

High-Order Nuclear Matter Calculations

with

Chiral EFT Interactions

Corbinian Wellenhofer
(TU Darmstadt)

Progress in *Ab Initio* Techniques in Nuclear Physics

TRIUMF Vancouver BC Canada

March 1, 2019



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(Towards)

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Drischler, Hebeler, Schwenk: PRL 122 (2019)

Wellenhofer, Drischler, Schwenk: arXiv 1812.08444

Progress in *Ab Initio* Techniques in Nuclear Physics

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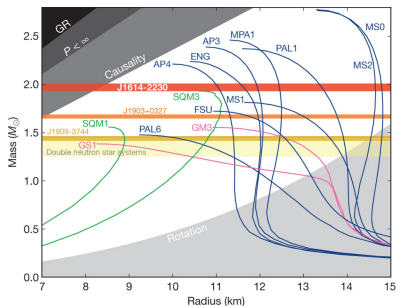
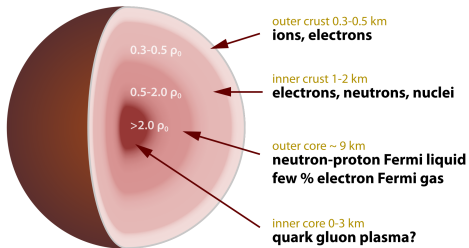
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The Nuclear-Many-Body Problem



Demorest et al.; "... two solar mass neutron star", Nature (2010)

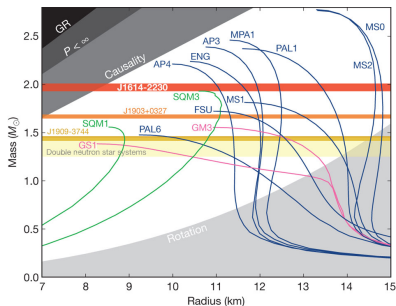
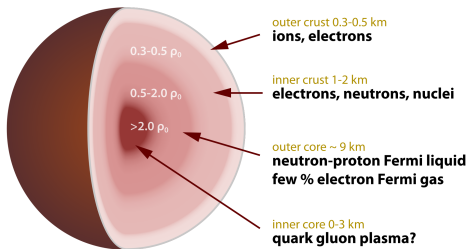
Nuclear saturation density: $\rho_0 \approx 0.16, \text{fm}^{-3}$, $k_{F,0} \approx 1.33 \text{fm}^{-1} = 262 \text{MeV}$

TOV equation:

$$\frac{dP(r)}{dr} = -\frac{\mathcal{G}}{r^2 c^2} [E(r) + P(r)] \left[M(r) + 4\pi r^3 \frac{P(r)}{c^2} \right] \times \left[1 - \frac{2\mathcal{G}M(r)}{c^2 r} \right]^{-1}.$$

EOS $P(E)$ determines mass-radius relation of neutron star ($T = 0$)

The Nuclear-Many-Body Problem



Demorest et al.; "... two solar mass neutron star", Nature (2010)

Nuclear saturation density: $\rho_0 \approx 0.16, \text{fm}^{-3}$, $k_{F,0} \approx 1.33 \text{fm}^{-1} = 262 \text{MeV}$

Task: Compute Nuclear Matter Properties with Controlled Uncertainties

- ground-state properties (neutron star structure)
- thermodynamic properties (supernovae, neutron star mergers)

Uncertainties governed by:

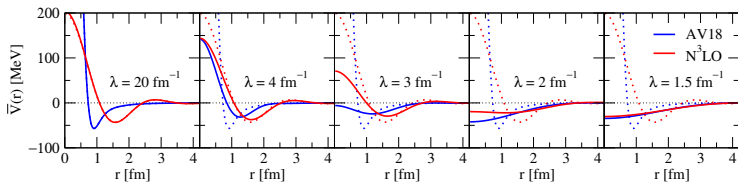
many-body method (\rightarrow MBPT), effective description of nuclear interactions

The Nuclear Many-Body Problem

Nuclear Interactions

Modern methods: **effective field theory, RG methods**

↪ many-body problem not intrinsically nonperturbative, MBPT applicable ?



