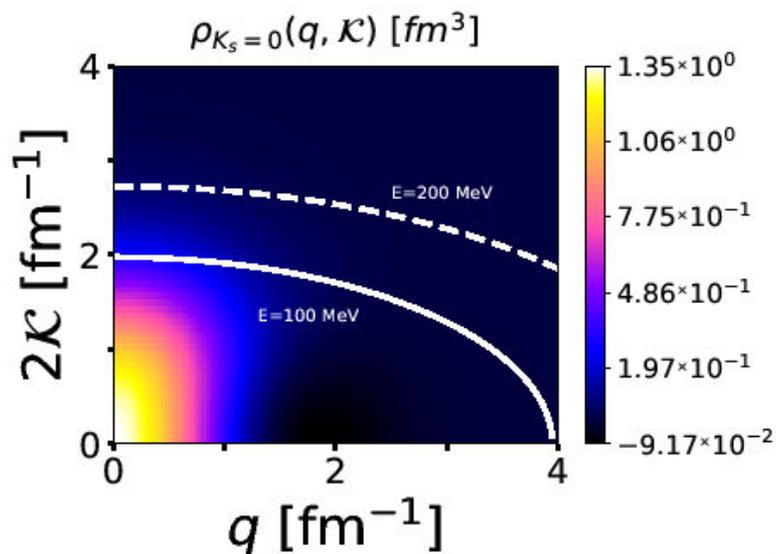
Spin-dependent

$$K_s = 1 \quad : \quad \widehat{\tau}_{(i)0}^1 = 2\hat{\sigma}_z$$

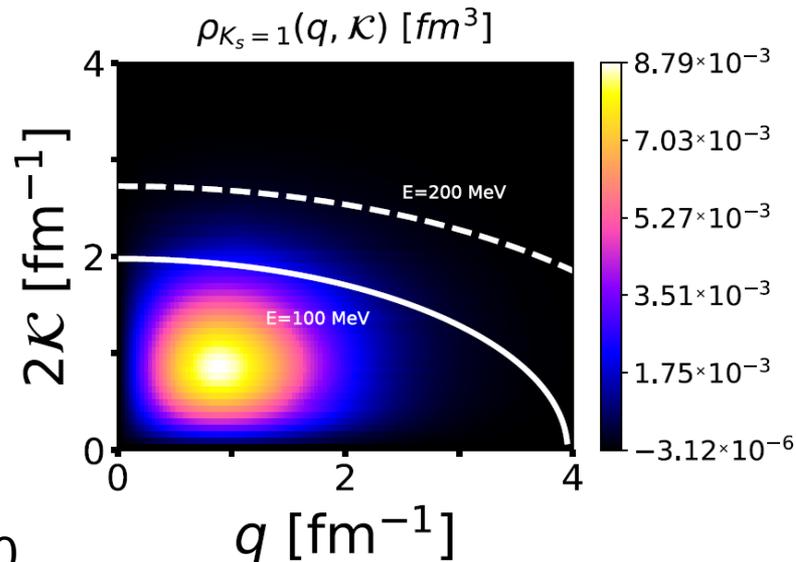
$$\quad \quad : \quad \widehat{\tau}_{(i)-1}^1 = \frac{1}{\sqrt{2}} (\hat{\sigma}_x - i\hat{\sigma}_y)$$

$$\quad \quad : \quad \widehat{\tau}_{(i)1}^1 = -\frac{1}{\sqrt{2}} (\hat{\sigma}_x + i\hat{\sigma}_y)$$

