

# Ab initio treatment of collective correlations in neutrinoless double beta decay

Jiangming Yao

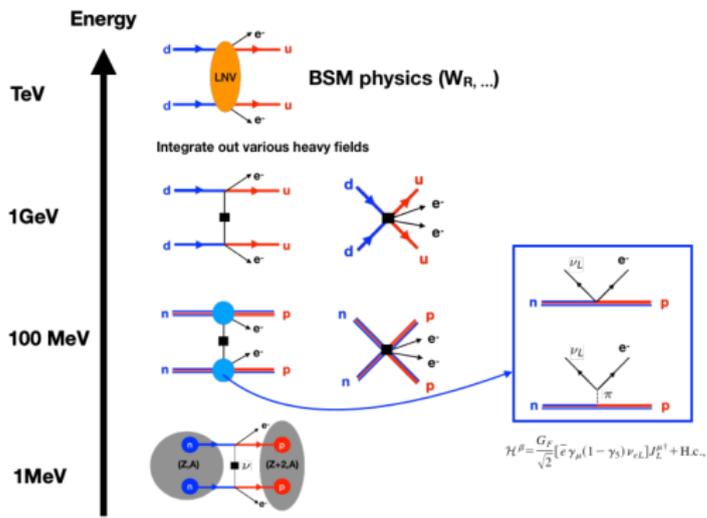
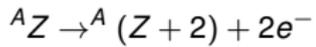
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Progress in Ab Initio Techniques in Nuclear Physics,  
TRIUMF, Canada, March 6, 2020

# What's neutrinoless double beta decay?

- At nuclear-structure level, it corresponds to the transition



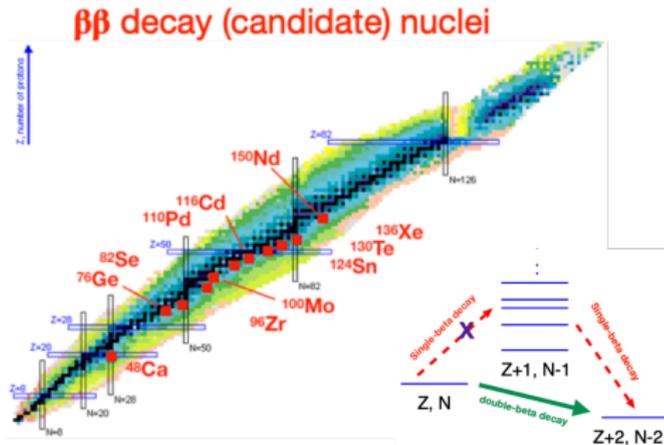
Schechter-Valle theorem (1982):  
 any diagram causing the  $0\nu\beta\beta$  decay will generate a Majorana mass term for light neutrinos

- 1 Beyond SM physics:  
nonzero neutrino mass
- 2 Nature of neutrinos:  
Dirac or Majorana
- 3 Origin of the matter-antimatter asymmetry:  
Lepton-number violation

V. Cirigliano+ (2020)

# What kind of nuclei to observe the $0\nu\beta\beta$ ?

- Single-beta decay is energetically forbidden
- Experimental interest
  - 1 Large  $Q_{\beta\beta}$  value
  - 2 Large isotopic abundance
  - 3 Low background in the energy region of interest



## Features of candidate nuclei

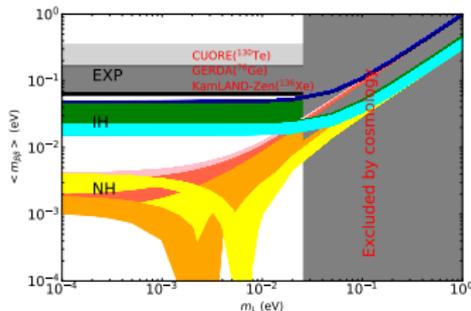
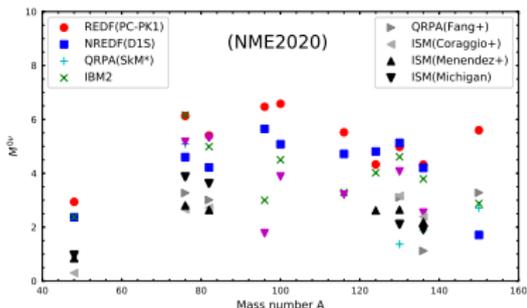
The nuclei evolved in the  $0\nu\beta\beta$  are mostly medium-mass open-shell (deformed) nuclei.

# Current status on the studies of $0\nu\beta\beta$ decay



Based on the mechanism of exchange light Majorana neutrino, the inverse of half-life of  $0\nu\beta\beta$  can be factorized as

$$[T_{1/2}^{0\nu}]^{-1} = g_A^4 G_{0\nu} \left| \frac{\langle m_{\beta\beta} \rangle}{m_e} \right|^2 |M^{0\nu}|^2, \quad \langle m_{\beta\beta} \rangle = \left| \sum_{i=1,2,3} U_{ei}^2 m_i \right|$$



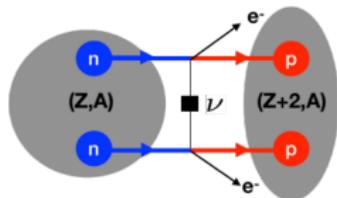
A precise knowledge (from ab initio calculation) of the nuclear matrix element (NME)  $M^{0\nu}$  is helpful to determine the neutrino effective mass  $\langle m_{\beta\beta} \rangle$ , if the process is measured eventually.

