

# Eigenvector continuation in nuclear physics

**Sebastian König, NC State University**

**TRIUMF Nuclear Theory Workshop, Vancouver, BC**

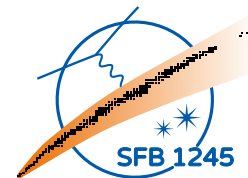
**March 4, 2020**

SK, A. Ekström, K. Hebeler, A. Sarkar, D. Lee, A. Schwenk, [arXiv:1909.08446](#)

P. Demol, T. Duguet, A. Ekström, M. Frosini, K. Hebeler, SK, D. Lee, A. Schwenk, V. Somà, A. Tichai, [arXiv:1911.12578](#)



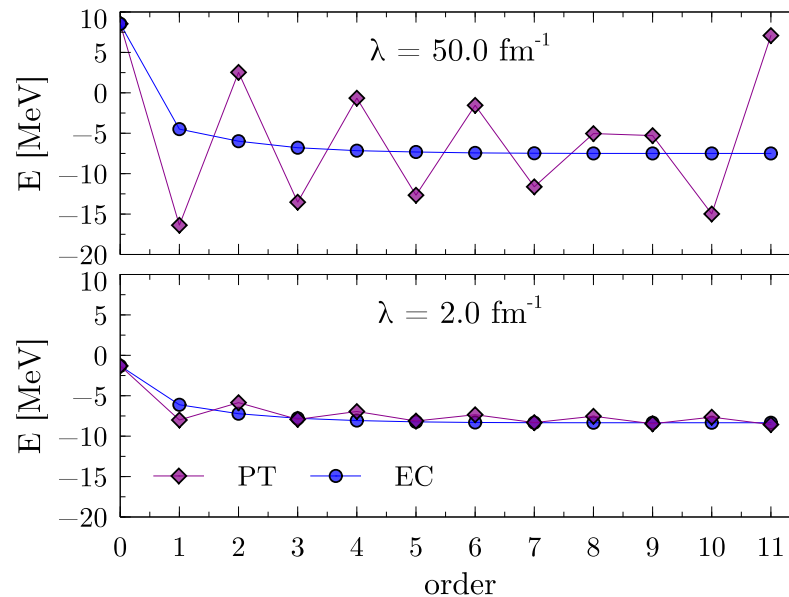
Theory  
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# Previously on Eigenvector Continuation

# Perturbation theory

- span space by the wavefunction corrections  $|\psi_1^{(n)}\rangle \rightarrow x_j^{(n)}$ ,  $n = 0, \dots$  order
- evaluate Hamiltonian between these states
- **interpretation:**  $H = H_{\text{diag}} + c H_{\text{off-diag}}$ , EC-extrapolate to  $c = 1$

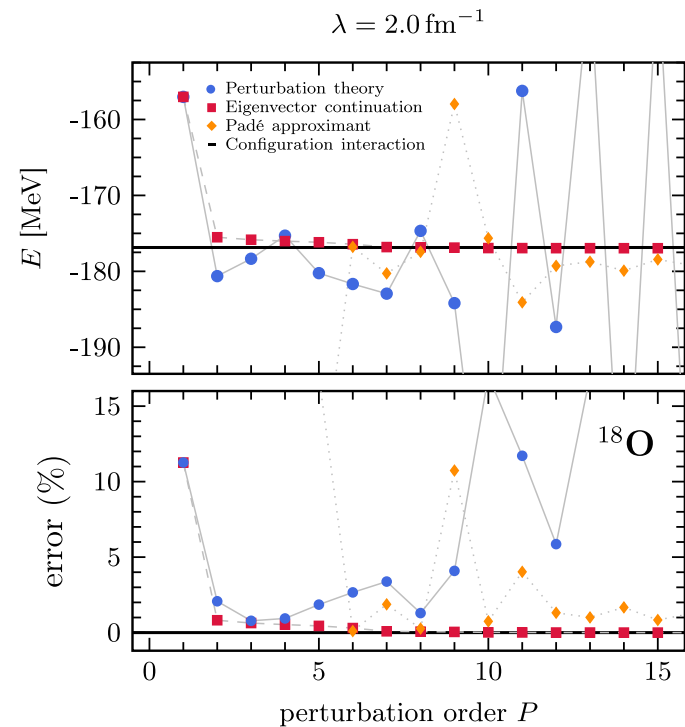


- same input as PT, but now things converge (to the correct result!)