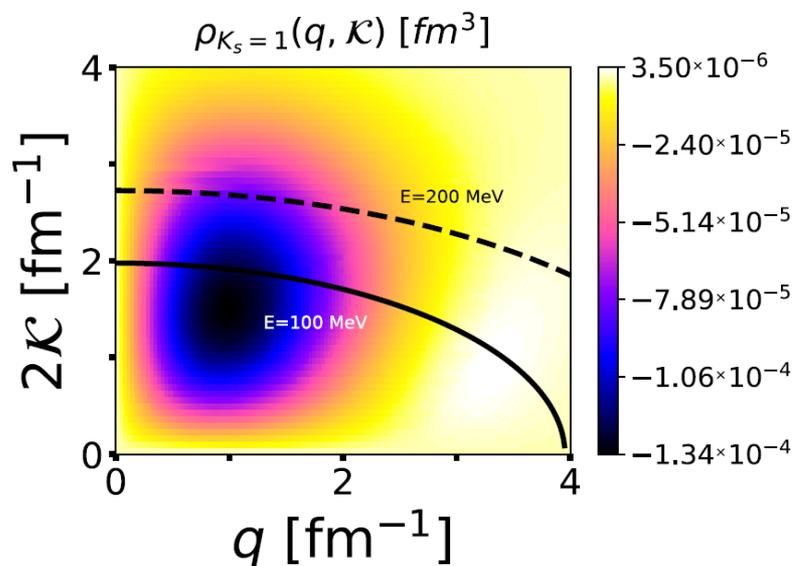
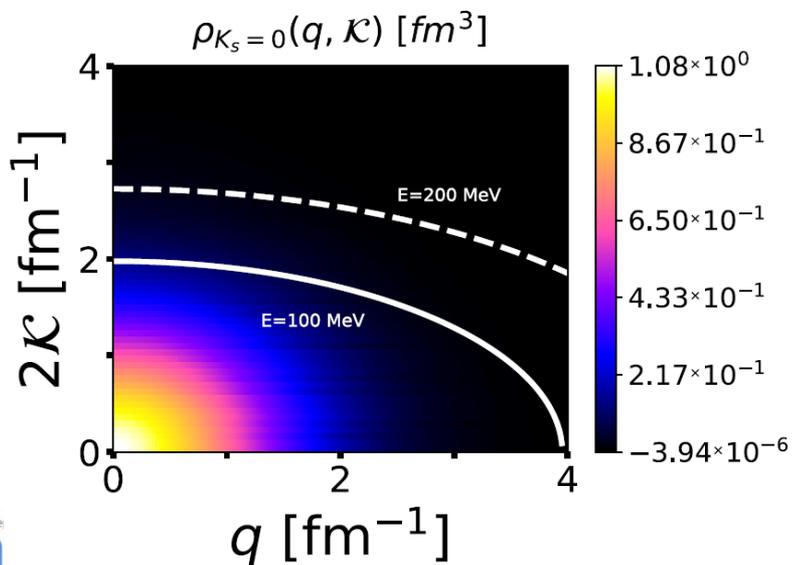
${}^6\text{He}$: neutron density matrix ($N_{\text{max}}=6$ $\hbar\omega=20$ MeV) [CoM removed]



$q \bullet K=0$



Proton density matrix



NN amplitude: $f_{NN}(k'k;E) = C \langle k' | t_{NN}(E) | k \rangle$

with $q = k' - k$
 $K = \frac{1}{2} (k' + k)$

Variables (E,k',k,φ) \Rightarrow (E, q, K, θ)

NN t-matrix in Wolfenstein representation:

Projectile “0” : plane wave basis
 Struck nucleon “i” : target basis

$$\begin{aligned} \bar{M}(q, K_{NN}, \mathcal{E}) = & A(q, K_{NN}, \mathcal{E}) \mathbf{1} + i \cdot C(q, K_{NN}, \mathcal{E}) (\boldsymbol{\sigma}^{(0)} \otimes \mathbf{1} + \mathbf{1} \otimes \boldsymbol{\sigma}^{(i)}) \cdot \hat{\mathbf{n}}_{NN} \\ & + M(q, K_{NN}, \mathcal{E}) (\boldsymbol{\sigma}^{(0)} \cdot \hat{\mathbf{n}}_{NN}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{\mathbf{n}}_{NN}) \\ & + (G(q, K_{NN}, \mathcal{E}) - H(q, K_{NN}, \mathcal{E})) (\boldsymbol{\sigma}^{(0)} \cdot \hat{\mathbf{q}}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{\mathbf{q}}) \\ & + (G(q, K_{NN}, \mathcal{E}) + H(q, K_{NN}, \mathcal{E})) (\boldsymbol{\sigma}^{(0)} \cdot \hat{\mathbf{K}}_{NN}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{\mathbf{K}}_{NN}) \\ & \text{-----} \\ & + D(q, K_{NN}, \mathcal{E}) \left[(\boldsymbol{\sigma}^{(0)} \cdot \hat{\mathbf{q}}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{\mathbf{K}}_{NN}) + (\boldsymbol{\sigma}^{(0)} \cdot \hat{\mathbf{K}}_{NN}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{\mathbf{q}}) \right] \text{ Off-shell} \end{aligned}$$