# Nuclear matrix element for the $0\nu\beta\beta$ decay



- The NME for the  $0\nu\beta\beta$  transition from  $|0_I^+\rangle$  to  $|0_F^+\rangle$

$$M^{0\nu}(0_I^+ \rightarrow 0_F^+) = \langle 0_F^+ | O^{0\nu} | 0_I^+ \rangle$$



- the transition operator: exchange of light neutrinos and with closure approximation

$$\begin{aligned} O^{0\nu} &= \frac{4\pi R}{g_A^2} \int d^3\vec{r}_1 \int d^3\vec{r}_2 \int \frac{d^3\vec{q}}{(2\pi)^3} \frac{e^{i\vec{q}\cdot(\vec{r}_1-\vec{r}_2)}}{q(q+E_d)} \mathcal{J}_\mu^\dagger(\vec{r}_1) \mathcal{J}^{\mu\dagger}(\vec{r}_2) \\ &= \sum_K \sum_{1,2} H_K(r_{12}, E_d) \tau_1^+ \tau_2^+ S_K \end{aligned} \quad (1)$$

where  $S_K = \{1, \sigma_1 \cdot \sigma_2, 3(\sigma_1 \cdot \hat{r}_{12})(\sigma_2 \cdot \hat{r}_{12}) - \sigma_1 \cdot \sigma_2\}$  for  $K = \{F, GT, T\}$ , respectively. The average excitation energy  $E_d = \bar{E} - (E_i + E_f)/2 \sim 1.12A^{1/2}$ .  
 Only one-body current  $\mathcal{J}^\mu$  is taken into account in the present study.

# ab initio calculations of nuclear structure



- The wave functions of initial and final nuclei require the calculation from ab initio methods:
  - 1 starts from a bare nucleon-nucleon interaction (fitted to data of  $NN$  scattering/few-body systems)
  - 2 solves Schroedinger equation (for the many-body system) with a controllable accuracy of approximations
- **Benchmark calculations in light nuclei:**
  - ✓ Variational Monte Carlo calculation starting from the Argonne v18 two-nucleon potential and Illinois-7 three-nucleon interaction for light nuclei  
S. Pastore et al. (2017)
  - ✓ No-core shell model calculations starting from chiral NN+3N interactions for light nuclei P. Gysbers et al., R. A. Basili et al. (2019)
- **Extension to medium-mass candidate nuclei:**
  - ✓ Application of coupled-cluster (S. Novario, G. Hagen, T. Papenbrock et al.) and valence-space in-medium similarity renormalization group (IMSRG) (Antoine Belley, R. Stroberg, J. Holt et al.) method starting from chiral NN+3N interactions for  $0\nu\beta\beta$ -candidate nuclei
  - ✓ Merging the multi-reference IMSRG with generator coordinate method (GCM) starting from chiral NN+3N interactions for  $0\nu\beta\beta$ -candidate nuclei  
JMY, B. Bally, J. Engel, R. Wirth, T. R. Rodríguez, H. Hergert, arXiv:1908.05424

# The method: basic idea of IMSRG

- A set of continuous **unitary transformations** onto the Hamiltonian

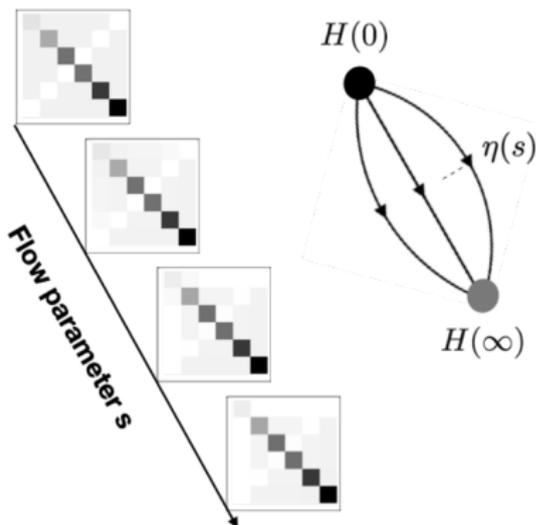
$$H(s) = U(s)H_0U^\dagger(s)$$

- Flow equation for the Hamiltonian

$$\frac{dH(s)}{ds} = [\eta(s), H(s)]$$

where the  $\eta(s) = \frac{dU(s)}{ds}U^\dagger(s)$  is the so-called generator chosen to decouple a given **reference state** from its excitations.

- Computation complexity scales **polynomially** with nuclear size



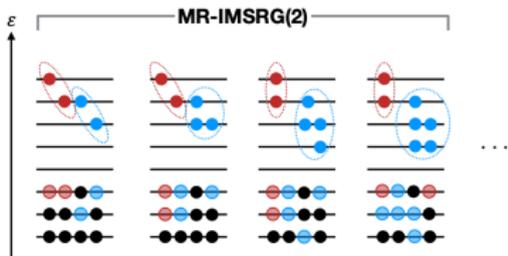
Tsukiyama, Bogner, and Schwenk (2011)  
Hergert, Bogner, Morris, Schwenk, Tsukiyama (2016)

Not necessary to construct the H matrix elements in many-body basis !