Furnstahl; "The renormalization group in nuclear physics", Nucl. Phys. B Proc. Suppl. (2012)

Hard core not observable! → can generate 'perturbative' nuclear potentials

Unitary transformation (SRG): $H_\lambda = U_\lambda H U_\lambda^\dagger$

$$\frac{dV_\lambda}{d\lambda} = \left[\underbrace{T_{\text{kin}}}_{\sim a^\dagger a}, \underbrace{H_\lambda}_{\sim a^\dagger a^\dagger aa} \right], H_\lambda = \sum a^\dagger a^\dagger aa + \sum a^\dagger a^\dagger a^\dagger aaa \rightarrow \text{induced 3N forces}$$

Weinberg eigenvalue analysis with V_λ → MBPT ladders convergent!

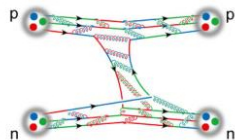
Bogner, Furnstahl, Schwenk; "From low-momentum interactions to nuclear structure", Prog.Part.Nucl.Phys.65 (2010)

But: MBPT much more than ladders; → test order-by-order convergence!

Modern Theory of Nuclear Interactions

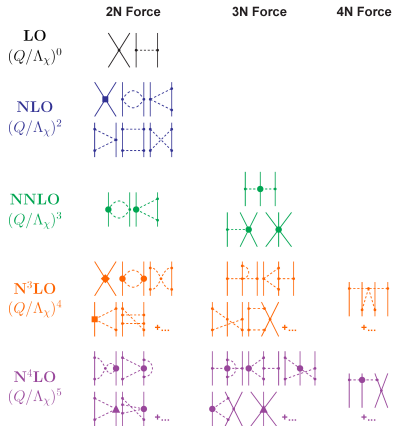
Low-Energy Quantum Chromodynamics

- Strongly-coupled, confinement
- Nonlinear realization of $SU(2)_L \times SU(2)_R$

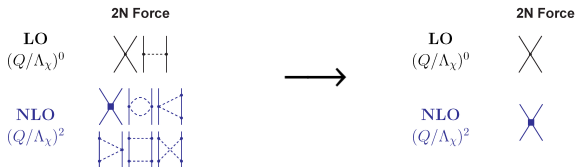


Chiral EFT of Nuclear Interactions

- 1 Identify energy scales:
'breakdown scale' $\Lambda_\chi \sim 1 \text{ GeV}$
'soft scale' $Q < \Lambda_\chi$,
- 2 Identify symmetries:
 $SU(2)_L \times SU(2)_R \supset SU(2)_V$
Identify effective degrees of freedom:
nucleons N , pions π , (...)
- 3 General Lagrangian consistent with symmetries: $\mathcal{L}_{\text{EFT}}(N, \pi)$
→ 'Low-Energy Constants' $\{c_i\}$
- 4 Renormalization, LEC Fixing, Power Counting, **Uncertainty Analysis**



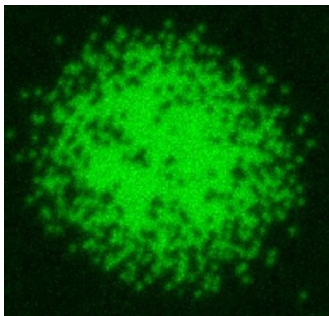
Part 1: Short-Ranged EFT & Dilute Fermi Gas



Part 2: Chiral EFT & Nuclear Matter

Fermion Scattering at Very Low Energies: ERE

Goal: calculate ground-state energy $E(k_F)$ of dilute gas of interacting fermions