Calculate matrix elements:

$$v_n(\vec{r}'_s, \vec{r}_s) = \left\langle \Phi' \left| \sum_{i=1}^A \delta^3(r_i - r_s) \delta^3(r'_i - r'_s) \left[\widehat{T}_{(i)}^{K_s=1} \cdot \widehat{\mathbf{n}}_{t.i} \right]_0^0 \right| \Phi \right\rangle$$

Result: matrix elements containing $\sigma \cdot q$ and $\sigma \cdot K$ give zero net contribution

NN amplitude: $f_{NN}(k'k;E) = C \langle k' | t_{NN}(E) | k \rangle$

with $q = k' - k$
 $K = \frac{1}{2} (k' + k)$

Variables $(E, k', k, \varphi) \Rightarrow (E, q, K, \theta)$

NN t-matrix in Wolfenstein representation:

Projectile "0" : plane wave basis
 Struck nucleon "i" : target basis

$$\bar{M}(q, K_{NN}, \mathcal{E}) = A(q, K_{NN}, \mathcal{E}) \mathbf{1} + i \cdot C(q, K_{NN}, \mathcal{E}) (\boldsymbol{\sigma}^{(0)} \otimes \mathbf{1} + \mathbf{1} \otimes \boldsymbol{\sigma}^{(i)}) \cdot \hat{n}_{NN}$$

$$+ M(q, K_{NN}, \mathcal{E}) (\boldsymbol{\sigma}^{(0)} \cdot \hat{n}_{NN}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{n}_{NN})$$

$$+ (G(q, K_{NN}, \mathcal{E}) - H(q, K_{NN}, \mathcal{E})) (\boldsymbol{\sigma}^{(0)} \cdot \hat{q}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{q})$$

$$+ (G(q, K_{NN}, \mathcal{E}) + H(q, K_{NN}, \mathcal{E})) (\boldsymbol{\sigma}^{(0)} \cdot \hat{K}_{NN}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{K}_{NN})$$

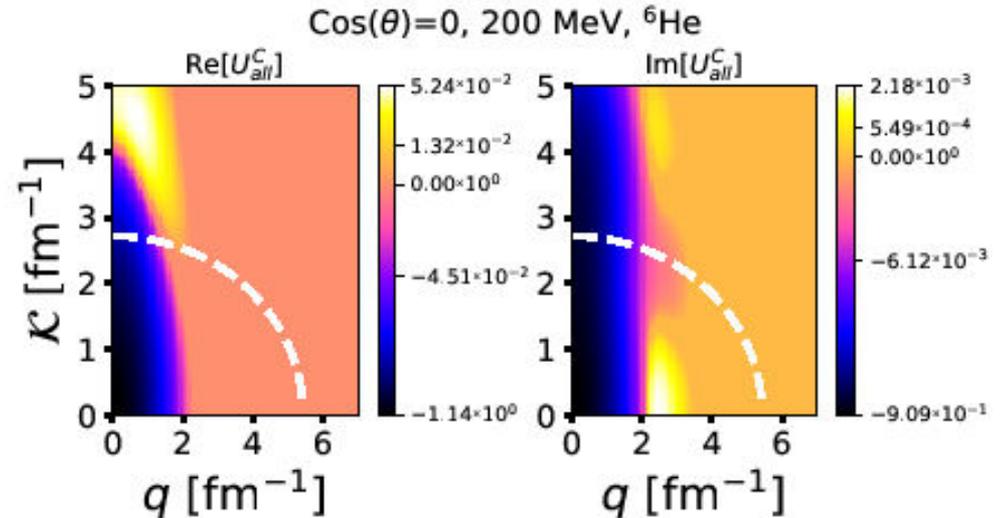
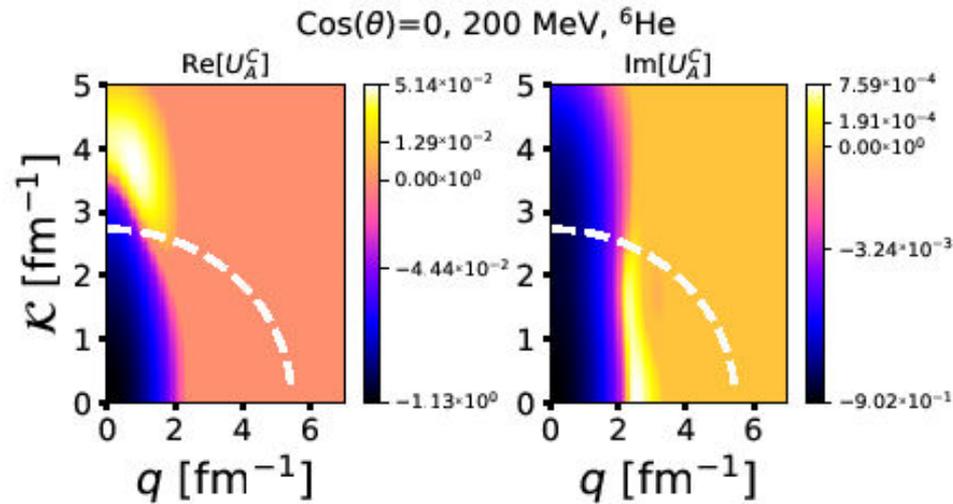
$$+ D(q, K_{NN}, \mathcal{E}) \left[(\boldsymbol{\sigma}^{(0)} \cdot \hat{q}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{K}_{NN}) + (\boldsymbol{\sigma}^{(0)} \cdot \hat{K}_{NN}) \otimes (\boldsymbol{\sigma}^{(i)} \cdot \hat{q}) \right] \text{ Off-shell}$$