# Extension of the IMSRG for the NMEs of $0\nu\beta\beta$ : challenges



- open-shell nuclei with collective correlations: **mp-mh excitation configurations**



**MR-IMSRG:** build correlations on top of **already correlated** state (e.g., from a method that describes static correlation well)

- different unitary transformation for the initial and final nuclei:  $U_I(s) \neq U_F(s)$ .  
 Computation of the following matrix element

$$M^{0\nu} = \langle \Phi_F | U_F(s) O^{0\nu} U_I^\dagger(s) | \Phi_I \rangle = \langle \Phi_F | e^{\Omega_F(s)} O^{0\nu} e^{-\Omega_I(s)} | \Phi_I \rangle \quad (2)$$

with truncation error controllable is challenge.

choose the reference state  $|\Phi\rangle$  as an ensemble of the initial and final nuclei

# The IMSRG+GCM method: procedure

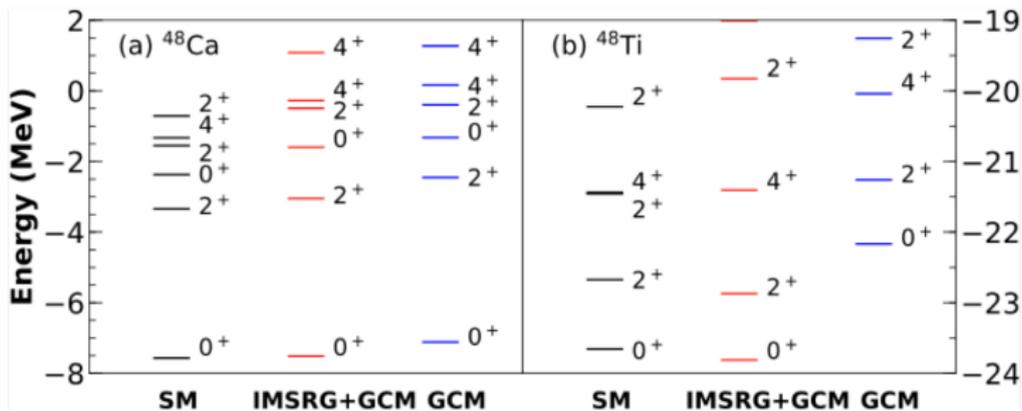


- Generation of a reference state with collective correlations
  - 1 Constrained deformed HFB calculation with variation after particle-number projection
  - 2 projection onto the right quantum numbers (NZ,J)
  - 3 computing many-body density matrices of the reference state
- Normal-ordering all the operators with respect to the reference state and solve the IMSRG flow equation
  - 1 Ensemble normal-ordering (NO2B)
  - 2 Computing all the RG evolved operators
- Diagonalization of the evolved Hamiltonian with GCM
  - 1 Generate a set of non-orthogonal quantum-number projected HFB states with different coll. correlations
  - 2 mixing of these states with GCM
  - 3 Computing observables with the GCM wave functions using the corresponding evolved operators

# A benchmark of the method



- model space:  $pf$  shell
- KB3G interaction



JMY, J. Engel, L.J. Wang, C.F. Jiao, H. Hergert (2018)

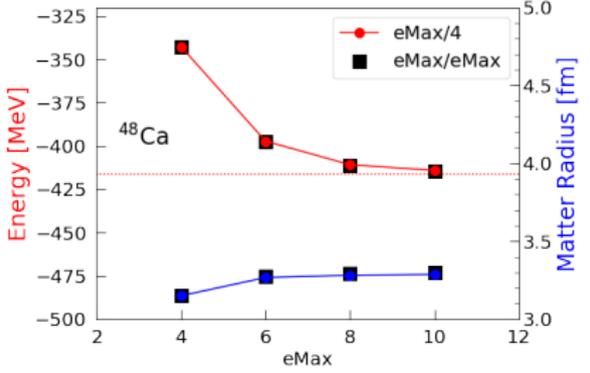
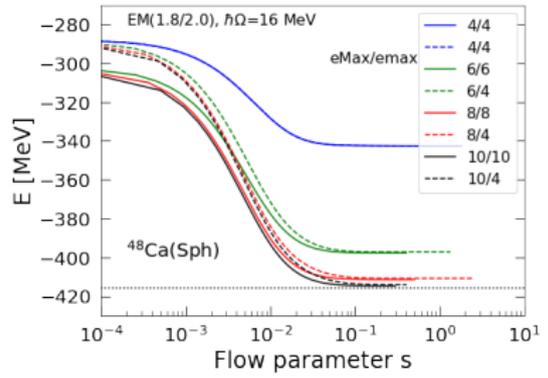
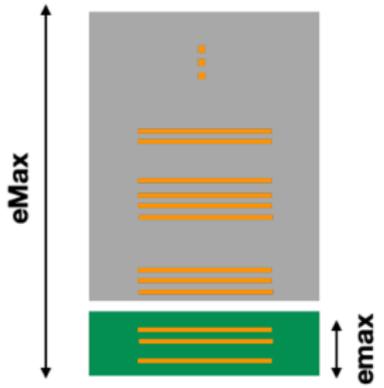
Computation time for the many-body density matrices increases significantly while using chiral interactions in full model space.



