

Status of three-nucleon interactions and a novel normal-ordering framework

Kai Hebler

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Progress in Ab Initio Techniques in Nuclear Physics



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Outline

I. Status of 3N interactions

- 3N interactions in different regularization schemes
- SRG evolution and application of nonlocal NN+3N interactions at N^3LO to matter and nuclei



*also talks by
Robert Roth
Achim Schwenk
Thomas Hüther*

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2. Novel normal-ordering framework for 3N interactions for applications to **medium-mass and heavier nuclei**

- basic idea and first benchmarks
- first applications to ^{16}O in IM-SRG

Implementation of 3NF in different regularization schemes (N²LO)

- for N³LO see next talk by Hermann

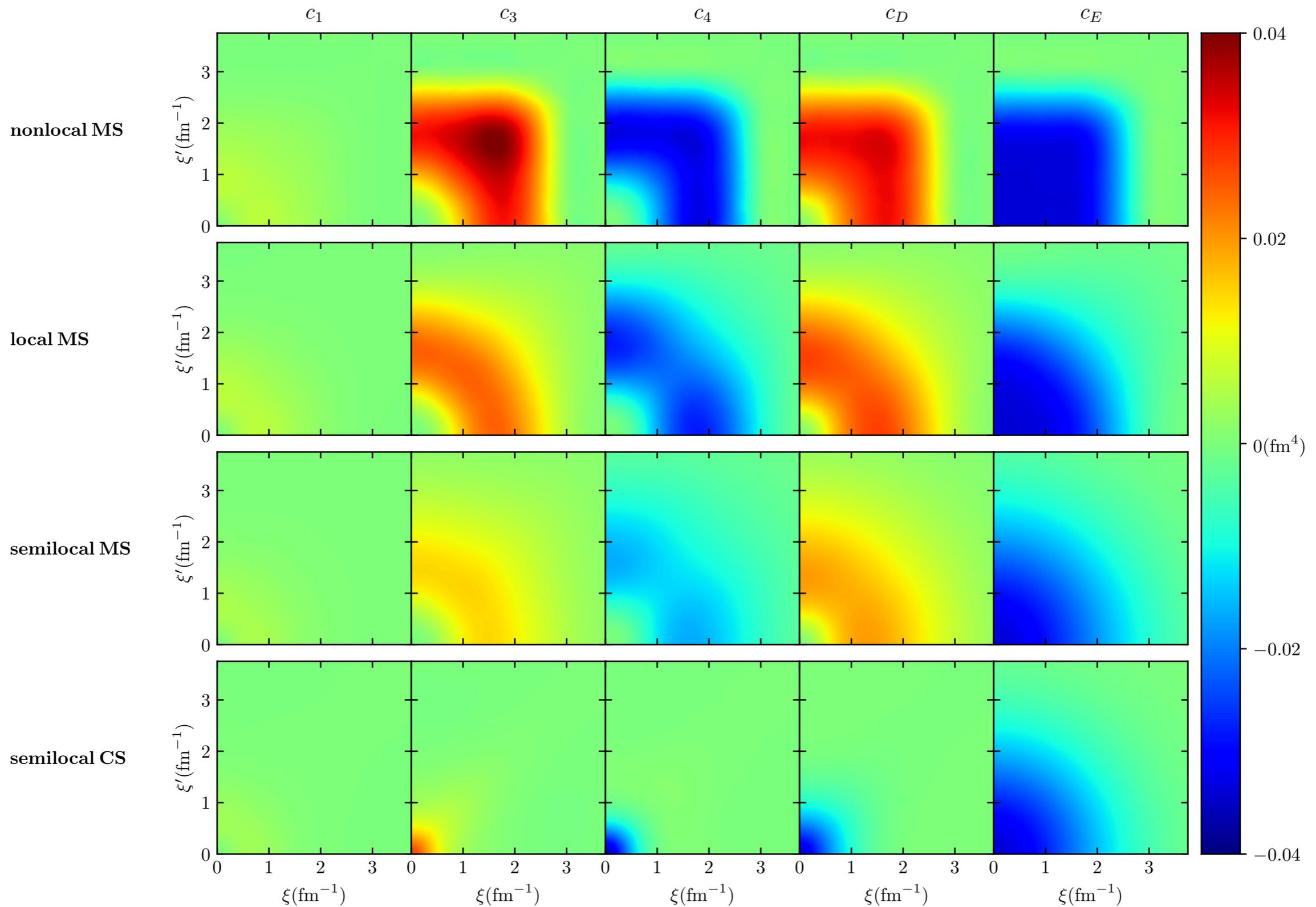
	momentum space	coordinate space
nonlocal <i>regulators:</i> long-range short-range <i>regularization:</i>	<u>nonlocal MS</u> $f_{\Lambda}^{\text{long}}(\mathbf{p}, \mathbf{q}) = \exp\left[-((\mathbf{p}^2 + 3/4 \mathbf{q}^2)/\Lambda^2)^n\right]$ $f_{\Lambda}^{\text{short}}(\mathbf{p}, \mathbf{q}) = f_{\Lambda}^{\text{long}}(\mathbf{p}, \mathbf{q}) = f_R(\mathbf{p}, \mathbf{q})$ $\langle \mathbf{p}' \mathbf{q}' V_{3N}^{\text{reg}} \mathbf{p} \mathbf{q} \rangle = f_R(\mathbf{p}', \mathbf{q}') \langle \mathbf{p}' \mathbf{q}' V_{3N} \mathbf{p} \mathbf{q} \rangle f_R(\mathbf{p}, \mathbf{q})$	
local <i>regulators:</i> long-range short-range <i>regularization:</i>	<u>local MS</u> $f_{\Lambda}^{\text{long}}(\mathbf{Q}_i) = \exp\left[-(\mathbf{Q}_i^2/\Lambda^2)^2\right]$ $f_{\Lambda}^{\text{short}}(\mathbf{Q}_i) = f_{\Lambda}^{\text{long}}(\mathbf{Q}_i) = f_{\Lambda}(\mathbf{Q}_i)$ $\langle \mathbf{p}' \mathbf{q}' V_{3N}^{\text{reg}} \mathbf{p} \mathbf{q} \rangle = \langle \mathbf{p}' \mathbf{q}' V_{3N} \mathbf{p} \mathbf{q} \rangle \prod_i f_R(\mathbf{Q}_i)$	<u>local CS</u> $f_R^{\text{long}}(\mathbf{r}) = 1 - \exp\left[-(r^2/R^2)^n\right]$ $f_R^{\text{short}}(\mathbf{r}) = \exp\left[-(r^2/R^2)^n\right]$ $V_{3N}^{\pi, \text{reg}}(\mathbf{r}_{ij}) = f_R^{\text{long}}(\mathbf{r}_{ij}) V_{3N}^{\pi}(\mathbf{r}_{ij})$ $\delta^{\text{reg}}(\mathbf{r}_{ij}) = \alpha_n f_R^{\text{short}}(\mathbf{r}_{ij})$
semilocal <i>regulators:</i> long-range short-range <i>regularization:</i>	<u>semilocal MS</u> $f_{\Lambda}^{\text{long}}(\mathbf{Q}_i) = \exp\left[-(\mathbf{Q}_i^2 + m_{\pi}^2)/\Lambda^2\right]$ $f_{\Lambda}^{\text{short}}(\mathbf{p}) = \exp\left[-\mathbf{p}^2/\Lambda^2\right]$ $\langle \mathbf{p}' \mathbf{q}' V_{3N}^{\text{reg}, \pi} \mathbf{p} \mathbf{q} \rangle = f_R^{\text{long}}(\mathbf{Q}_i) \langle \mathbf{p}' \mathbf{q}' V_{3N} \mathbf{p} \mathbf{q} \rangle$ $\langle \mathbf{p}' \mathbf{q}' V_{3N}^{\text{reg}, \delta} \mathbf{p} \mathbf{q} \rangle = f_{\Lambda}^{\text{short}}(\mathbf{p}'_{\delta}) \langle \mathbf{p}' \mathbf{q}' V_{3N}^{\delta} \mathbf{p} \mathbf{q} \rangle f_{\Lambda}^{\text{short}}(\mathbf{p}_{\delta})$	<u>semilocal CS</u> $f_R^{\text{long}}(\mathbf{r}) = (1 - \exp\left[-r^2/R^2\right])^n$ $f_{\Lambda}^{\text{short}}(\mathbf{p}) = \exp\left[-\mathbf{p}^2/\Lambda^2\right]$ $V_{3N}^{\pi, \text{reg}}(\mathbf{r}_{ij}) = f_R^{\text{long}}(\mathbf{r}_{ij}) V_{3N}^{\pi}(\mathbf{r}_{ij})$ $\delta(\mathbf{r}_{ij}) \xrightarrow{FT} V_{3N}^{\delta}$ $\langle \mathbf{p}' \mathbf{q}' V_{3N}^{\text{reg}, \delta} \mathbf{p} \mathbf{q} \rangle = f_{\Lambda}^{\text{short}}(\mathbf{p}'_{\delta}) \langle \mathbf{p}' \mathbf{q}' V_{3N}^{\delta} \mathbf{p} \mathbf{q} \rangle f_{\Lambda}^{\text{short}}(\mathbf{p}_{\delta})$

Implementation of 3NF in different regularization schemes (N²LO)

- for N³LO see next talk by Hermann

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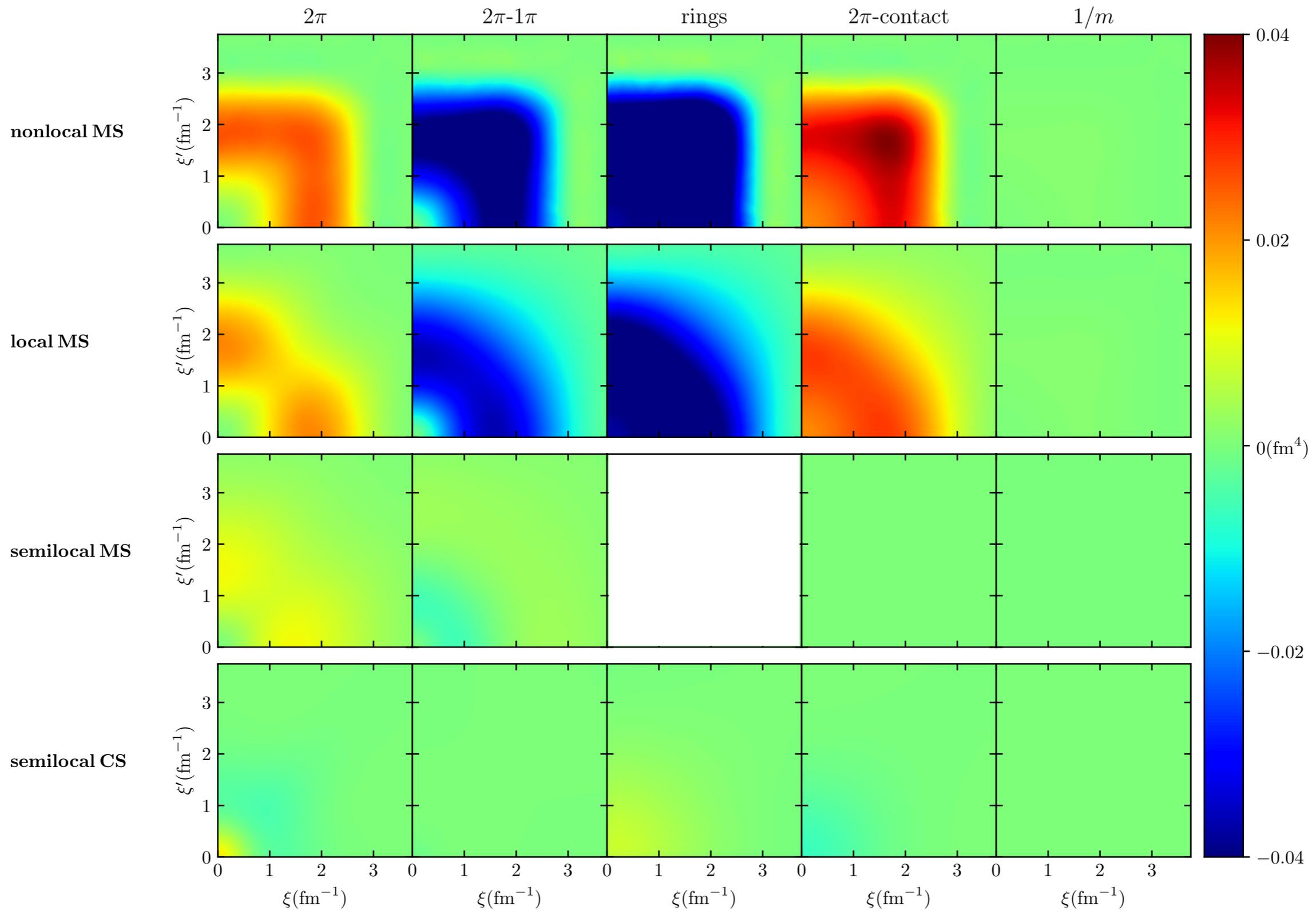
Illustration of 3NF in different regularization schemes