Two-Body Interactions at Very Low Energies \rightarrow Effective-Range Expansion (ERE)

$$T(p, \cos \theta) = \frac{4\pi}{M} \sum_L \frac{2L + 1}{p \cot \delta_L - ip} P_L(\cos \theta)$$

$$p \cot \delta_0 = -\frac{1}{a_s} + \frac{1}{2} r_s p^2 + \dots$$

$$p \cot \delta_1 = -\frac{3}{p^2 a_p^3} + \dots$$

scattering lengths $a_{s,p}$
effective range r_s

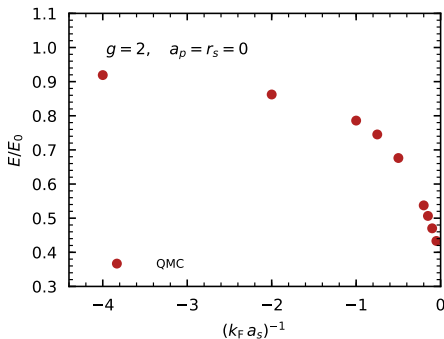
- e.g., hard-sphere potential $V(r) = \infty$ for $r > R$: $a_s = 3r_s/2 = a_p = 3R/2$

Dilute Fermi Gas from QMC

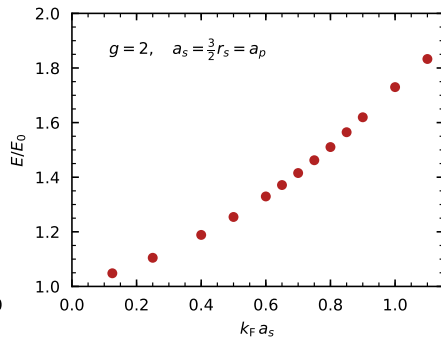
Goal: calculate ground-state energy $E(k_F)$ of dilute gas of interacting fermions

Quantum Monte-Carlo (QMC) Computations with Potential $V(r)$

- start with trial many-body wave function $\psi = \sum_i a_i \phi_i$
- imaginary-time evolution $\psi(\tau) = \sum_i e^{-E_i \tau} a_i \phi_i \rightarrow e^{-E_0 \tau} a_0 \phi_0$
- compute $\psi(R, \tau_0 + \Delta\tau) = \int_{R'} \langle R | e^{-H \Delta\tau} | R' \rangle \psi(R, \tau_0)$ stochastically



Gandolfi, Gezerlis, Carlson; Ann. Rev. Nucl. Part. Sci. 65 (2015)



Pilati, Bertaina, Giorgini, Troyer, PRL 105 (2010).

Unitary Fermi Gas $a_s \rightarrow \infty$: $E(k_F) = \xi E_0(k_F)$, Bertsch parameter $\xi \approx 0.38$

EFT for Dilute Fermi Gas (I): Lagrangian, Renormalization

Goal (EFT): derive expression for $E(k_F; a_s, r_s, a_p, \dots)$

Lagrangian

$$\begin{aligned}\mathcal{L}_{\text{EFT}}[\psi] = & \psi^\dagger \left[i\partial_t + \frac{\vec{\nabla}^2}{2M} \right] \psi - \frac{C_0}{2} (\psi^\dagger \psi)^2 + \frac{C_2}{16} [(\psi\psi)^\dagger (\psi \vec{\nabla}^2 \psi) + h.c.] \\ & + \frac{C'_2}{8} (\psi \vec{\nabla} \psi)^\dagger \cdot (\psi \vec{\nabla} \psi) - \frac{D_0}{6} (\psi^\dagger \psi)^3 + \dots\end{aligned}$$

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Renormalization

- divergent loop integrals (NN): $\mathcal{I}_{\psi\psi} = \frac{1}{2\pi} \int_0^\Lambda d^3q \frac{q^0}{q^2 - p^2 - i\epsilon} = 2\Lambda + i\pi p + O(\Lambda^{-1})$
- particle-particle ladders (MBPT): $\mathcal{I}_{\text{MBPT}} = \frac{1}{2\pi} \int_{k_F}^\Lambda d^3q \frac{q^0}{q^2 - p^2} =$

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- particle-particle bubbles (MBPT): $\mathcal{I}_{\text{MBPT}} = \mathcal{I}_{\text{fin}} + \frac{1}{2\pi} \int_0^\Lambda d^3q \frac{q^0}{q^2 - p^2} = 2\Lambda + \mathcal{I}_{\text{fin}} + O(\Lambda^{-1})$

⇒ same counterterms renormalize NN scattering and MBPT! (\sim medium is non-UV effect)