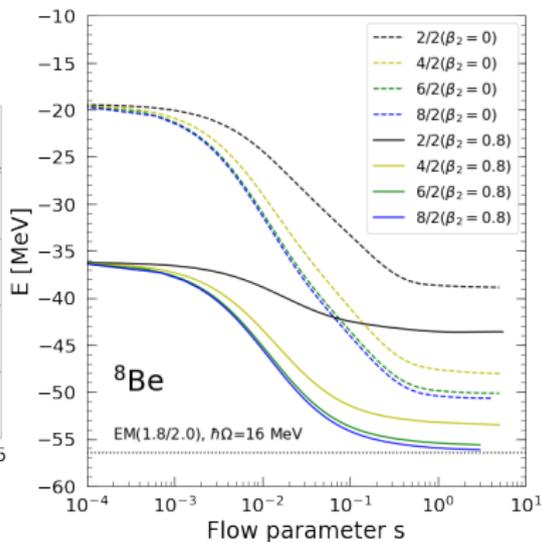
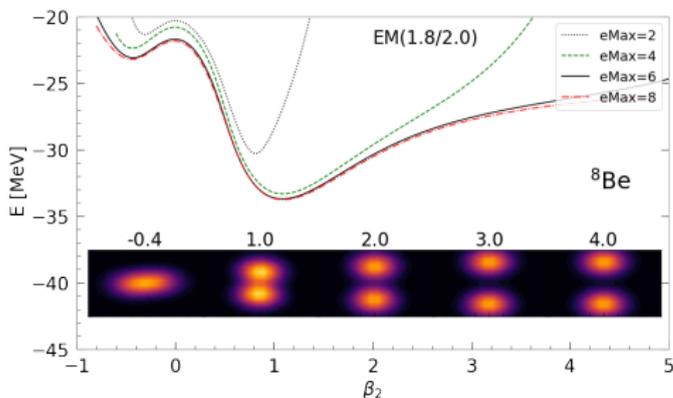
# Many-body density matrices in a small model space

## Prescription

- Construct density matrix elements in a small model space (defined by **eMax**)
- Normal-order the  $H$  and solve the IMSRG flow in a large model space (**eMax**)



# An illustrative calculation for deformed nuclei: $^8\text{Be}$



- HFB potential energy surface by the SRG softened chiral interaction EM1.8/2.0 ( $\hbar\Omega = 16$  MeV)

- Starting from the reference state with two- $\alpha$  structure, the IMSRG(2) is converged to ground state.

# Applications to neutrinoless double beta decay



- Benchmark calculations of light nuclei:

- 1 transition between  $\Delta T = 0$  states:  ${}^6\text{He} \rightarrow {}^6\text{Be}$ , and  ${}^{10}\text{Be} \rightarrow {}^{10}\text{C}$

- 2 transition between  $\Delta T = 2$  states:  ${}^8\text{He} \rightarrow {}^8\text{Be}$ , and  ${}^{22}\text{O} \rightarrow {}^{22}\text{Ne}$

- Application to candidate  $0\nu\beta\beta$  process ( $\Delta T = 2$ ):

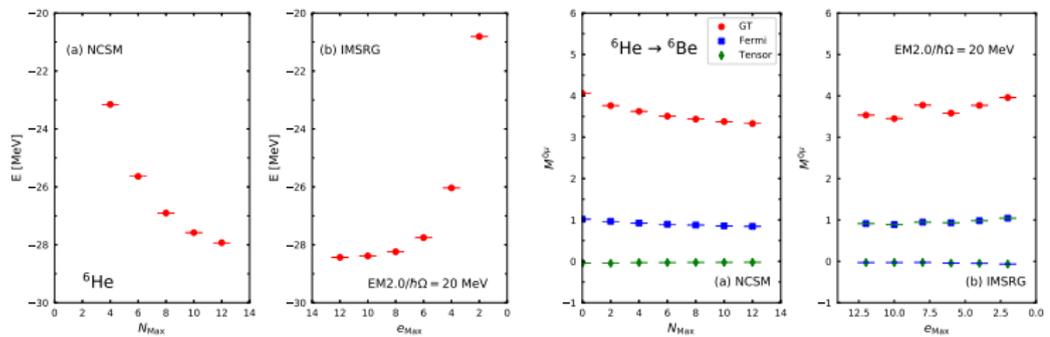
- 1  ${}^{48}\text{Ca} \rightarrow {}^{48}\text{Ti}$

- 2  ${}^{76}\text{Ge} \rightarrow {}^{76}\text{Se}$

# $0\nu\beta\beta$ from ${}^6\text{He}$ and ${}^6\text{Be}$ ( $\Delta T = 0$ )



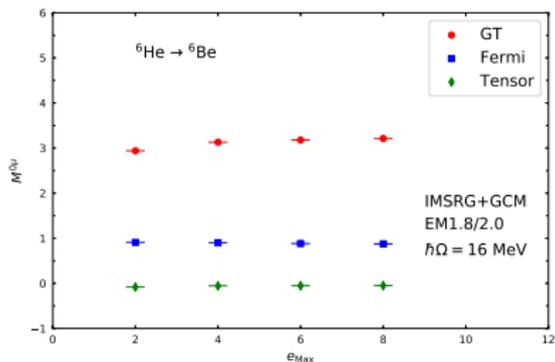
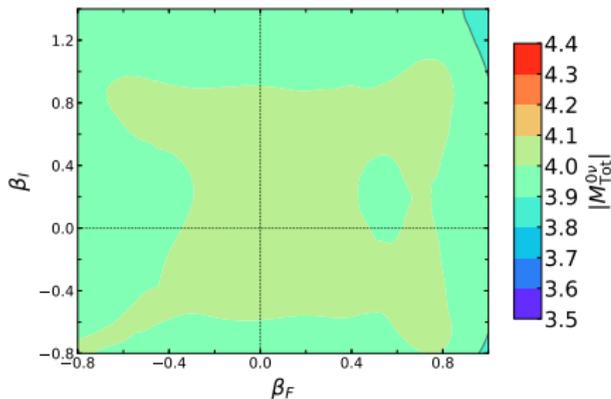
- SRG softened two-body NN interaction: EM2.0/500
- make use of isospin symmetry in the wave functions of initial and final nuclei