$$\xi^2 = p^2 + 3/4q^2 \quad \tan \theta = p/(\sqrt{3}/2q) = \frac{\pi}{4}$$

KH, in preparation

Illustration of 3NF in different regularization schemes



$$\xi^2 = p^2 + 3/4q^2 \quad \tan \theta = p/(\sqrt{3}/2q) = \frac{\pi}{4}$$

KH, in preparation

3NF in different regularization schemes (N2LO)

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semilocal	semilocal MS	semilocal CS

Fits to 3H (plus another observable):

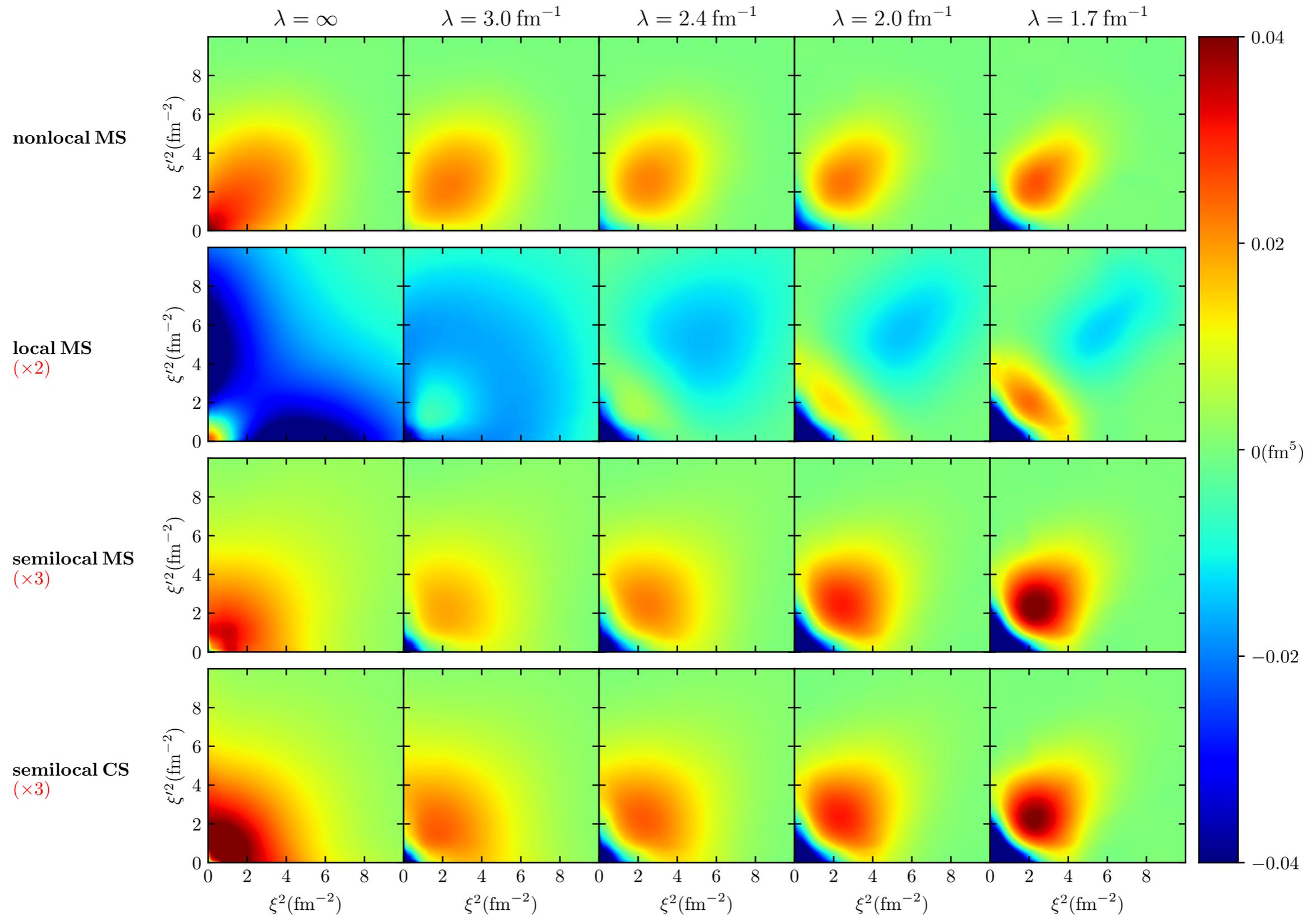
regularization	c_1 [GeV ⁻¹]	c_3 [GeV ⁻¹]	c_4 [GeV ⁻¹]	c_D	c_E
nonlocal MS	-0.74	-3.61	2.44	-1.5	-0.61
local MS	-0.81	-3.2	5.4	0.83	-0.052
semilocal MS	-0.74	-3.61	2.44	2.0	0.23
semilocal CS	-0.81	-4.69	3.4	1.0	-0.25

Drischler et al., PRL 122, 042501 (2019)

Gazit et al., PRL 122, 029901(E) (2019)

Epelbaum et al., arXiv:1807.02848
 accepted for publication in PRC

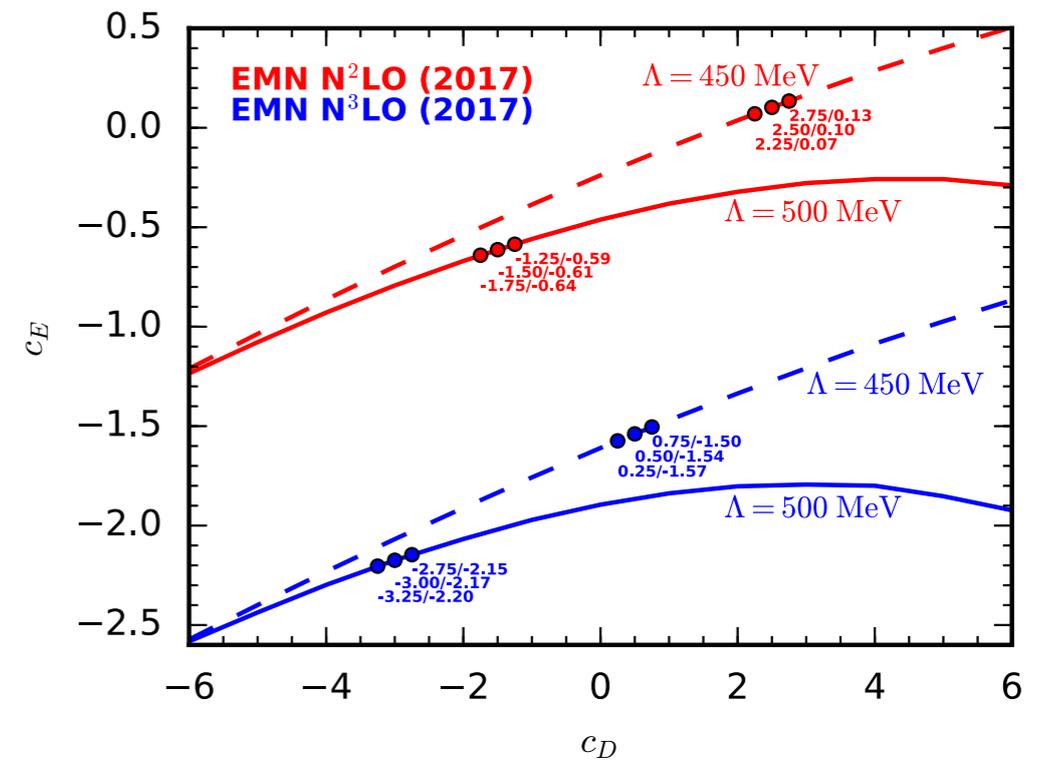
Momentum space SRG evolution of 3NF in different regularization schemes



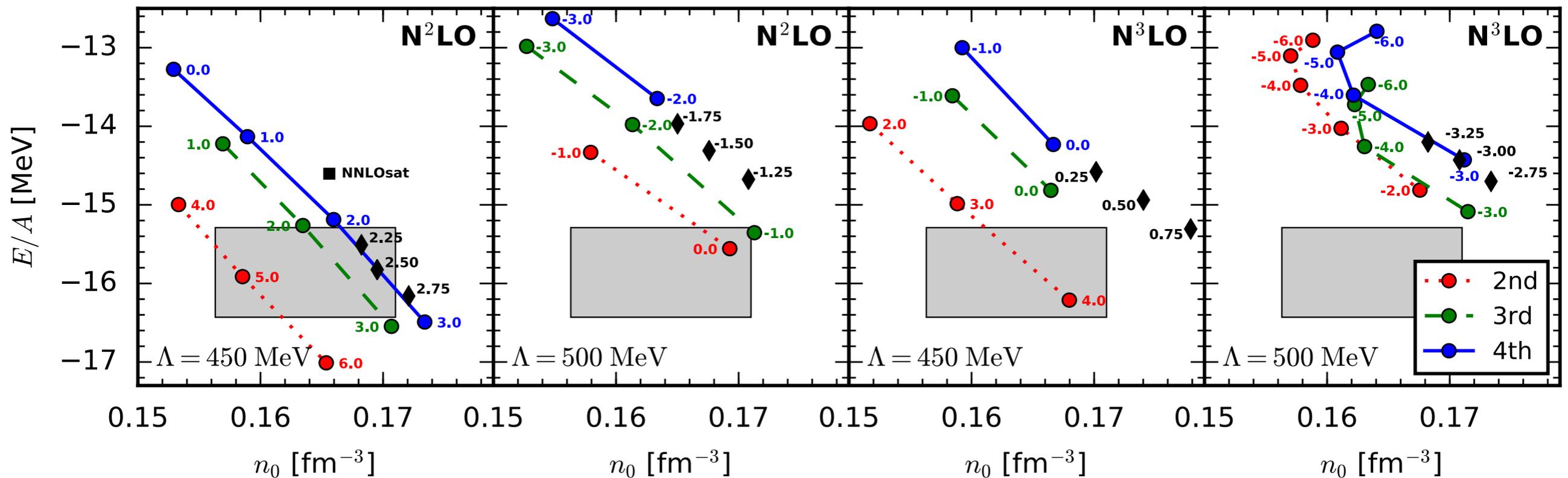
Fits of 3N interactions to saturation properties of nuclear matter

fits for 3NF at N²LO and N³LO to ³H and matter for new family of NN forces by Entem, Machleidt and Nosyk:

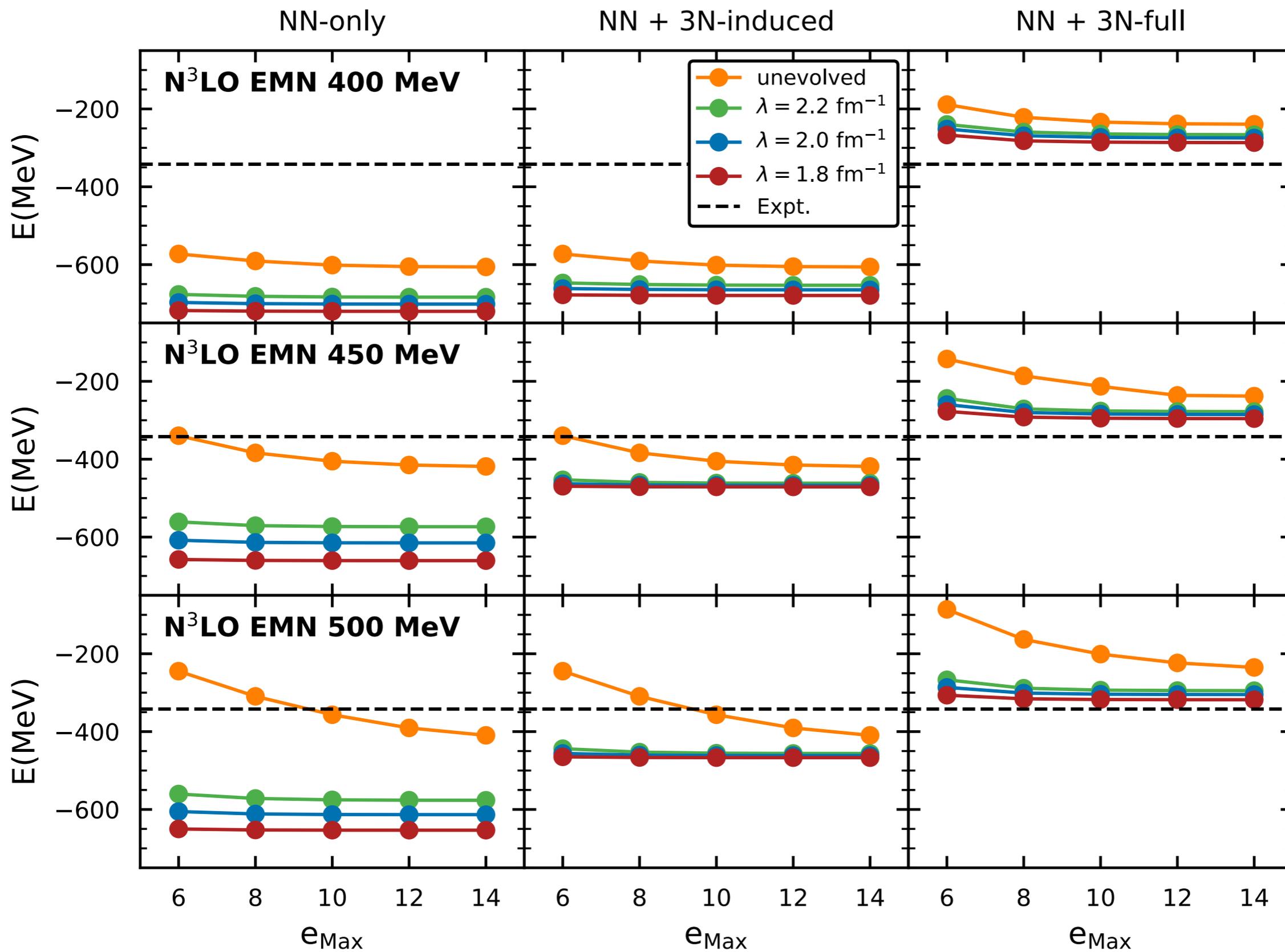
Entem et al. PRC 96, 024004 (2017)



Drischler et al., PRL 122, 042501 (2019)