# New episode

## Many-body perturbation theory

- see talk and poster by M. Frosini!
- consider  $^{18}\text{O}$  in BMBPT
  - PT under constraint
  - here: limited space
  - realistic:  $P \leq 3$
- EM500 interaction
  - SRG evolved to  $\lambda = 2.0\text{fm}^{-1}$
- full CI as reference
- **compare EC to simple PT and Padé**



- direct perturbation theory clearly diverges
- **EC is accurate and reliable, Padé becomes erratic at high orders**

P. Demol, T. Duguet, A. Ekström, M. Frosini, K. Hebeler, SK, D. Lee, A. Schwenk, V. Somà, **A. Tichai**, arXiv:1911.12578

# This talk

**EC as efficient emulator**

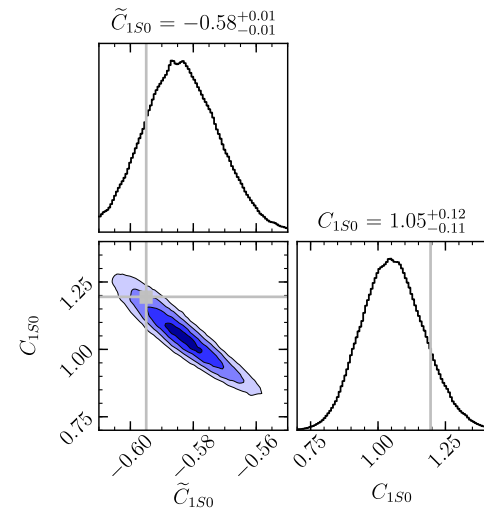
# Need for emulators

## 1. Fitting of LECs to few- and many-body observables

- common practice now to use  $A > 3$  to constrain nuclear forces, e.g.:
  - JISP16,  $\text{NNLO}_{\text{sat}}$ ,  $\alpha$ - $\alpha$  scattering  
Shirokov et al., PLB **644** 33 (2007); Ekström et al., PRC **91** 051301 (2015); Elhatisari et al., PRL **117** 132501 (2016)
- fitting needs many calculations with different parameters
- Kostas' talk this morning!

## 2. Propagation of uncertainties

- statistical fitting gives posteriors for LECs
- LEC posteriors propagate to observables  
Wesołowski et al., JPG **46** 045102 (2019)
- need to sample a large number of calculations
- see Dick's colloquium talk!

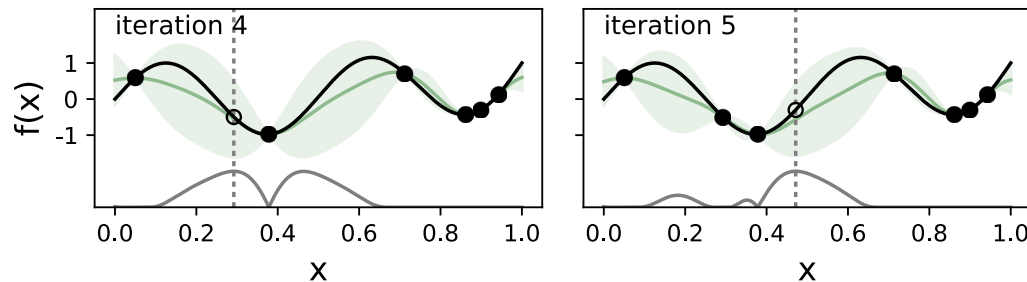


# Emulators

Exact calculations can be prohibitively expensive!

## Options

- **multi-dimensional polynomial interpolation**
  - simplest possible choice
  - typically too simple, no way to assess uncertainty
- **Gaussian process**



- statistical modeling, iteratively improvable
- interpolation with inherent uncertainty estimate

Ekström et al., arXiv:1902.00941

# Recall

**Eigenvector continuation can interpolate and extrapolate!**



# Hamiltonian parameter spaces

- original EC: single parameter,  $H = H(c)$  Frame et al., PRL **121** 032501 (2018)
- consider a **Hamiltonian** depending on **several** parameters:

$$H = H_0 + V = H_0 + \sum_{k=1}^d c_k V_k \quad (1)$$

- in particular,  $V$  can be a **chiral potential with LECs  $c_k$**
- Hamiltonian is element of  $d$ -dimensional parameter space
- typical for  $\mathcal{O}(Q^3)$  calculation: 14 two-body LECs + 2 three-body LECs
- convenient notation:  $\vec{c} = \{c_k\}_{k=1}^d$