LEC Fixing: match two-body LECs to effective-range expansion

$$C_0 = \frac{4\pi a_s}{M}, \quad C_2 = C_0 \frac{a_s r_s}{2}, \quad C'_2 = \frac{4\pi a_p^3}{M}$$

- **Power counting** for natural LECs: $|C_0| \sim 1/\Lambda_\chi$, $|C_2| \sim |C'_2| \sim 1/(\Lambda_\chi)^3$

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- **Power counting** for natural LECs: $|C_0| \sim 1/\Lambda_\chi$, $|C_2| \sim |C'_2| \sim 1/(\Lambda_\chi)^3$

- EFT power counting and MBPT(n) in direct correspondence, $T = 0$: MBPT(n) $\sim k_F^n$

Fermi-momentum expansion for ground-state energy density $E(k_F)$

$$E(k_F) \simeq e \frac{k_F^2}{2M} \left[\frac{3}{5} + (g-1) \left\{ \frac{2}{3\pi} k_F a_s + \frac{4}{35\pi^2} (11 - 2 \ln 2) (k_F a_s)^2 \right. \right. \\ \left. \left. + (0.0755732 + 0.0573879(g-3)) (k_F a_s)^3 \right\} + \frac{1}{10\pi} (g-1) (k_F a_s)^2 k_F r_s + \frac{1}{5\pi} (g+1) (k_F a_p)^3 \right. \\ \left. + E_4(k_F) + \dots \right]$$

T.D. Lee, C.N. Yang; Phys. Rev 105 (1957)

C. de Dominicis, P.C. Martin; Phys. Rev 105 (1957)

V.N. Efimov; Sov. Phys. JETP 22(1966)

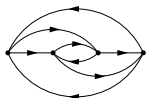
H.-W. Hammer, R.J. Furnstahl; NPA 678 (2000)

N. Kaiser; NPA 860 (2011), EPJA 53 (2017)

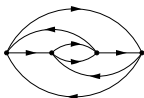
C_0 contributions: MBPT(1,2): 1 diag, MBPT(3): 3 diags, MPT(4): 33 diags, MBPT(5): 668

Calculation of $E_4(k_F)$

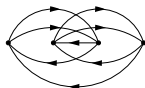
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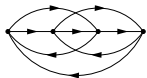
II5



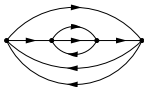
II6



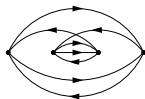
III7



IIA1



III1



III8

- I(1,2,4,5), II(1,2,6), III(1,8): **UV power divergences**: subladders, NN counterterms
- II(5,6), IIA1, III1: **logarithmic UV divergences**: 3N counterterm $g > 2$, cancel $g = 2$
 \leadsto logarithmic term at fourth order $(g - 2)(k_F a_S)^4 \ln k_F / \Lambda_0$

V. N. Efimov, Phys. Lett. 15, (1965)

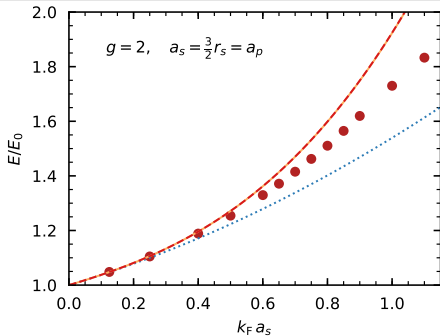
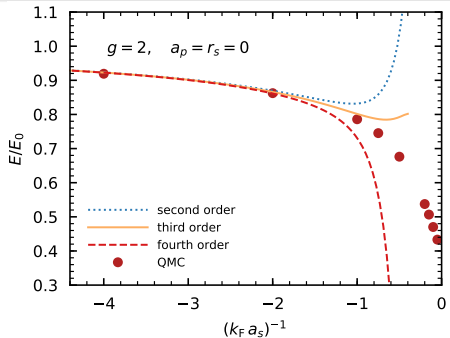
- III(1,2,8,10): **infrared divergences**: cancel in III(1+8) and III(2+10)

Calculation of $E_4(k_F)$

Table : Diagrams with * (**) have power (logarithmic) divergences.
 Diagrams with *** have infrared divergences.