**Contributions to an ab initio folding potential in first order
 for elastic p(n) scattering off spin-zero targets**

Central part of effective potential (np)

On-shell condition:

$$\left(\frac{A-1}{2A}\right)^2 q^2 + K^2 = k_0^2$$

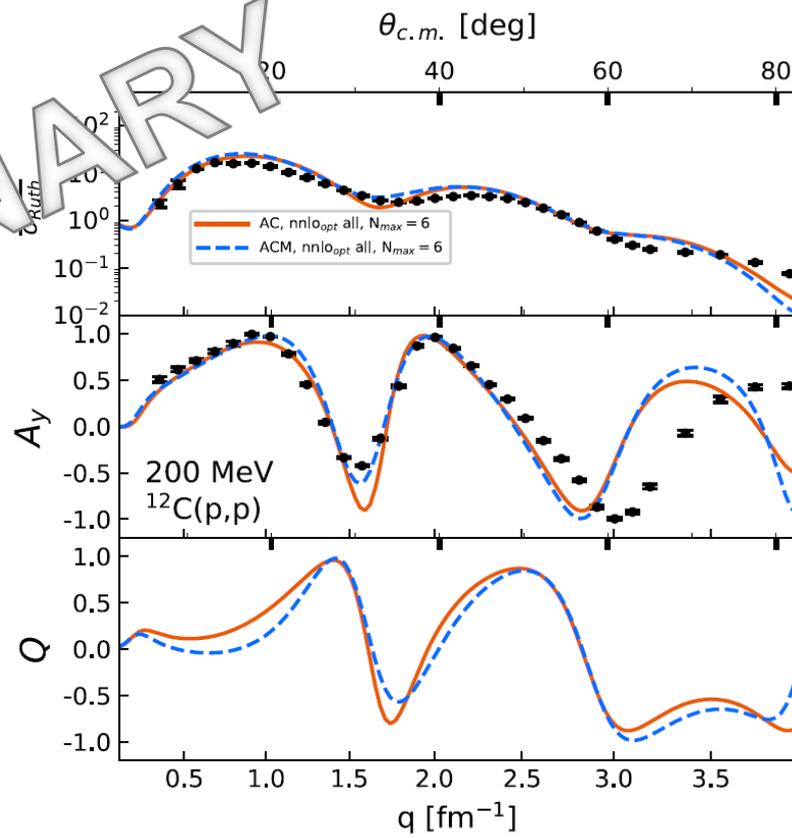
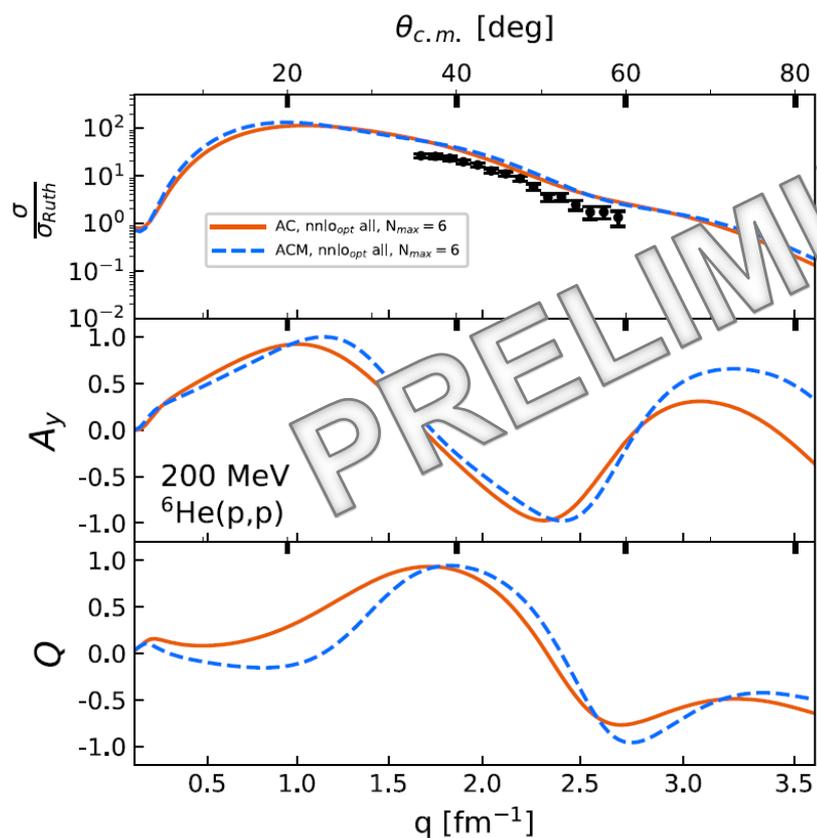