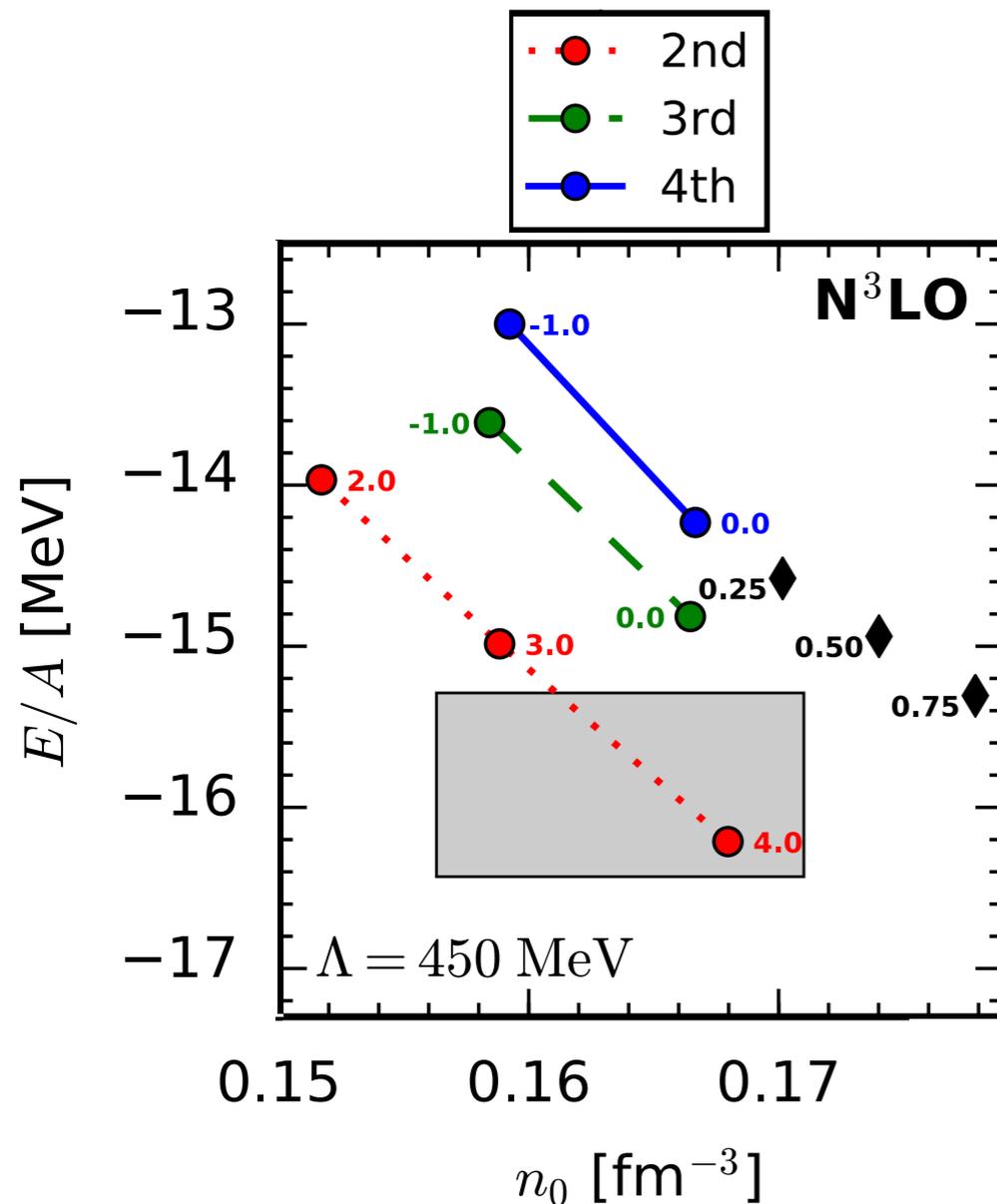
First applications to ^{40}Ca



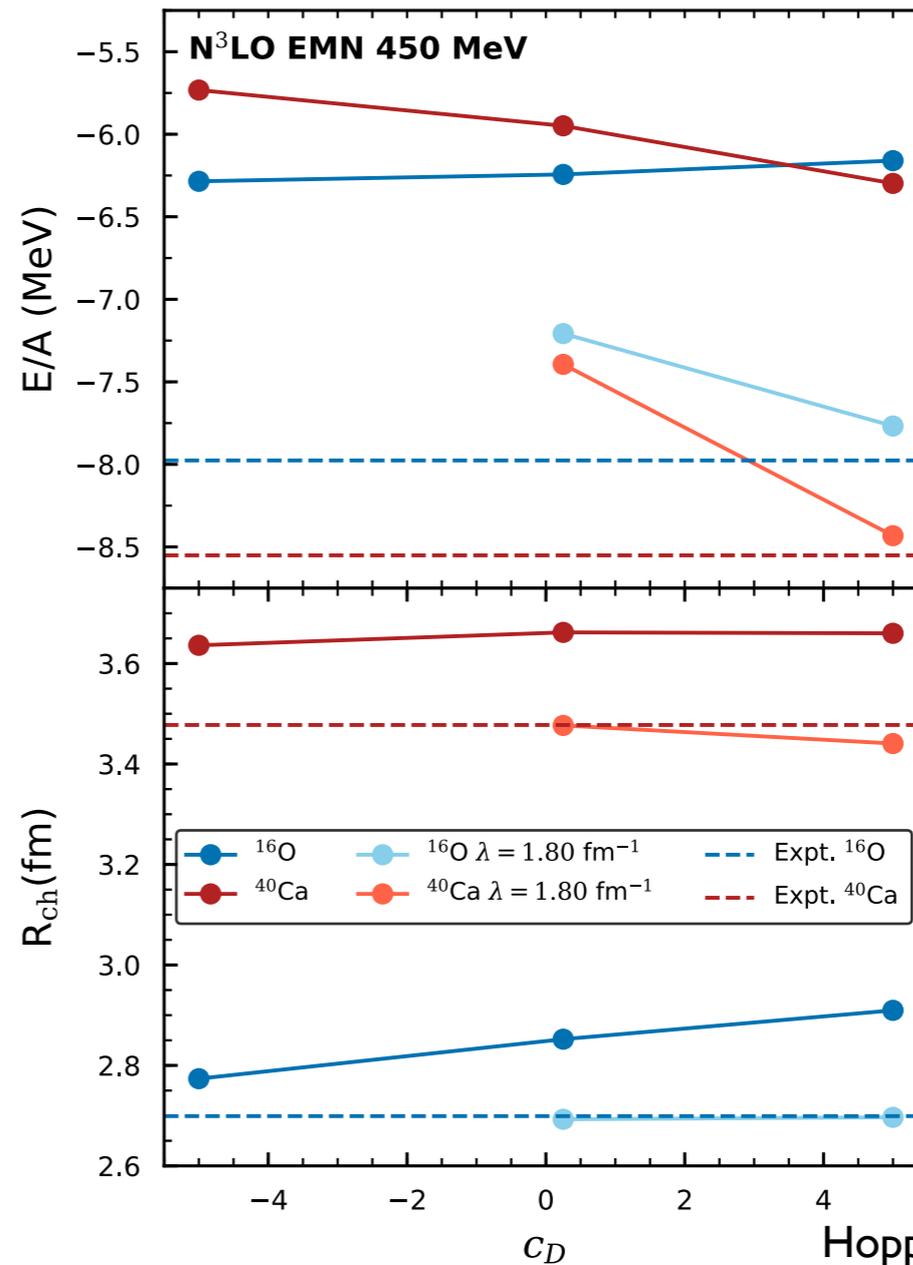
Jan
Hoppe



Comparing systematics of results for matter and nuclei

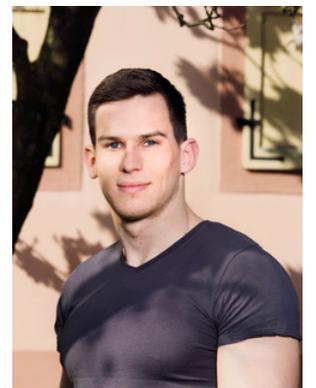


Drischler et al., PRL 122, 042501 (2019)



Hoppe et al., in preparation

Jan Hoppe



- connection between results for matter and nuclei still not fully understood
- role of higher-body forces in SRG evolution?

→ more in Achim's talk

Novel normal ordering framework for 3N interactions

traditional approach:

I. transformation to Jacobi HO basis plus antisymmetrization

$$\langle p' q' \alpha' | V_{3N}^{(i), \text{reg}} | p q \alpha \rangle \rightarrow \langle N' n' \alpha' | V_{3N}^{(\text{as}, \text{reg})} | N n \alpha \rangle$$

Novel normal ordering framework for 3N interactions

traditional approach:

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2. transformation to single particle basis

$$\langle N'n'\alpha' | V_{3N}^{\text{as},\text{reg}} | Nn\alpha \rangle \rightarrow \langle 1'2'3' | V_{3N}^{\text{as},\text{reg}} | 123 \rangle$$

Novel normal ordering framework for 3N interactions

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3. Normal ordering with respect
to some reference state

$$\langle 1'2' | \bar{V} | 12 \rangle = \sum_3 \bar{n}_3 \langle 1'2'3 | V_{3N}^{\text{as}} | 123 \rangle$$

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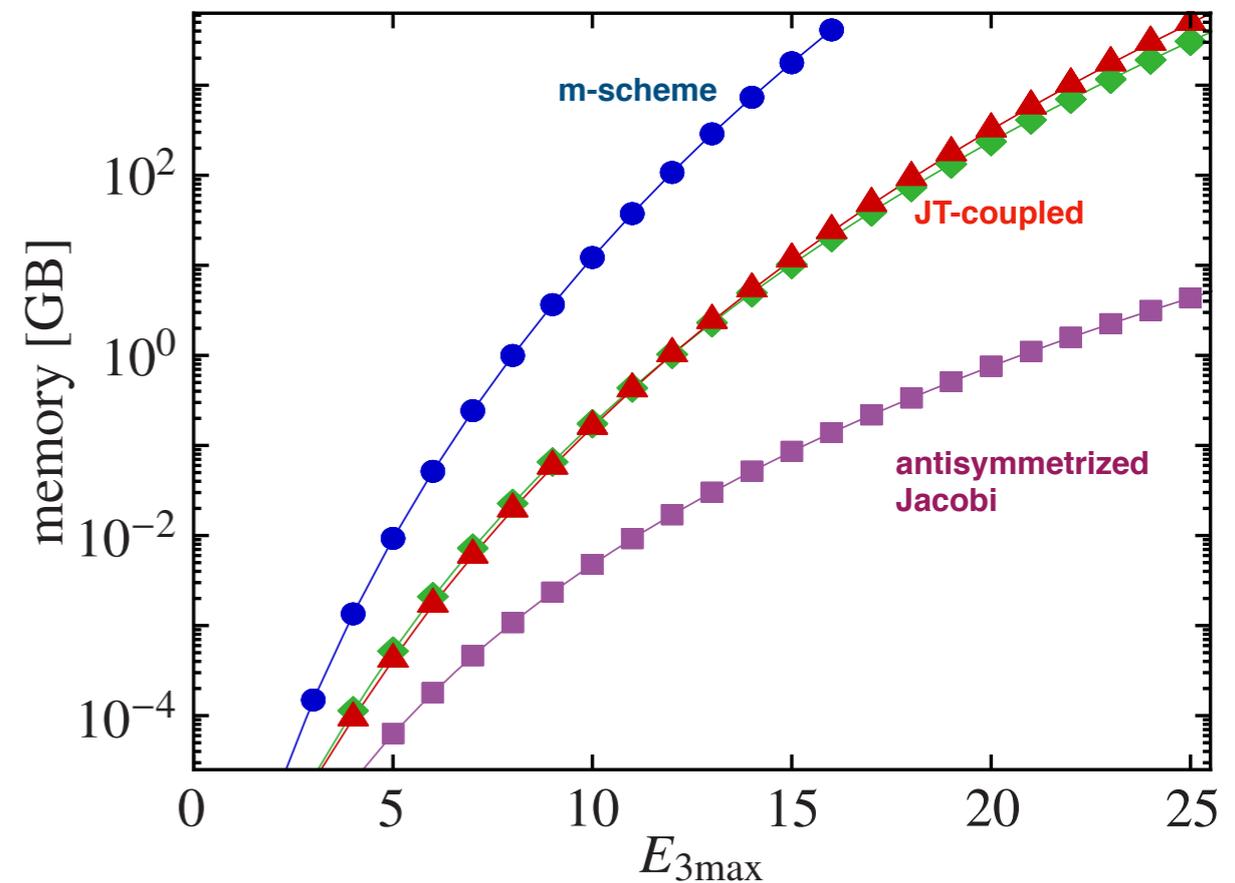
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3. Normal ordering with respect to some reference state

$$\langle 1'2' | \bar{V} | 12 \rangle = \sum_3 \bar{n}_3 \langle 1'2'3 | V_{3N}^{\text{as}} | 123 \rangle$$

- severe memory limitations for handling of single-particle matrix elements with increasing $E_{3\text{max}}$
- convergence in heavier systems?

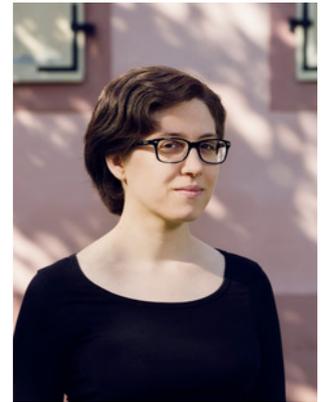


Novel normal ordering framework for 3N interactions

new approach:

I. Express effective interaction in momentum space and expand reference state in HO basis:

$$\begin{aligned}\langle \mathbf{k}'_1 \mathbf{k}'_2 | \bar{V} | \mathbf{k}_1 \mathbf{k}_2 \rangle &= \sum_{n_3 l_3 m_3} \bar{n}_3 \langle \mathbf{k}'_1 \mathbf{k}'_2 \gamma_3 | V_{3N}^{\text{as}} | \mathbf{k}_1 \mathbf{k}_2 \gamma_3 \rangle \\ &= \int d\mathbf{k}_3 d\mathbf{k}'_3 \langle \mathbf{k}'_1 \mathbf{k}'_2 \mathbf{k}'_3 | V_{3N}^{\text{as}} | \mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3 \rangle \sum_{n_3 l_3 m_3} \bar{n}_3 \langle \gamma_3 | \mathbf{k}'_3 \rangle \langle \mathbf{k}_3 | \gamma_3 \rangle\end{aligned}$$



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Novel normal ordering framework for 3N interactions

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2. Rewrite interaction in Jacobi momentum basis:

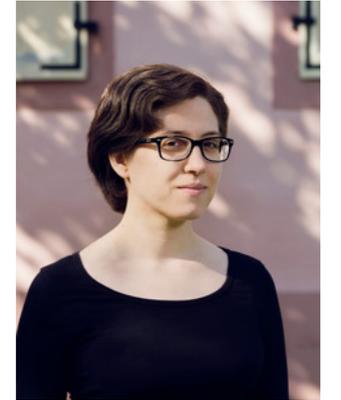
$$\langle \mathbf{p}' \mathbf{P}' | \bar{V} | \mathbf{p} \mathbf{P} \rangle = \int d\mathbf{k}_3 d\mathbf{k}'_3 \langle \mathbf{p}' \mathbf{q}' | V_{3N}^{\text{as}} | \mathbf{p} \mathbf{q} \rangle \delta(\mathbf{P} + \mathbf{k}_3 - \mathbf{P}' - \mathbf{k}'_3) \sum_{n_3 l_3 m_3} \bar{n}_3 \langle \gamma_3 | \mathbf{k}'_3 \rangle \langle \mathbf{k}_3 | \gamma_3 \rangle$$

Novel normal ordering framework for 3N interactions

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2. Rewrite interaction in Jacobi momentum basis:

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3. Decomposition in generalized Jacobi partial wave momentum states:

$$\begin{aligned} &\langle p' P' L' M' L'_{cm} M'_{cm} | \bar{V} | p P L M L_{cm} M_{cm} \rangle \\ &= \int d\hat{\mathbf{p}} d\hat{\mathbf{P}} d\hat{\mathbf{p}}' d\hat{\mathbf{P}}' Y_{L'_{cm} M'_{cm}}^*(\hat{\mathbf{P}}') Y_{L' M'}^*(\hat{\mathbf{p}}') \langle \mathbf{p}' \mathbf{P}' | \bar{V} | \mathbf{p} \mathbf{P} \rangle Y_{L_{cm} M_{cm}}(\hat{\mathbf{P}}) Y_{LM}(\hat{\mathbf{p}}) \\ &= \int d\hat{\mathbf{P}} d\hat{\mathbf{P}}' Y_{L'_{cm} M'_{cm}}^*(\hat{\mathbf{P}}') Y_{L_{cm} M_{cm}}(\hat{\mathbf{P}}) \int d\mathbf{k}_3 d\mathbf{k}'_3 \sum_{l, l'} \sum_{m, m'} Y_{l' m'}^*(\hat{\mathbf{q}}') Y_{lm}(\hat{\mathbf{q}}) \\ &\quad \times \delta(\mathbf{P} + \mathbf{k}_3 - \mathbf{P}' - \mathbf{k}'_3) \sum_{n_3, l_3} \bar{n}_3 R_{n_3 l_3}(k_3) R_{n_3 l_3}(k'_3) \frac{2l_3 + 1}{4\pi} P_{l_3}(\hat{\mathbf{k}}_3 \cdot \hat{\mathbf{k}}'_3) \langle p' q' L' M' l' m' | V_{3N}^{\text{as}} | p q L M l m \rangle \end{aligned}$$