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## Generalized EC

- **EC construction is straightforward to generalize to this case:**
- simply replace  $c_i \rightarrow \vec{c}_i$  in construction
  - $|\psi_i\rangle = |\psi(\vec{c}_i)\rangle$  for  $i = 1, \dots, N_{\text{EC}}$
  - $H_{ij} = \langle \psi_i | H(\vec{c}_{\text{target}}) | \psi_j \rangle$ ,  $N_{ij} = \langle \psi_i | \psi_j \rangle$

**Note:** **sum in Eq. (1) can be carried out in small (dimension =  $N_{\text{EC}}$ ) space!**

# Interpolation and extrapolation

## Hypercubic sampling

- want to cover parameter space efficiently with training set  $S = \{\vec{c}_i\}$
- [Latin Hypercube Sampling](#) can generate near random sample
- for examples that follow:
  - sample each component  $c_k \in [-2, 2]$
  - vary  $d$  LECs, fix the rest at NNLO<sub>sat</sub> point

Ekström et al., PRC **91** 051301 (2015)

# Interpolation and extrapolation

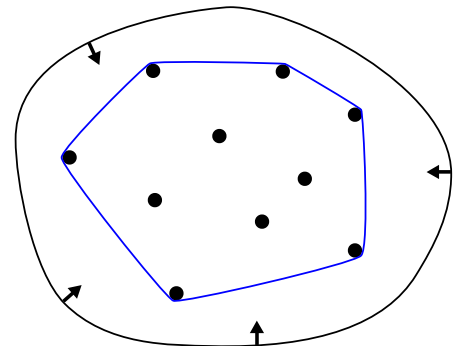
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## Convex combinations

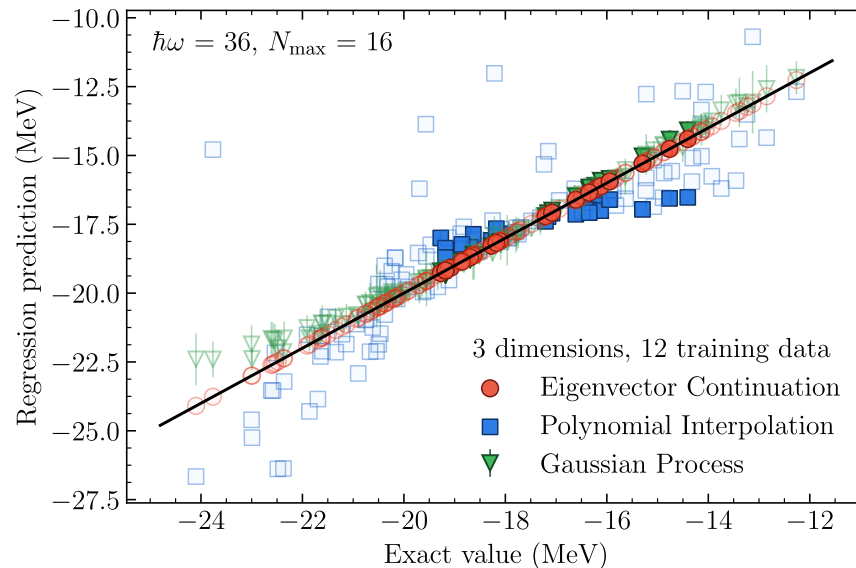
- distinguish interpolation and extrapolation target points
- interpolation region is [convex hull](#) of the  $\{\vec{c}_i\}$ 
  - $\text{conv}(S) = \sum_i \alpha_i \vec{c}_i$  with  $\alpha_i \geq 0$  and  $\sum_i \alpha_i = 1$
- [extrapolation](#) for  $\vec{c}_{\text{target}} \notin \text{conv}(S)$
- EC can handle both!