$$\mathbf{K} \equiv \frac{\mathbf{k} + \mathbf{k}'}{2}$$

$$q \equiv k' - k$$

($N_{\text{max}}=6$ $\hbar\omega=20$ MeV)

($N_{\max}=6$ $\hbar\omega=20$ MeV) -- only neutron-proton part with additional contributions



PRELIMINARY

p+A and n+A effective interactions ('optical potentials')

- Renewed urgency in reaction theory community for microscopic input to e.g. (d,p) reaction models .
- Most likely complementary approaches needed for different energy regimes

Today: Consistent approach to p+A effective interaction becomes possible.

- In the multiple scattering approach first order term non-spin-0 components in the ground state need to be included for an *ab initio* first order folding potential



- Different structure approaches need to be explored in this context: at present we use NCSM and SA-NCSM
- Dependence on forces employed
- Revisit details of implementation of the reaction theory ...
- Systematic approach to higher order corrections e.g. anti-symmetry, 3NFs (hard but needs to be attempted)

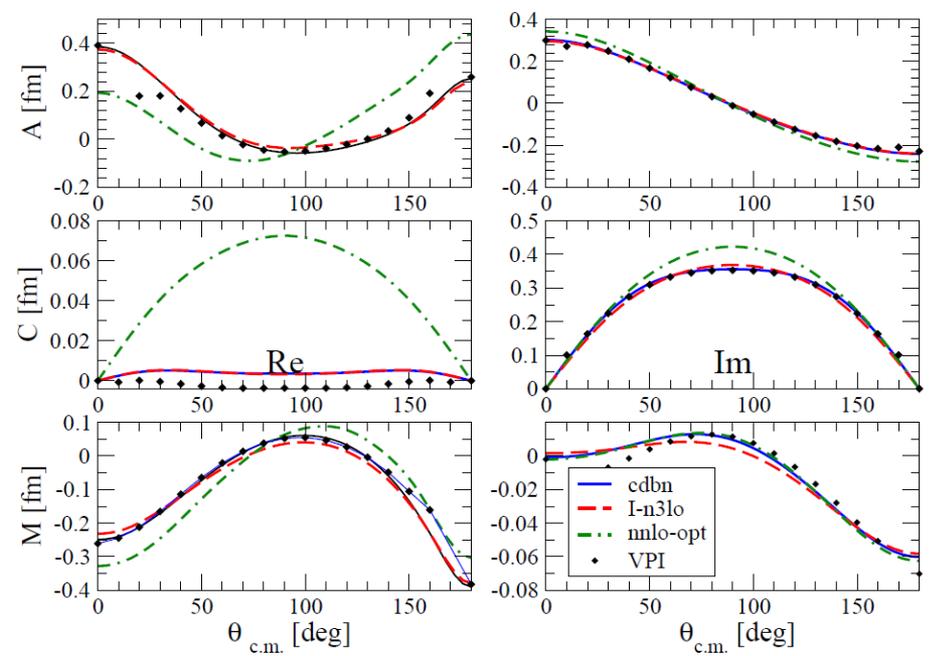
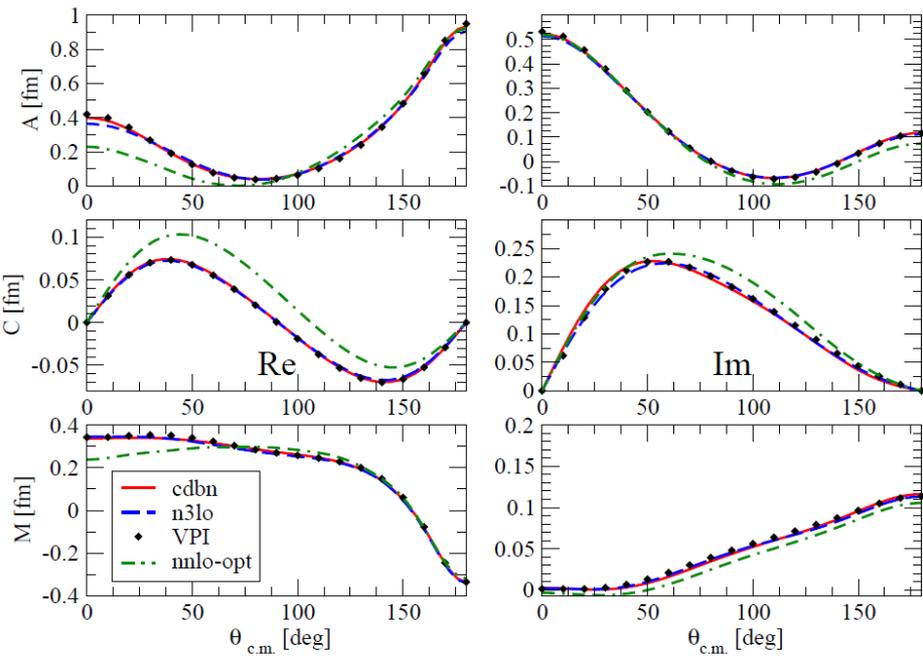