Novel normal ordering framework for 3N interactions

new approach:

4. transform matrix elements to Jacobi HO basis

$$\langle n'_p N'_P L' M' L'_{cm} M'_{cm} | \bar{V} | n_p N_P L M L_{cm} M_{cm} \rangle$$

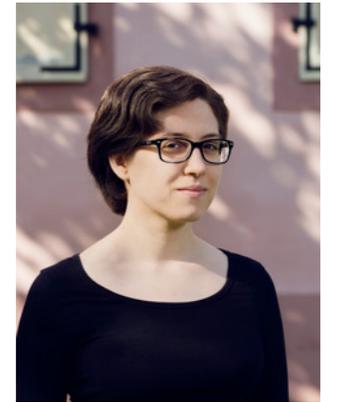


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5. transformation to single-particle HO basis via generalized Talmi transformation (taking into account L_{cm} dependence)

Novel normal ordering framework for 3N interactions

new approach:



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4. transform matrix elements to Jacobi HO basis

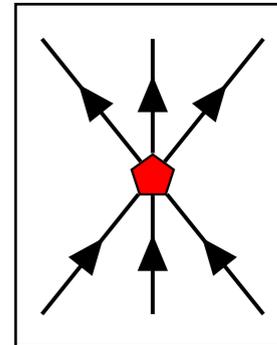
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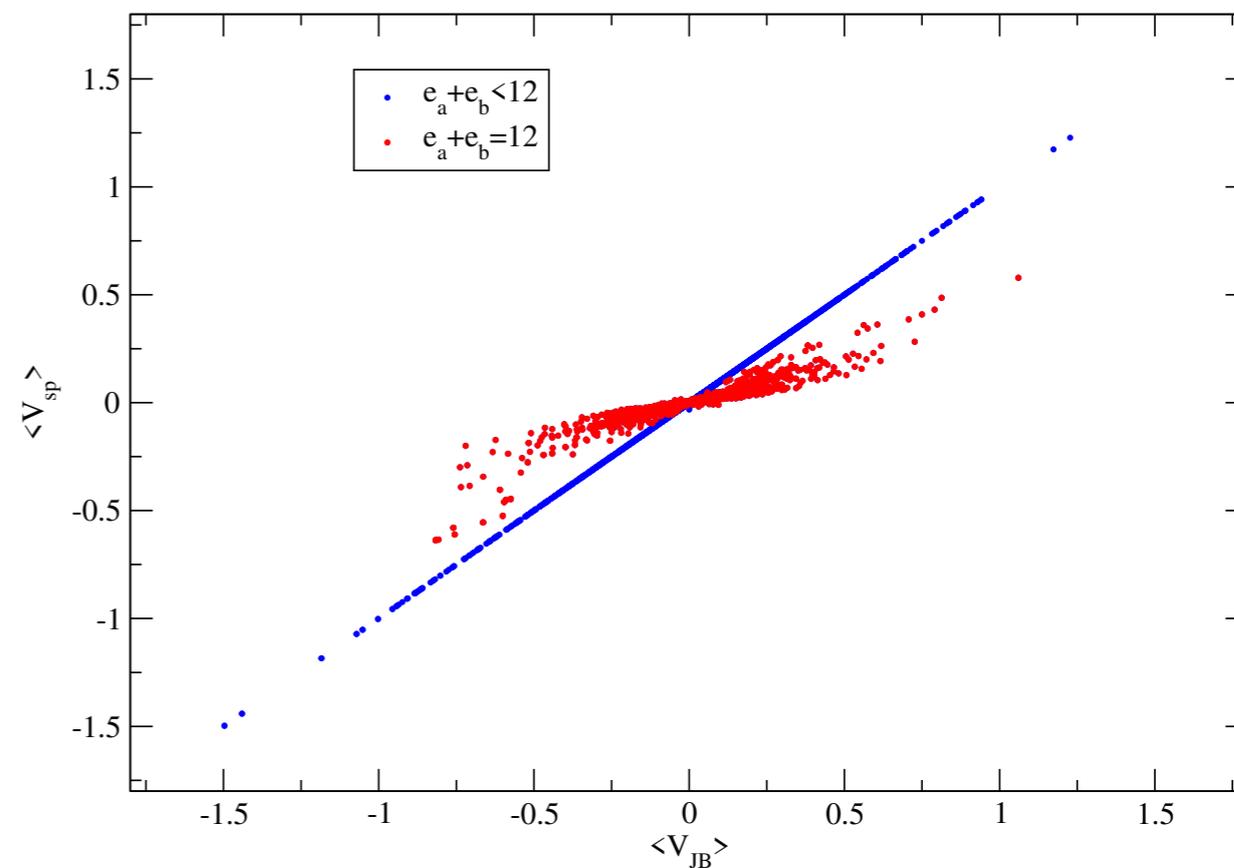
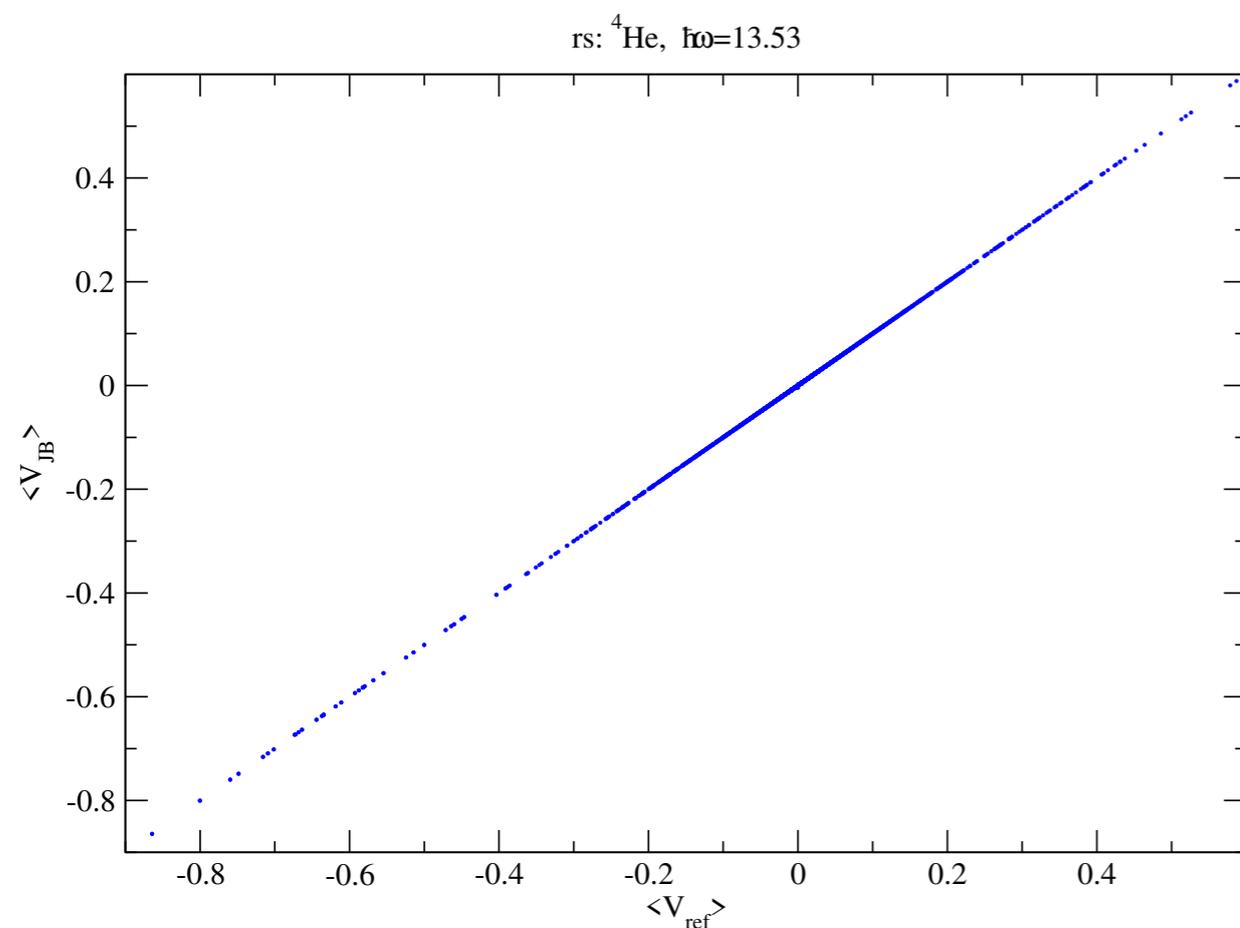
- single-particle 3N matrix elements are not needed at any point
- generalization to general spin-dependent 3N interactions straightforward and already implemented
- N_{\max} can be increased easily

- number of partial waves grows quickly with increasing L and L_{cm}
- model space limitations governed by in L_{\max} resp. J_{\max}
- currently implemented for HO reference state, HF reference state work in progress