R.A. M Basili, JMY, J. Engel, H. Hergert, M. Lockner, P. Maris, J.P. Vary, arXiv:1909.06501

# $0\nu\beta\beta$ from ${}^6\text{He}$ and ${}^6\text{Be}$ ( $\Delta T = 0$ )

- chiral 2N+3N interaction(EM1.8/2.0), isospin symmetry is NOT assumed in the wfs

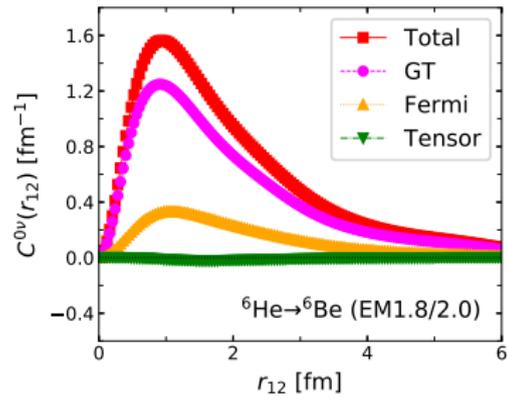


- $M^{0\nu}$  is weakly sensitive to the shapes/deformations of concerned
- $M^{0\nu}(\text{GT/F/TE}) = 3.18/0.88/-0.05$
- VMC (AV18+IL17):  $M^{0\nu}(\text{GT/F/TE}) = 3.688/0.946/-0.025$  [S. Pastore+(2018)]  
 discrepancy contributed from both wfs and transition operators.

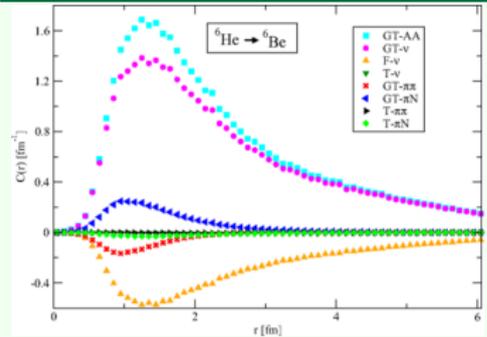


# $0\nu\beta\beta$ from ${}^6\text{He}$ and ${}^6\text{Be}$ ( $\Delta T = 0$ )

$$M^{0\nu} = \int_0^\infty C^{0\nu}(r_{12}) dr_{12}$$

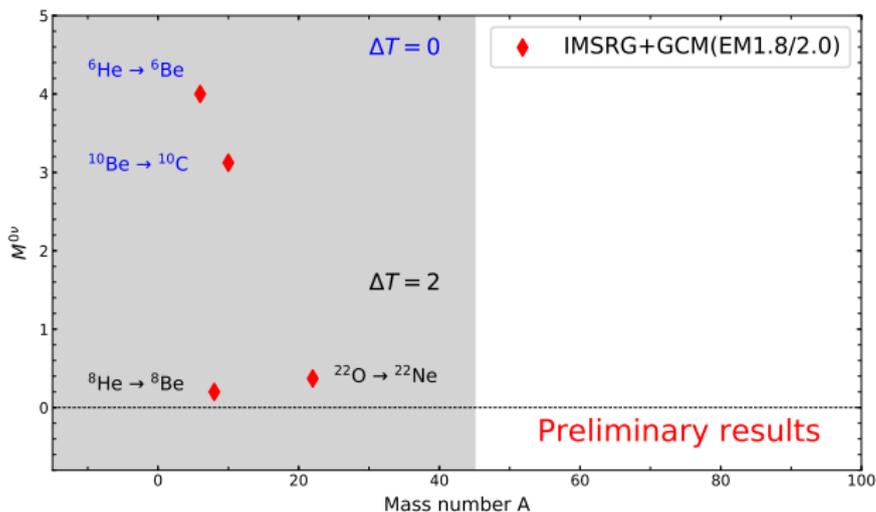


## S. Pastore et al (2018)



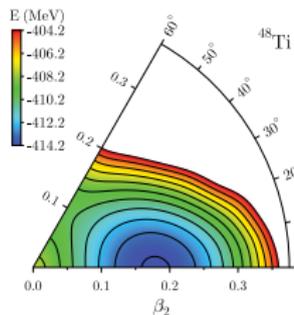
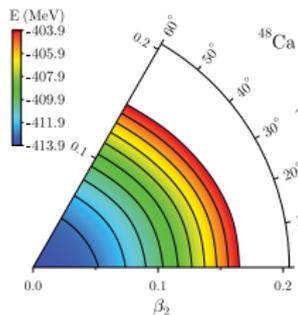
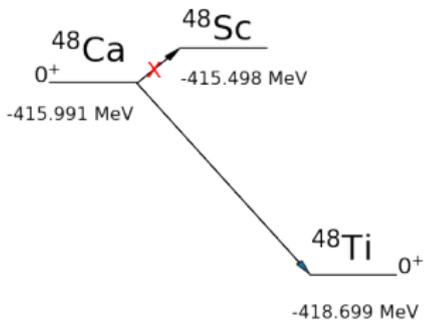
Note: A factor of  $-g_A^2$  has been multiplied into the Fermi part.