diagram	g factor	value	
I1*	1	+0.0383115(0)	
I2* + I3 + I4* + I5*	1	+0.0148549(0)	I1-I6: pp-hh ladder diagrams
I6	1	-0.0006851(0)	
IA1	$g(g-3) + 4$	-0.003623(1)	IA1-IA3: ring diagrams
IA2	$g(g-3) + 4$	-0.001672(1)	
IA3	$g(g-3) + 4$	-0.003343(1)	
II1* + II2*	$g-3$	+0.058359(1)	
II3+II4	$g-3$	-0.003358(1)	
II5**	$g-3$	+0.0645(1)	
II6***	$g-3$	-0.0265(2)	
II7+II12	$g-3$	+0.003923(1)	
II8+II11	$g-3$	+0.007667(1)	
II9	$g-3$	-0.000981(1)	
II10	$g-3$	-0.000347(1)	
IIA1**	$3g-5$	+0.0647(1)	
IIA2+IIA4	$3g-5$	+0.004122(1)	
IIA3	$3g-5$	-0.000461(1)	
IIA5	$3g-5$	+0.003542(1)	
IIA6	$3g-5$	+0.003331(1)	
III1***,**,* + III7+III8***,*	$g-1$	-0.0513(2)	
III2*** + III9+III10***	$g-1$	+0.001650(1)	
(II5+IIA1) $_{g=2}$	1	+0.00018(1)	
(II6+III1+III7+III8) $_{g=2}^*$	1	-0.0248(1)	
\sum diagrams, $g=2$	1	-0.0425(1)	

k_F Expansion Results (I): Order-By-Order



k_F expansion for spin one-half fermions ($g = 2$)

$$g = 2 : \quad \frac{E(k_F)}{E_0} = 1 + \sum_{\nu=1}^N X_\nu \delta^\nu + o(\delta^N),$$

where X_n are determined by the ERE parameters. For $r_s = a_p = 0$ we have

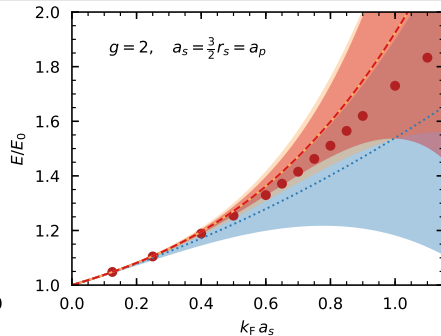
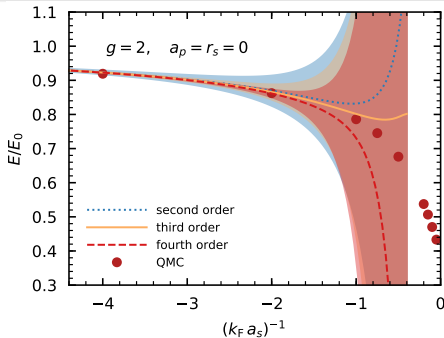
$$(X_1, X_2, X_3, X_4) = (+0.354, +0.186, +0.030, -0.071)$$

and for the hard-sphere gas (HS) with $a_s = 3r_s/2 = a_p$ we obtain

$$(X_1, X_2, X_3, X_4) = (+0.354, +0.186, +0.384, +0.001)$$

Uncertainties?

k_F Expansion Results (II): Uncertainty Systematics



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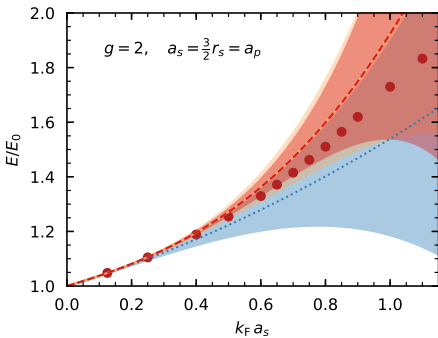
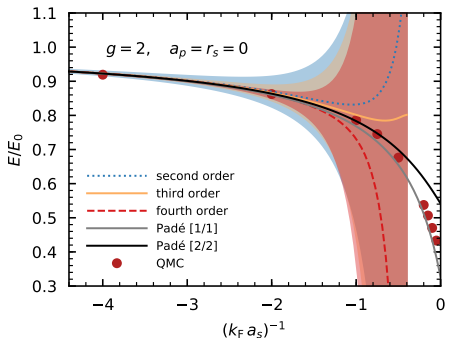
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Uncertainty bands by setting $X_{N+1} = \pm \max[X_{\nu \leq N}]$

k_F Expansion Results (III): Padé Approximants



k_F expansion for spin one-half fermions ($g = 2$)