Novel normal ordering framework for 3N interactions: Pure contact 3N interaction

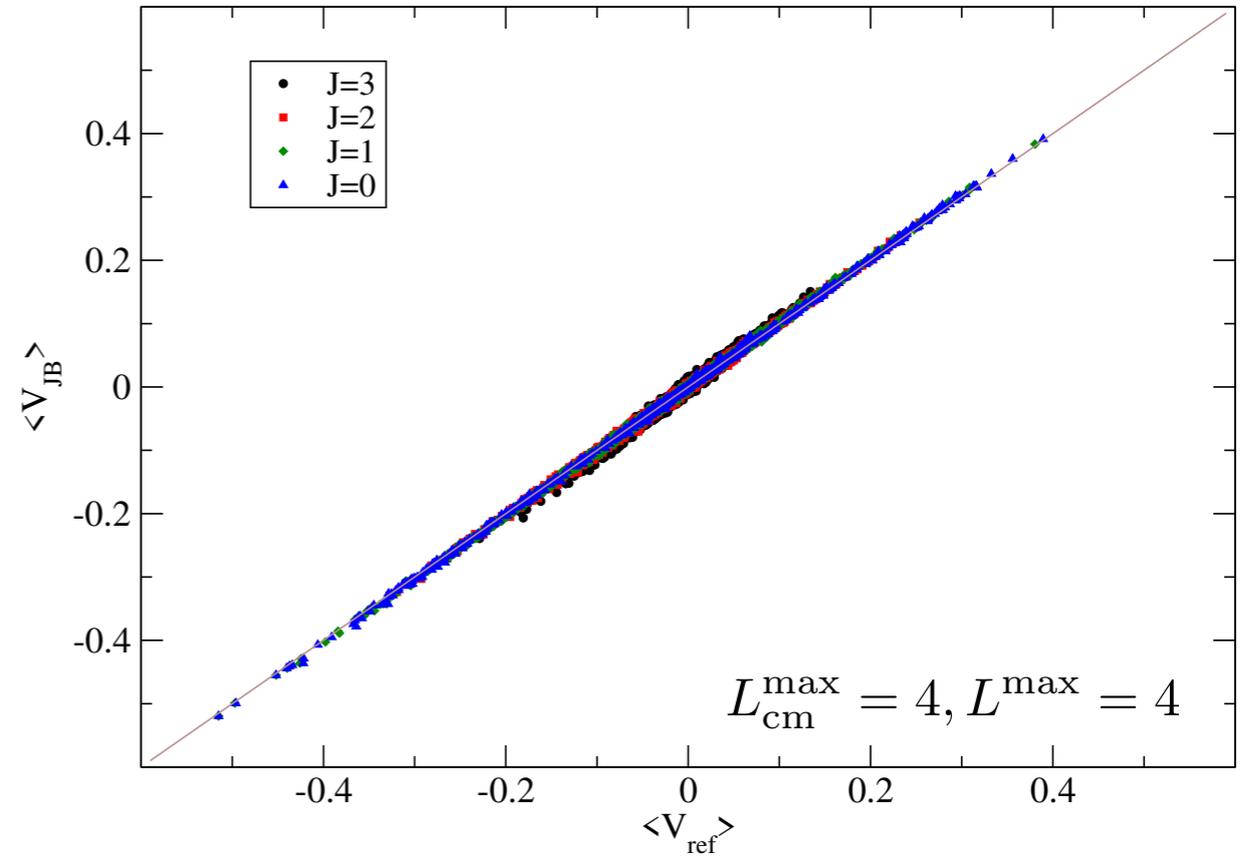
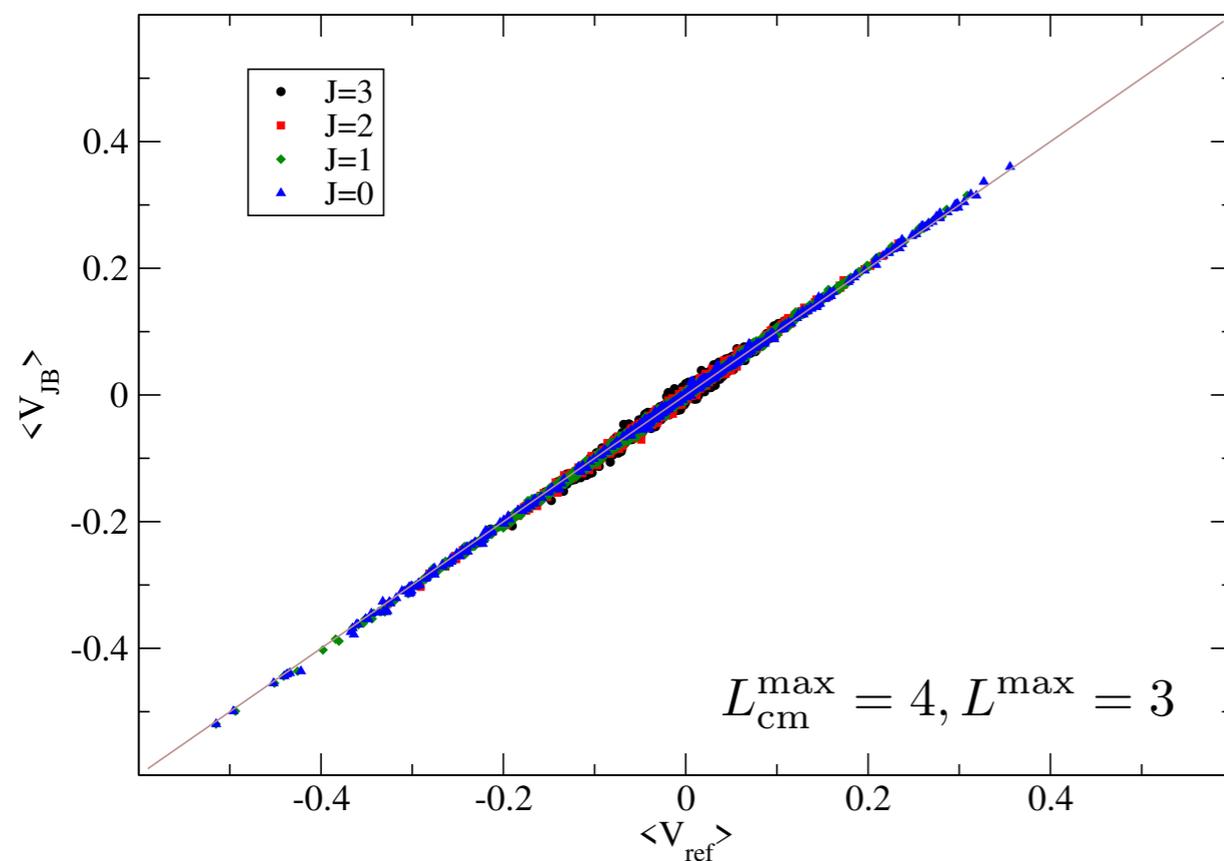
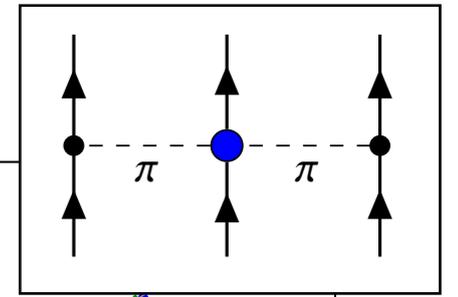
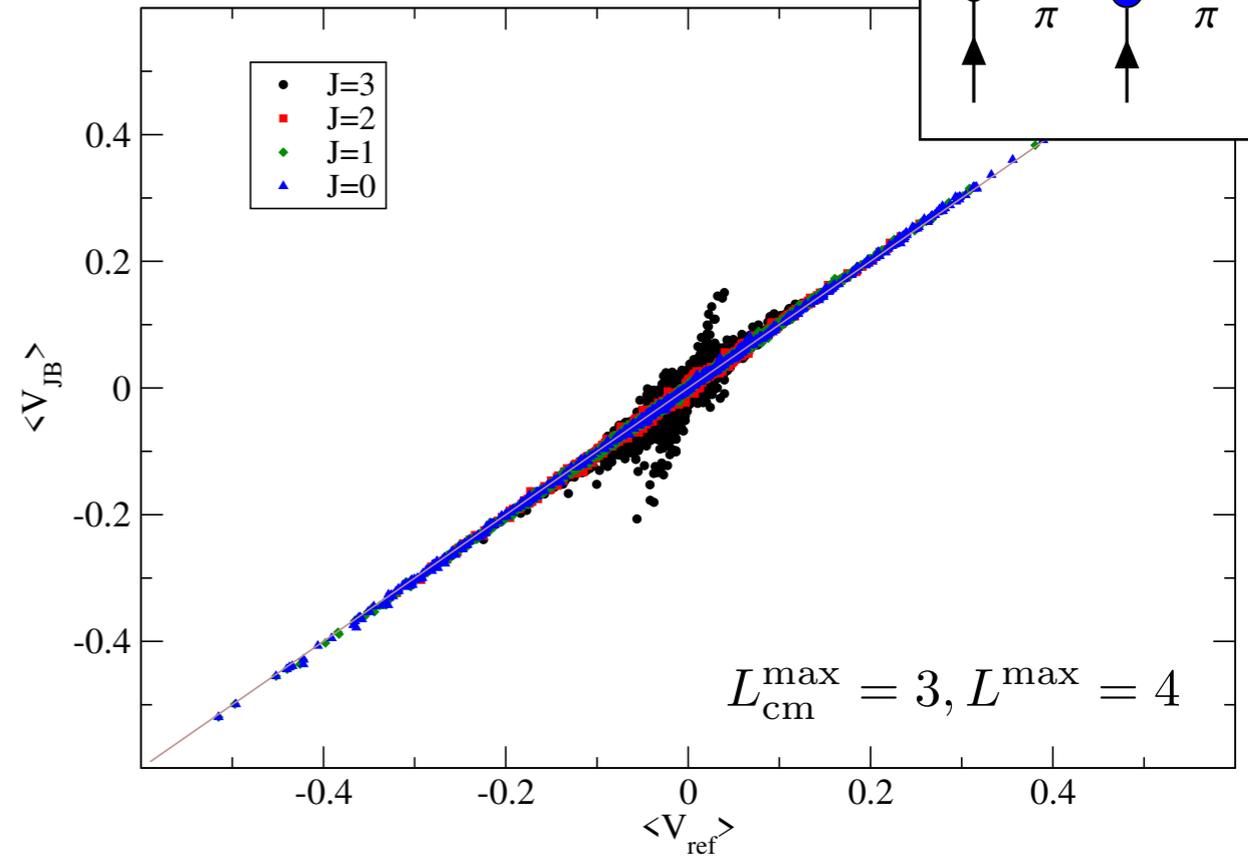
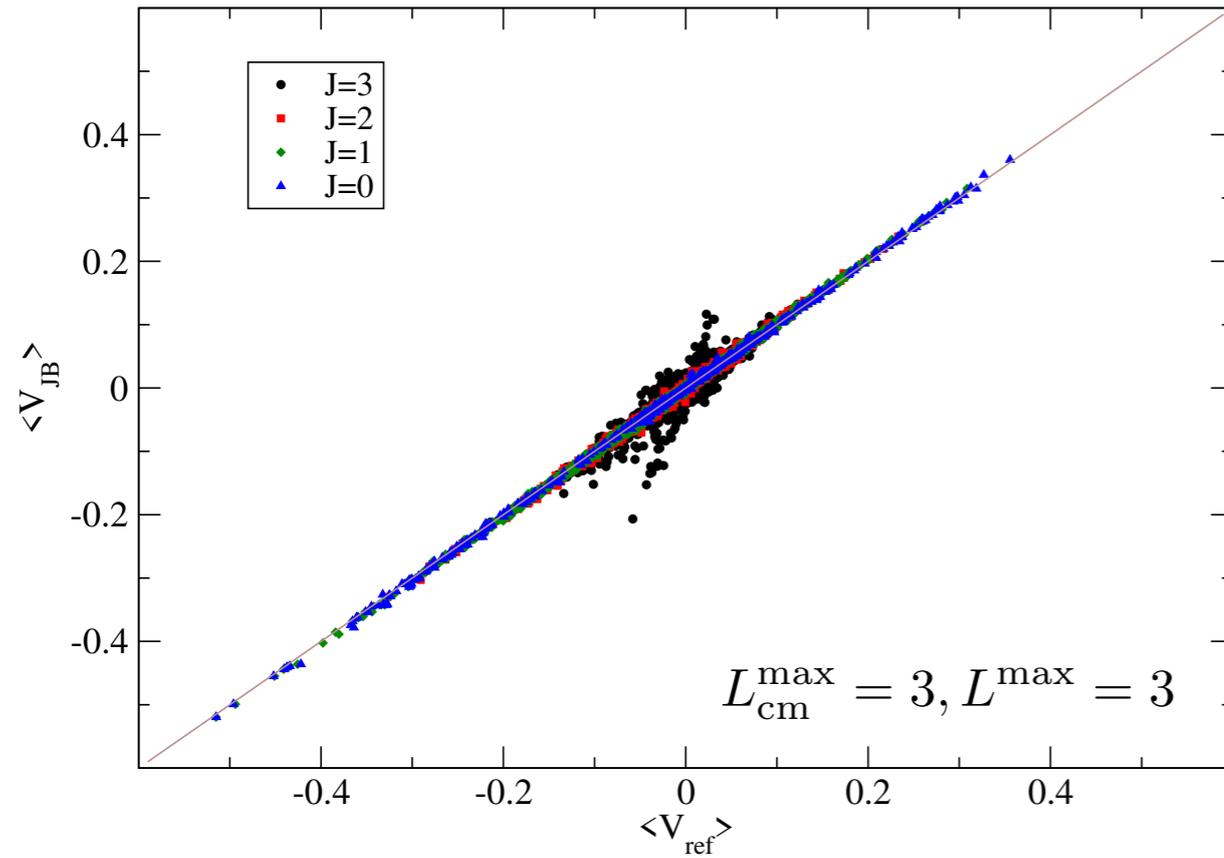