## Summary of the NMEs in light nuclei



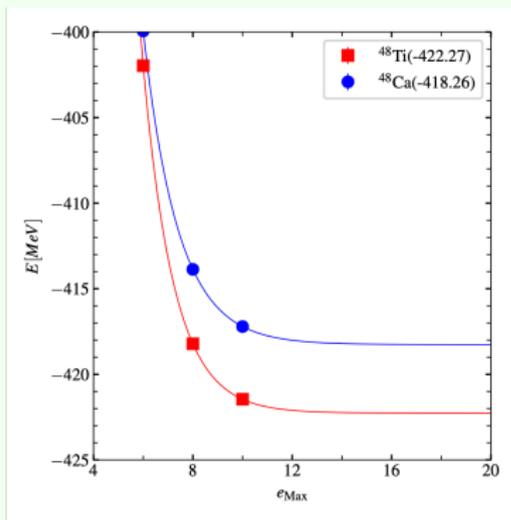
- consistent with the findings in the “exact” calculations with VMC (AV18+IL17) S. Pastore et al (2018); X.B. Wang (2019) and NCSM (EM1.8/2.0) P. Gysbers et al.

# Application: $0\nu\beta\beta$ from $^{48}\text{Ca}$ to $^{48}\text{Ti}$

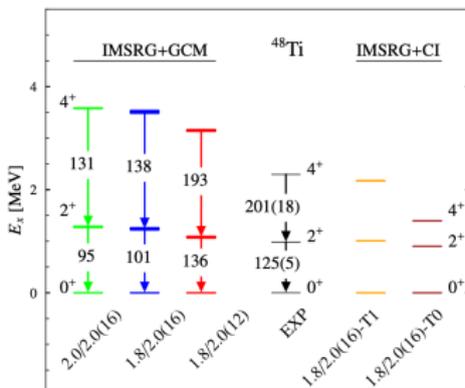


## Extrapolation

$$E(e_{\text{Max}}) = E(\infty) + a \exp(-b \cdot e_{\text{Max}})$$

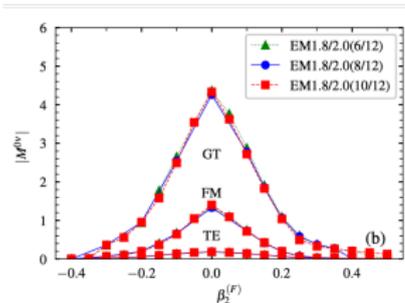
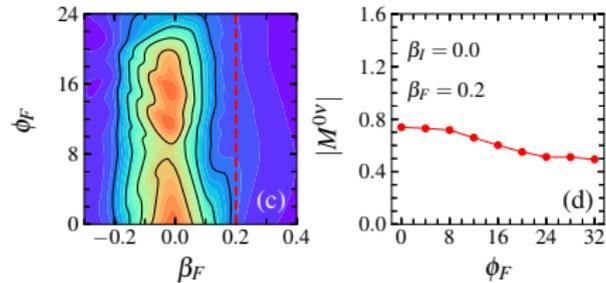
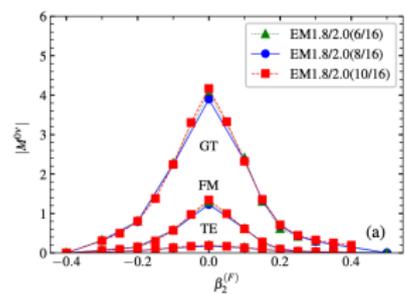
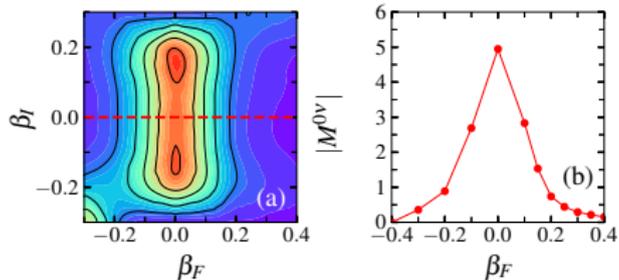


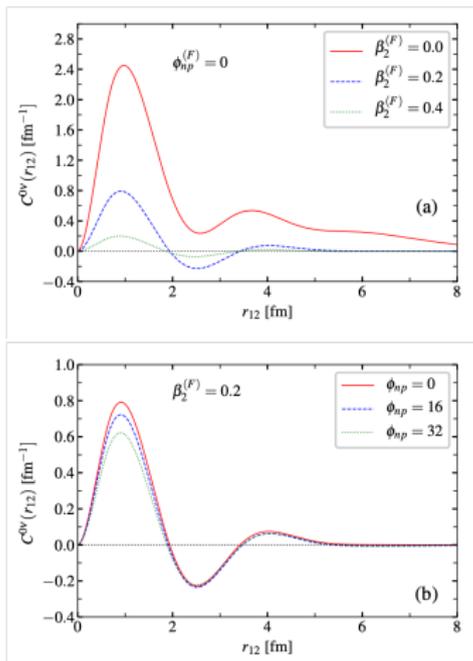
# Application: $0\nu\beta\beta$ from $^{48}\text{Ca}$ to $^{48}\text{Ti}$



- IMSRG+GCM: Low-energy structure of  $^{48}\text{Ti}$  is reasonably reproduced (spectrum stretched). Inclusion of non-collective configurations from neutron-proton isoscalar pairing fluctuation can compress the spectra further by about 6%.
- IMSRG+CI( $T0 \rightarrow T1$ ): the spectrum becomes more stretched in a larger model space (more collective correlations).