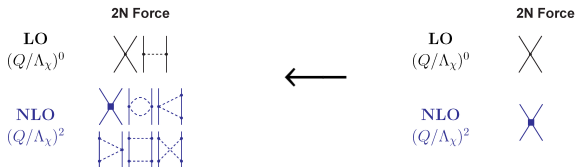
$$\text{Padé}[N, M] = \frac{a_0 + a_1 x + a_2 x^2 + \dots + a_N x^N}{1 + b_1 x + b_2 x^2 + \dots + b_M x^M}$$

Unitary Fermi Gas $a_s \rightarrow \infty$: $E(k_F) = \xi E_0(k_F)$, Bertsch parameter $\xi \approx 0.38$

→ diagonal Padé approximants

Padé[1, 1], [2, 2] → $\xi_n \in [0.33, 0.54]$, is consistent with the value $\xi_n = 0.45$ extracted from experiments with cold atomic gases [Ku, Sommer, Cheuk, Zwierlein; Science 335 \(2012\)](#)

Part 1: Short-Ranged EFT & Dilute Fermi Gas

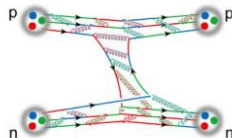


Part 2: Chiral EFT & Nuclear Matter

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Identify effective degrees of freedom:
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	NN Force	3N Force	4N Force
LO (Q/Λ_χ) ⁰		—	—
NLO (Q/Λ_χ) ²		—	—
NNLO (Q/Λ_χ) ³			—
N ³ LO (Q/Λ_χ) ⁴			

Perturbative EFT for Dilute Fermi Gas

- Perturbative renormalization: counterterms, $\Lambda \rightarrow \infty$
- LEC Fixing: 'bare' LECs c_i matched to ERE
- Power Counting: $\sim (Q/\Lambda_\chi)^n$ for observables (requires natural c_i 's)

Uncertainties via: EFT orders

Nuclear physics: bound states + large $a_s \rightarrow T_{NN,3N,\dots}$ nonperturbatively, numerically!

χ EFT for Nuclear Matter: Chiral Nuclear Potentials (Standard Approach)

Weinberg; "Nuclear forces from chiral lagrangians" Phys.Lett.B (1990)

- Regularization; e.g., sharp cutoff Λ
- $c_i(n, \Lambda)$ fit to data
- Power Counting: $\sim (Q/\Lambda_\chi)^n$ for potentials

nuclear potentials:

$$\left. \begin{array}{l} V_{NN}(n, \Lambda, c_i(n, \Lambda)), \\ V_{3N}(n \geq 3, \Lambda, c_i(n, \Lambda)), \dots \end{array} \right\}$$

MBPT \rightarrow **low-momentum potentials:** $\Lambda \lesssim 500$ MeV

Uncertainties via: EFT orders, cutoff variation, fit ambiguities

Renormalization, LEC Fixing, Power Counting

Perturbative EFT for Dilute Fermi Gas

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nuclear potentials:

$$V_{NN}(n, \Lambda, c_i(n, \Lambda)), \\ V_{3N}(n \geq 3, \Lambda, c_i(n, \Lambda)), \dots$$

MBPT \rightarrow **low-momentum potentials:** $\Lambda \lesssim 500$ MeV

Uncertainties via: EFT orders, cutoff variation, fit ambiguities

- Other approaches suggested; \sim LO beyond NDA, NLO perturbatively

Nogga, Timmermans, van Kolck; "Renormalization of one-pion exchange and power counting", PRC 72 (2005)