only configurations with $L=L'=0$ contribute:



perfect agreement between results from both
approaches up to given model space

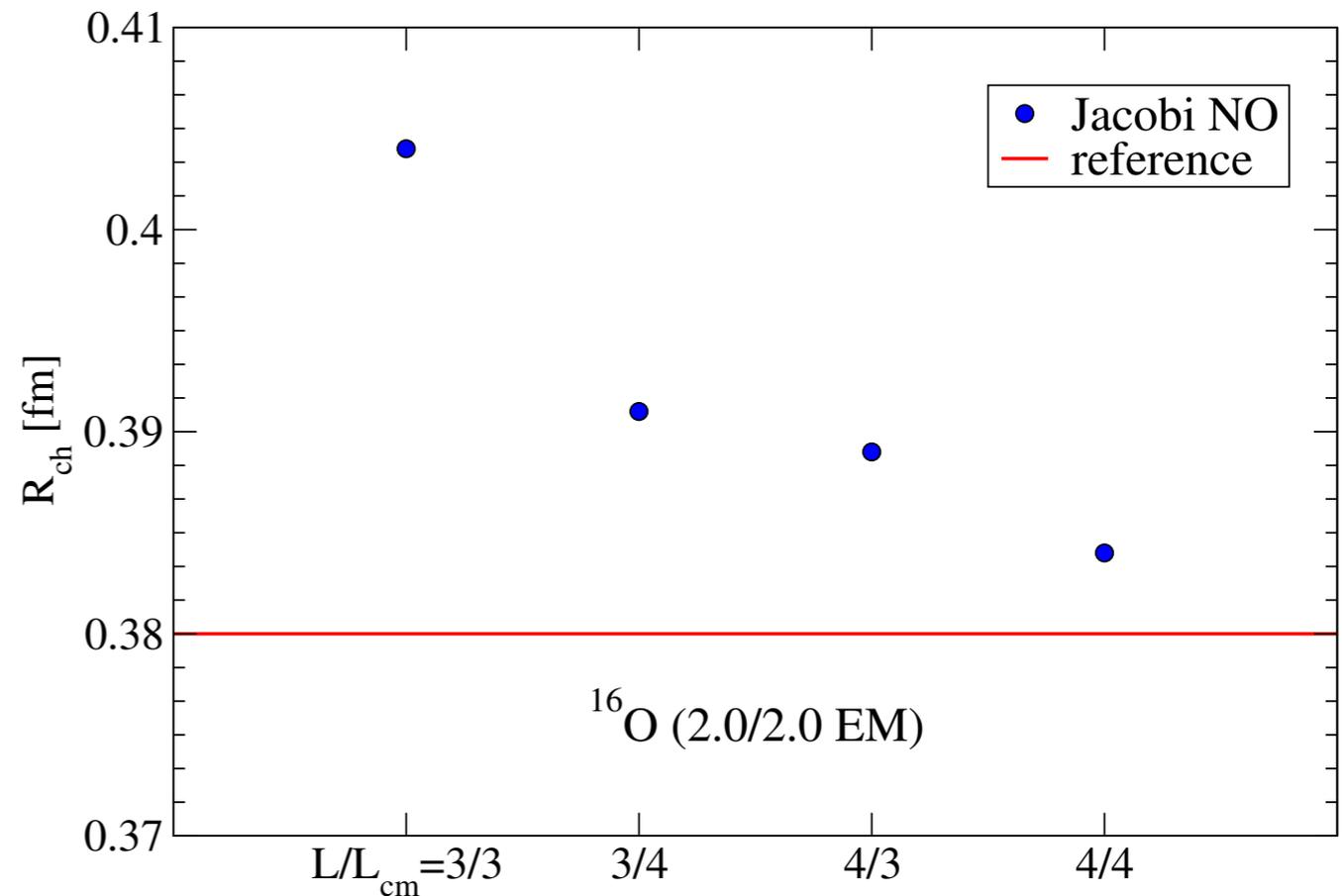
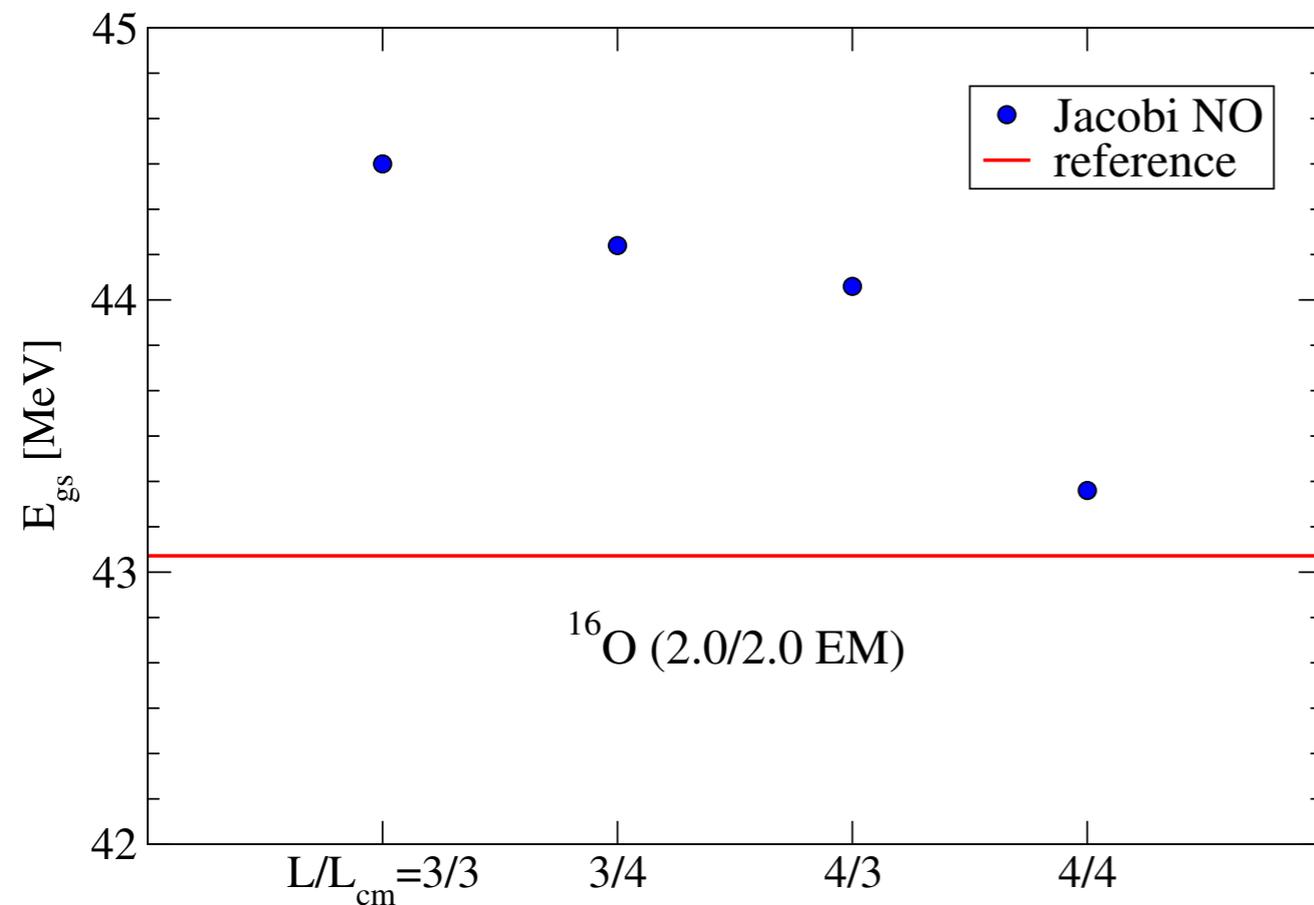
Novel normal ordering framework for 3N interactions: Long-range 2π interaction (c_3)



Novel normal ordering framework for 3N interactions

First benchmark calculations for ^{16}O

comparison of 3N contributions to the energy (left) and charge radius (left):



systematic convergence towards results based on traditional normal ordering approach with increasing L/L_{cm}

Summary and Outlook

Development and calculation of 3N matrix elements in progress, size and structure of matrix elements sensitive to regularization

n  next talk by Hermann

First calculations of nuclei and matter based on nonlocal interactions up to N^3LO  talks by Achim, Robert, Thomas (plus poster)