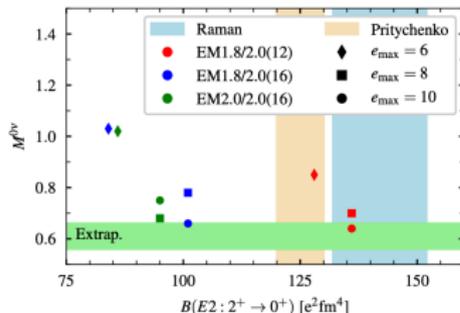
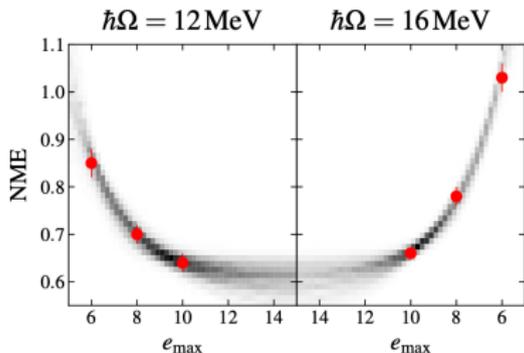
# Application: $0\nu\beta\beta$ from $^{48}\text{Ca}$ to $^{48}\text{Ti}$



Application:  $0\nu\beta\beta$  from  $^{48}\text{Ca}$  to  $^{48}\text{Ti}$ 

$$M^{0\nu} = \int dr_{12} C^{0\nu}(r_{12})$$

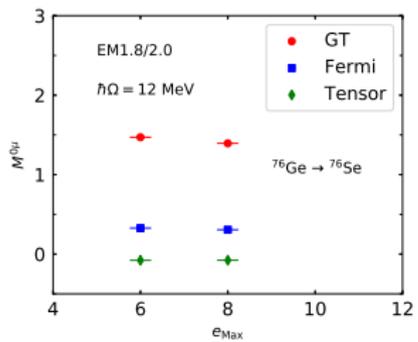
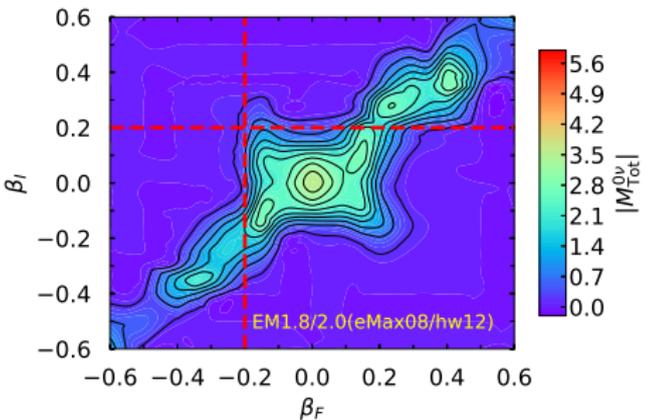
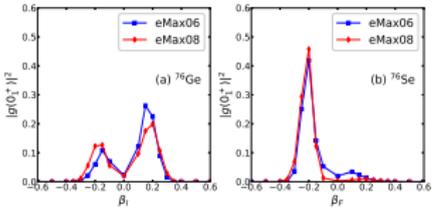
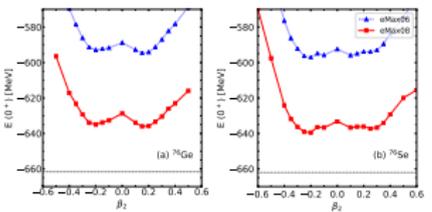
- The quadrupole deformation in  $^{48}\text{Ti}$  changes both the short and long-range behaviors
- Neutron-proton isoscalar pairing is mainly a short-range effect

Application:  $0\nu\beta\beta$  from  $^{48}\text{Ca}$  to  $^{48}\text{Ti}$ 

- The value from Markov-chain Monte-Carlo extrapolation is  $M^{0\nu} = 0.61^{+0.05}_{-0.04}$
- The neutron-proton isoscalar pairing fluctuation quenches  $\sim 17\%$  further, which might be canceled out partially by the isovector pairing fluctuation.