N3LO two-nucleon + N2LO three-nucleon potential

- nonlocal regulator $f(p, p') = \exp[-(p/\Lambda)^{2\nu}] - (p'/\Lambda)^{2\nu}]$
- low-momentum potentials ($\Lambda \lesssim 500$ MeV) required for MBPT convergence
- **“n3lo”**: c_i 's from fits to phase shifts, c_D & c_E from fits to ${}^3\text{H}$ binding energy and ${}^3\text{H}$ - ${}^3\text{He}$ Gamow-Teller matrix element

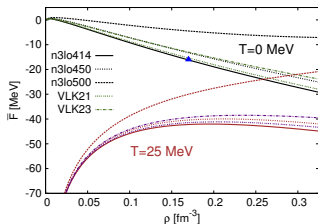
Entem, Machleidt; PRC 68 (2003), Gazit; Phys.Lett.B 666 (2008), Coraggio, Holt *et al.*; PRC 87 (2013) + PRC 89 (2014)

- **“VLK”**: NN potential from RG evolution of n3lo500, Nijmegen values for 3N c_i 's, c_D & c_E from fits to ${}^3\text{H}$, ${}^3\text{He}$, ${}^4\text{He}$ binding energies

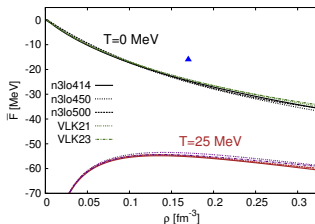
Bogner, Furnstahl, Schwenk, Nogga; NPA 763 (2005), Nogga, Bogner, Schwenk; PRC 70 (2004)

	Λ (fm $^{-1}$)	ν	c_E	c_D	c_1 (GeV $^{-1}$)	c_3 (GeV $^{-1}$)	c_4 (GeV $^{-1}$)
n3lo414	2.1	10	-0.072	-0.4	-0.81	-3.0	3.4
n3lo450	2.3	3	-0.106	-0.24	-0.81	-3.4	3.4
n3lo500	2.5	2	-0.205	-0.20	-0.81	-3.2	5.4
VLK21	2.1	∞	-0.625	-2.062	-0.76	-4.78	3.96
VLK23	2.3	∞	-0.822	-2.785	-0.76	-4.78	3.96

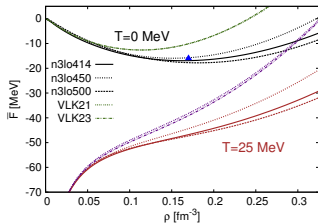
Free Energy of Symmetric Nuclear Matter, Free Spectrum $\varepsilon = k^2/(2M)$



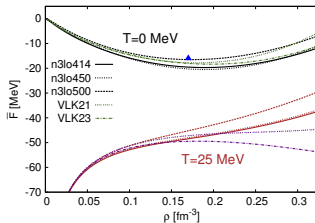
(a) NN first order, no 3N



(b) NN second order, no 3N



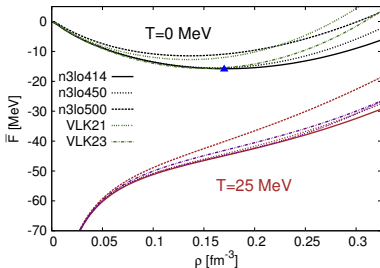
(c) NN second order, 3N first order



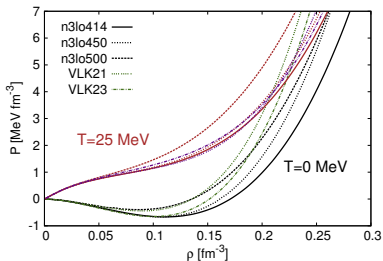
(d) NN second order, 3N second order

Nuclear Matter EOS & 3N Forces

Free Energy + Pressure of Symmetric Nuclear Matter, HF Spectrum $\varepsilon = k^2/(2M) + U_{1,k}$



Wellenhofer, Holt, Kaiser, Weise; PRC 89 (2014)



Wellenhofer, Holt, Kaiser; PRC 92 (2015)

- saturation point $(E_0, \rho_0) \approx (16 \text{ MeV}, 0.16 \text{ fm}^{-3})$ improved by U_1
n3lo414, n3lo450, ~~n3lo500~~, ~~VLK21~~, VLK23 (n3lo500 nonperturbative?)