Wolfenstein Amplitudes np

$E_{\text{lab}} = 200 \text{ MeV}$

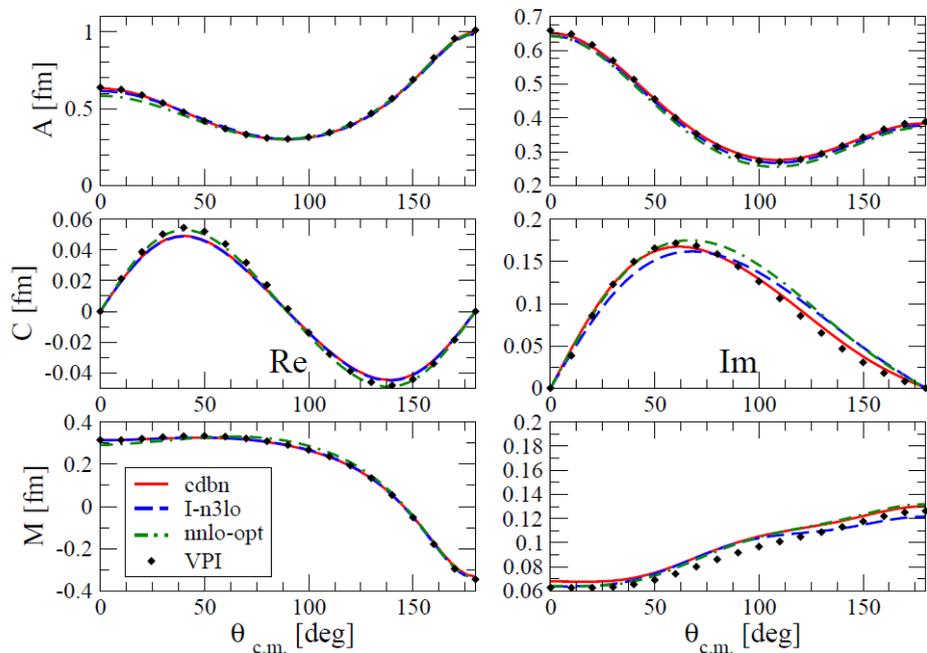
Wolfenstein Amplitudes pp

$E_{\text{lab}} = 200 \text{ MeV}$



Wolfenstein Amplitudes np

$E_{\text{lab}} = 100 \text{ MeV}$



Wolfenstein Amplitudes pp

$E_{\text{lab}} = 100 \text{ MeV}$