# $0\nu\beta\beta$ from $^{76}\text{Ge}$ to $^{76}\text{Se}$ (preliminary results)



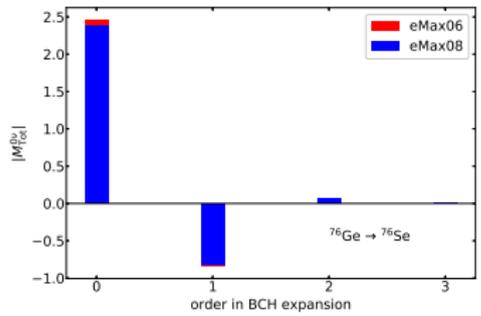
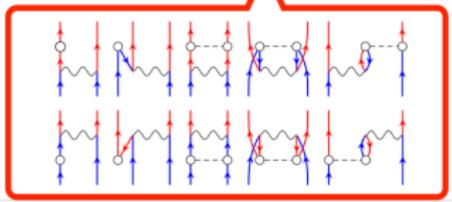
	$^{76}\text{Ge}$		$^{76}\text{Se}$	
eMax	E2 ( $e^2\text{fm}^4$ )	$E_x(2_1^+)$ (MeV)	E2 ( $e^2\text{fm}^4$ )	$E_x(2_1^+)$ (MeV)
6	255(3)	1.03(4)	412(11)	0.73(1)
8	287(3)	1.17(7)	468(6)	0.70(1)
Exp.	547(6)	0.563	864(22)	0.559

The above  $B(E2 : 2^+ \rightarrow 0^+)$  values are evaluated with the evolved one-body E2 operator only.



# $0\nu\beta\beta$ from $^{76}\text{Ge}$ to $^{76}\text{Se}$ (preliminary results)

$0\nu\beta\beta$  transition operator in IMSRG(2)

$$\mathcal{O}^{0\nu}(s) \equiv e^{\Omega(s)} \mathcal{O}^{0\nu} e^{-\Omega(s)} = \mathcal{O}^{0\nu} + [\Omega, \mathcal{O}^{0\nu}] + \frac{1}{2} [\Omega, [\Omega, \mathcal{O}^{0\nu}]] + \dots$$


■ renormalization effect on the transition operator:

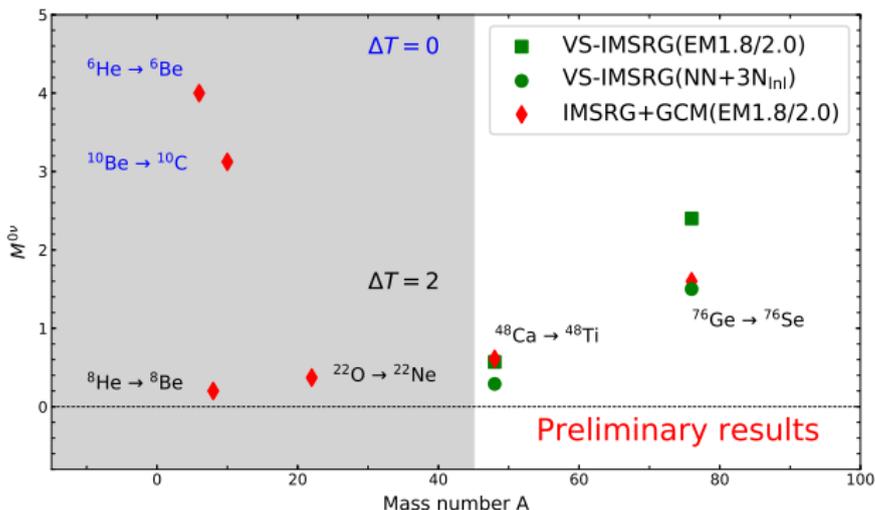
- 1 The renormalization effect is mainly contributed from  $\Omega^{(2)}$
- 2 The pp/hh diagrams enhance the NME (GT)

$$\sum_{ab} \mathcal{O}_{pp'ab}^{0\nu} \Omega_{abnn'} (1 - n_a - n_b) + (\Omega \leftrightarrow \mathcal{O}^{0\nu}) \quad (3)$$

while the ph diagrams quench the NME.

enhances the transition from  $^{48}\text{Ca}$  to  $^{48}\text{Ti}$  and quenches that from  $^{76}\text{Ge}$  to  $^{76}\text{Se}$ .

# Summary of NMEs from IMSRG calculations



- The unpublished results of VS-IMSRG are from (A. Belley, R. Stroberg, J. Holt et al.)
- Uncertainties from different sources (model truncation, chiral expansion, contact operator, two-body currents) are to be included.

## Summary and outlook



- The mass ordering of neutrinos is expected to be disclosed with the development of ton-scale  $0\nu\beta\beta$  decay experiments in the next few years, **depending on the values of the NMEs**.
- The NMEs governing the  $0\nu\beta\beta$  are essential to determine the neutrino effective mass. Several *ab initio* methods have begun to calculate the NMEs starting from first principles.
- We develop a novel multi-reference framework of IMSRG+GCM which opens a door to modeling deformed nuclei with realistic nuclear forces.
- The NMEs for several unphysical process in light nuclei and those for candidate process in medium-mass nuclei  $^{48}\text{Ca}$  and  $^{76}\text{Ge}$ (preliminary) are calculated. The NMEs in both cases are **smaller than the predictions by (most) phenomenological models**.
- More benchmarks among different *ab initio* calculations for the NMEs are underway.
- Quantification of uncertainties from different sources: systematic and statistic (**open for comments/suggestions**)

# Collaborators and acknowledgement



## Michigan State University

- Scott Bogner
- Heiko Hergert
- Roland Wirth

## University of North Carolina at Chapel Hill

- Jonathan Engel

## Universidad Autónoma de Madrid

- Benjamin Bally
- Tomas R. Rodríguez

## Iowa State University

- Robert A. Basili
- M. Lockner
- P. Maris
- James P. Vary

## Southwest University

- Longjun Wang

## San Diego State University

- Changfeng Jiao

Thank your for your attention!