- VLK21 & VLK23: pressure isotherm crossing

→ negative thermal expansion coefficient $\alpha = \kappa_T \frac{dP}{dT}$ (\sim water below 4°C)

→ thermal index $\Gamma = 1 + \frac{P(T)-P(0)}{\epsilon(T)-\epsilon(0)} < 1$, Free Fermi Gas: $\Gamma = 1.66$

Reference Hamiltonian beyond Hartree-Fock

$$\mathcal{H} = \mathcal{T}_{\text{kin}} + \mathcal{V} = \underbrace{(\mathcal{T}_{\text{kin}} + \mathcal{U})}_{\substack{\text{reference system} \\ \text{"mean-field theory"}}} + \underbrace{(\mathcal{V} - \mathcal{U})}_{\substack{\text{perturbation} \\ \text{"correlations"}}, \quad \text{with 'mean-field' } \mathcal{U} = \sum_{\mathbf{k}} U_{\mathbf{k}} a_{\mathbf{k}}^{\dagger} a_{\mathbf{k}} \rightarrow$$

usually: Hartree-Fock mean-field: $U_{\mathbf{k}} = \frac{\delta \Omega_1}{\delta n_{\mathbf{k}}}$

Generalization: order-by-order renormalization of mean-field: $U_{\mathbf{k}} = \sum_{n=1}^N \frac{\delta \Omega_{n,\text{normal}}^{*,**,***}}{\delta n_{\mathbf{k}}}$

- thermodynamic relations of Fermi-liquid theory (\sim Landau), valid $\forall T$

$$\varrho = \sum_{\mathbf{k}} n_{\mathbf{k}}, \quad S = -\sum_{\mathbf{k}} (n_{\mathbf{k}} \ln n_{\mathbf{k}} + \bar{n}_{\mathbf{k}} \ln \bar{n}_{\mathbf{k}}), \quad \frac{\delta E}{\delta n_{\mathbf{k}}} = \epsilon_{\mathbf{k}}$$

- finite- T and $T = 0$ MBPT consistent: $F(T, \mu) \xrightarrow{T \rightarrow 0} E(k_{\text{F}})$

- *"at each new order, not only is new information about interaction effects included, but this information automatically improves the reference point"*

Wellenhofer; arXiv:1804.03040

- Fermi-liquid relations: \leadsto phenomenological parametrizations, Sommerfeld
- Second-order contribution $U_{2,\mathbf{k}}$ has significant effects! Holt, Kaiser; PRC 95 (2017)
- **But:** convergence rate with higher-order \mathcal{U} ? \rightarrow Current Work!

Part 1: Short-Ranged EFT & Dilute Fermi Systems

- Perturbative renormalization, two-body LECs c_i matched to scattering parameters, EFT power counting for observables
- **Uncertainties: EFT orders**
- Perturbation series for $E(k_F)$ evaluated up to **fourth order**, convergence for $k_F a_s \lesssim 0.5$

Part 2: Chiral EFT & Nuclear Matter

(Standard Weinberg Approach)

- Finite cutoff Λ , $c_i(n, \Lambda)$ via fits to (few-body) data, EFT power counting for potentials
- Few-body systems nonperturbative, soft potentials \rightarrow MBPT for nuclear matter
- **Uncertainties: (U1) EFT orders, (U2) cutoff variation, (U3) fit ambiguities**
 \rightarrow 3N contributions appear to dominate uncertainties
- Temperature dependence of EOS sensitive to **3N contributions**
- **Current work: Towards UQ for Nuclear Matter Calculations**
 - Higher orders, test MBPT convergence
 - **3N contributions** beyond N2LO at MBPT(2)
 - MBPT with improved mean-field

Thank you for your attention!

Special thanks to:

Christian Drischler, Kai Hebler, Jeremy Holt,
Norbert Kaiser, Achim Schwenk, Wolfram Weise

Thanks to the organizers: Jason Holt, Petr Navratil