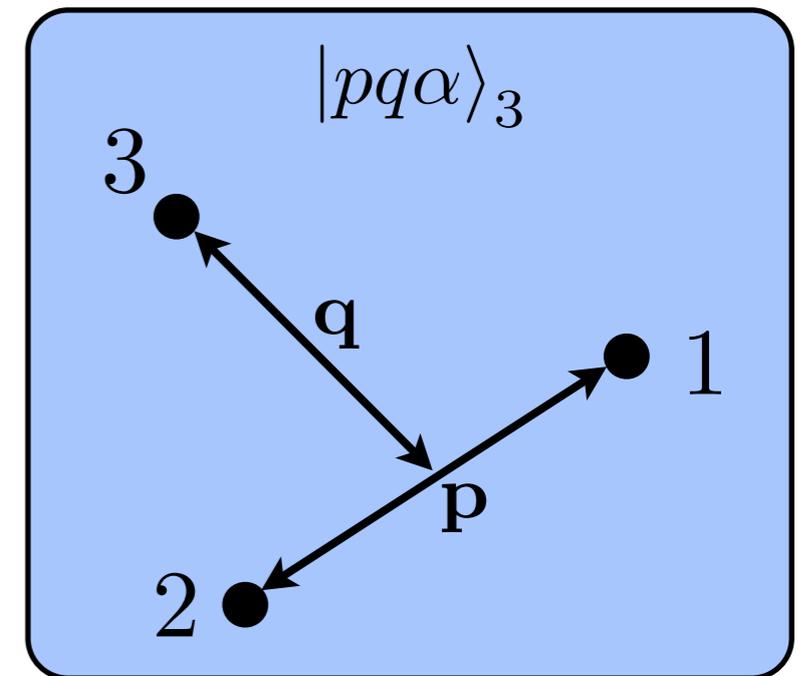
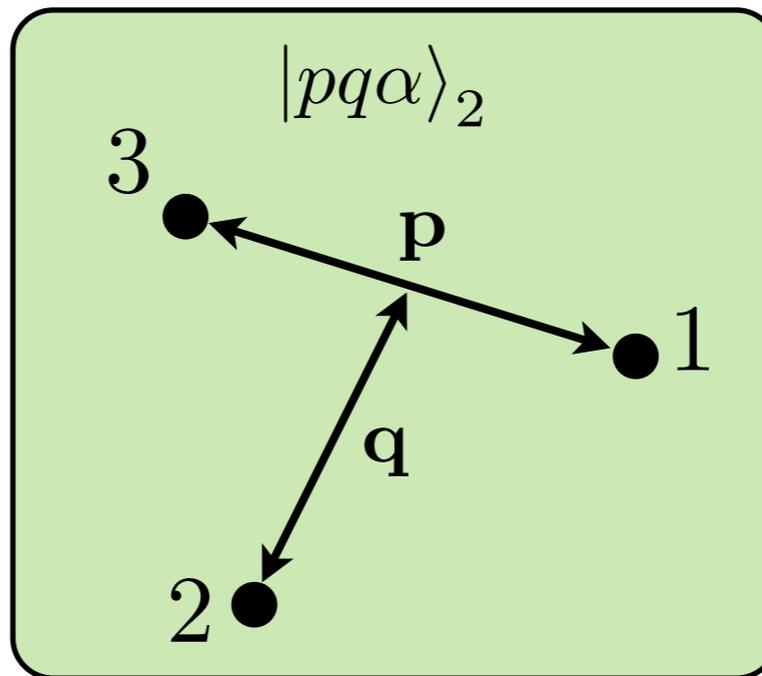
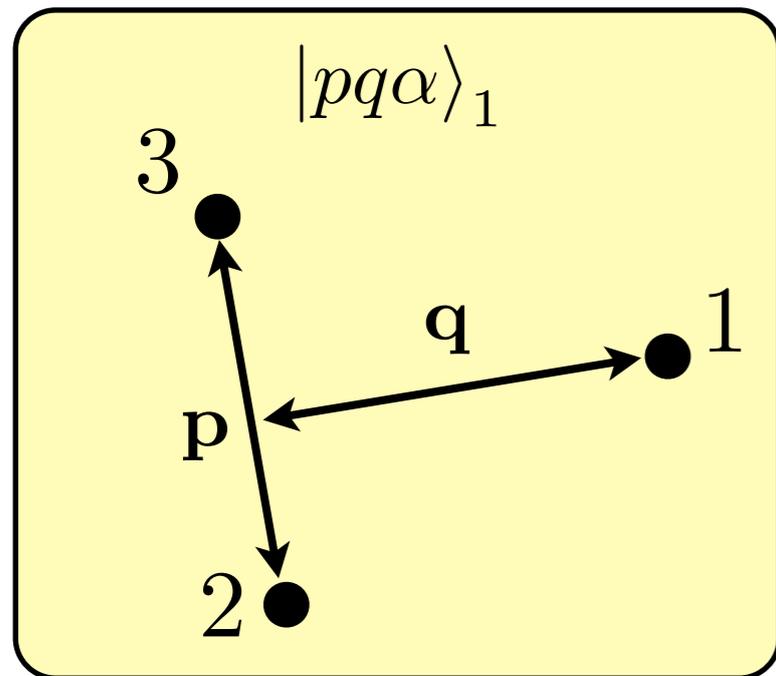
Novel normal ordering framework for 3NF that avoids the need to represent 3NF in single-particle coordinates, first benchmarks promising - further tests and optimizations in progress

Thank you!

Backup slides

Representation of 3N interactions in momentum space

$$|pq\alpha\rangle_i \equiv |p_i q_i; [(LS)J(l s_i)j] \mathcal{J} \mathcal{J}_z (T t_i) \mathcal{T} \mathcal{T}_z\rangle$$



Due to the large number of matrix elements, the traditional way of computing matrix elements requires extreme amounts of computer resources.

$$N_p \simeq N_q \simeq 15$$

$$N_\alpha \simeq 30 - 180$$

$$\longrightarrow \dim[\langle pq\alpha | V_{123} | p' q' \alpha' \rangle] \simeq 10^7 - 10^{10}$$

A 'new' algorithm allows efficient calculation.

KH, Krebs, Epelbaum, Golak, Skibinski, PRC 91, 044001 (2015)

Novel efficient many-body framework for nuclear matter (and other problems?)

Main code developer:
Christian Drischler



Problem:

Evaluation of MBPT diagrams beyond second order in perturbation theory becomes complicated and tedious in partial wave representation.

Present frameworks too inefficient for including matter properties in force fits.

Strategy:

Implementation of NN and 3N forces without partial wave decomposition.

Calculate MBPT diagrams in vector basis

$$|12\dots n\rangle = |\mathbf{k}_1 m_{s_1} m_{t_1}\rangle \otimes |\mathbf{k}_2 m_{s_2} m_{t_2}\rangle \otimes \dots \otimes |\mathbf{k}_n m_{s_n} m_{t_n}\rangle$$

using Monte-Carlo techniques. Implementation efficient and very transparent.

Drischler et al. arXiv:1710.08220 (2017)

Status:

Implementation of nonlocal NN plus 3N forces up to N3LO complete.

Implemented MBPT diagrams up to 4th order for state-of-the-art interactions.

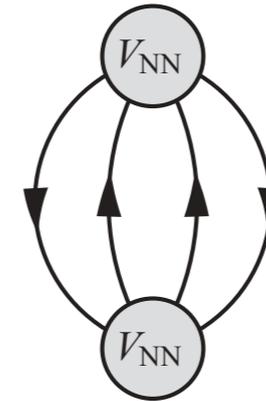
Entem et al. PRC 96, 024004 (2017)

Example: Second order diagram in MBPT

$$E_{\text{NN}+3\text{N},\text{eff}}^{(2)} = \frac{1}{4} \left[\prod_{i=1}^4 \text{Tr}_{\sigma_i} \int \frac{d\mathbf{k}_i}{(2\pi)^3} \right] |\langle 12 | V_{\text{as}}^{(2)} | 34 \rangle|^2$$

$$\times \frac{n_{\mathbf{k}_1} n_{\mathbf{k}_2} (1 - n_{\mathbf{k}_3})(1 - n_{\mathbf{k}_4})}{\varepsilon_{\mathbf{k}_1} + \varepsilon_{\mathbf{k}_2} - \varepsilon_{\mathbf{k}_3} - \varepsilon_{\mathbf{k}_4}} (2\pi)^3$$

$$\times \delta(\mathbf{k}_1 + \mathbf{k}_2 - \mathbf{k}_3 - \mathbf{k}_4).$$



Partial wave representation:

$$\sum_{S, M_S, M'_S} |\langle \mathbf{k} S M_S | V_{\text{as}}^{(2)} | \mathbf{k}' S M'_S \rangle|^2$$

$$= \sum_L P_L(\cos \theta_{\mathbf{k}, \mathbf{k}'}) \sum_{J, l, l', S} \sum_{\tilde{J}, \tilde{l}, \tilde{l}'} (4\pi)^2 i^{(l-l'+\tilde{l}-\tilde{l}')} (-1)^{\tilde{l}+l'+L}$$

$$\times C_{l0\tilde{l}'0}^{L0} C_{l'0\tilde{l}0}^{L0} \sqrt{(2l+1)(2l'+1)(2\tilde{l}+1)(2\tilde{l}'+1)}$$

$$\times (2J+1)(2\tilde{J}+1) \begin{Bmatrix} l & S & J \\ \tilde{J} & L & \tilde{l}' \end{Bmatrix} \begin{Bmatrix} J & S & l' \\ \tilde{l} & L & \tilde{J} \end{Bmatrix}$$

$$\times \langle k | V_{S'l'J}^{(2)} | k' \rangle \langle k' | V_{S\tilde{l}\tilde{J}}^{(2)} | k \rangle [1 - (-1)^{l+S+1}]$$

$$\times [1 - (-1)^{\tilde{l}+S+1}], \quad \text{e.g., KH, Schwenk PRC 82, 014314 (2013)}$$

- hard to automatize and generalize to higher order diagrams
- prone to mistakes

Single-particle vector representation:

$$\frac{E_{\text{NN}}^{(2)}}{V} = +\frac{1}{4} \sum_{\substack{ij \\ ab}} \frac{\langle ij | \mathcal{A}_{12} V_{\text{NN}} | ab \rangle \langle ab | \mathcal{A}_{12} V_{\text{NN}} | ij \rangle}{D_{ijab}}$$

- each diagram a compact single line of code
- straightforward to automatize code generation
- adaptive evaluation of integrals using Monte-Carlo techniques

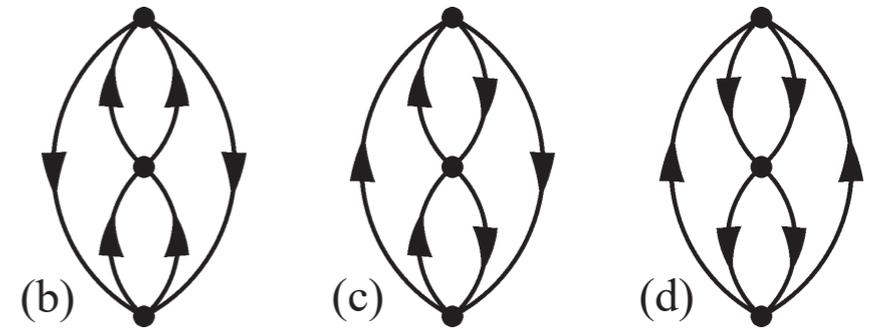
Higher-order contributions

example: third order (particle-particle, hole-hole, particle-hole)

$$\frac{E_1^{(3)}}{V} = + \frac{1}{8} \sum_{\substack{ijkl \\ ab}} \frac{\langle ij | \mathcal{A}_{12} V_{\text{NN}} | ab \rangle \langle kl | \mathcal{A}_{12} V_{\text{NN}} | ij \rangle \langle ab | \mathcal{A}_{12} V_{\text{NN}} | kl \rangle}{D_{ijab} D_{klab}},$$

$$\frac{E_2^{(3)}}{V} = + \sum_{\substack{ijk \\ abc}} \frac{\langle ij | \mathcal{A}_{12} V_{\text{NN}} | ab \rangle \langle ak | \mathcal{A}_{12} V_{\text{NN}} | ic \rangle \langle bc | \mathcal{A}_{12} V_{\text{NN}} | jk \rangle}{D_{ijab} D_{jkbc}},$$

$$\frac{E_3^{(3)}}{V} = + \frac{1}{8} \sum_{\substack{ij \\ abcd}} \frac{\langle ij | \mathcal{A}_{12} V_{\text{NN}} | ab \rangle \langle ab | \mathcal{A}_{12} V_{\text{NN}} | cd \rangle \langle cd | \mathcal{A}_{12} V_{\text{NN}} | ij \rangle}{D_{ijab} D_{ijcd}}.$$



Status:

- implemented all NN diagrams up to **fourth order** in MBPT, 3N interactions up to **third order**
- implemented all NN and 3N interactions (nonlocal) **up to N3LO**
- possible to also use **NN matrix elements** stored in **partial wave basis** by partial wave resummation
- interaction interface suitable for all **many-body frameworks** that require matrix elements in a momentum vector single-particle basis

Proof of principle:

Fits of 3N interactions to saturation properties of nuclear matter

- incorporation of saturation properties in fits was not possible so far due to insufficient efficiency of many-body calculations
- performed calculations up to 4th order for set of presently used NN interactions, natural convergence pattern Drischler et al., arXiv:1710.08220 (2017)