# Performance comparison: energy

## Cross validation

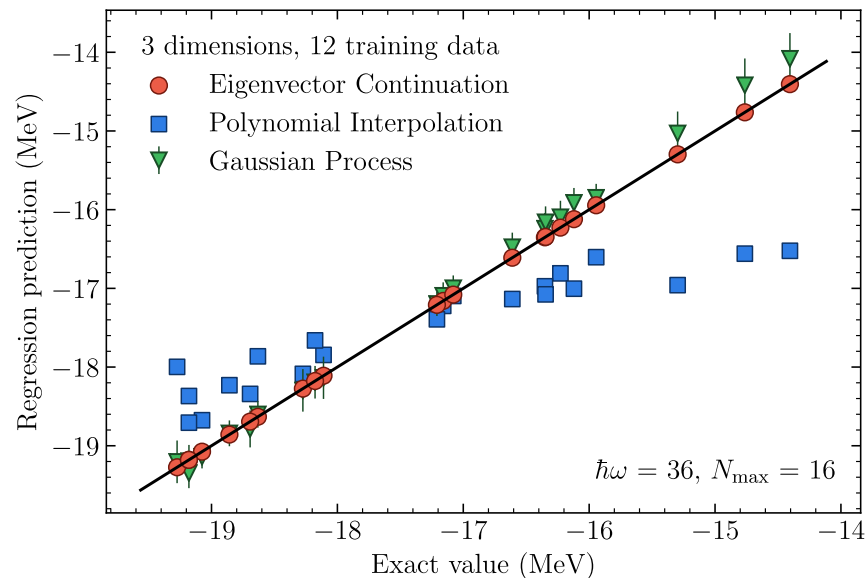
- compare emulation prediction against exact result for set  $\{\vec{c}_{\text{target},j}\}_{j=1}^N$
- underlying calculation: Jacobi NCSM Ekström implementation of Navratil et al., PRC **61** 044001 (2000)
- observable:  $^4\text{He}$  ground-state energy
- transparent symbols indicate extrapolation targets



# Performance comparison: energy

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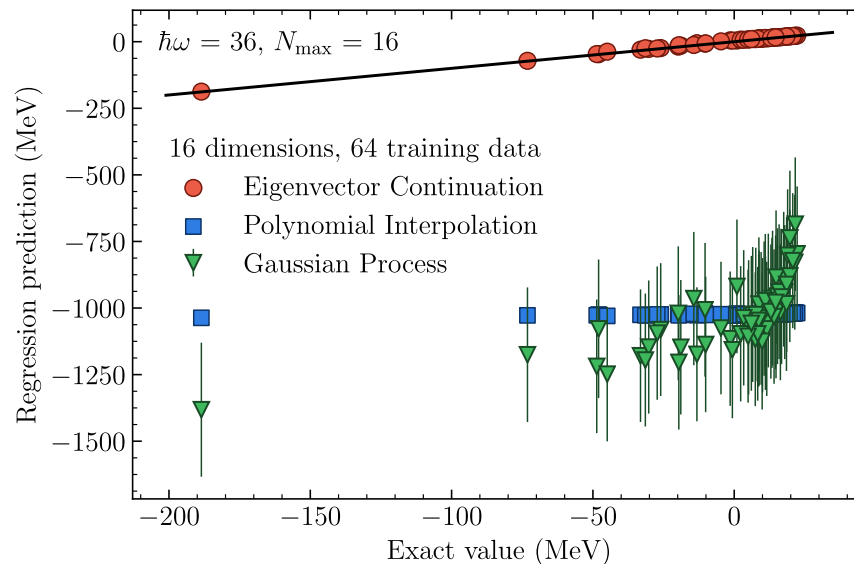
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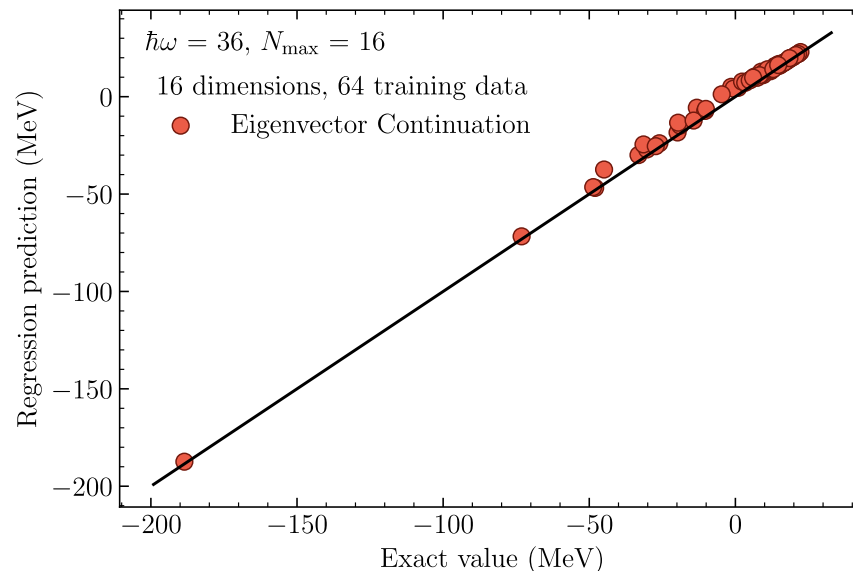
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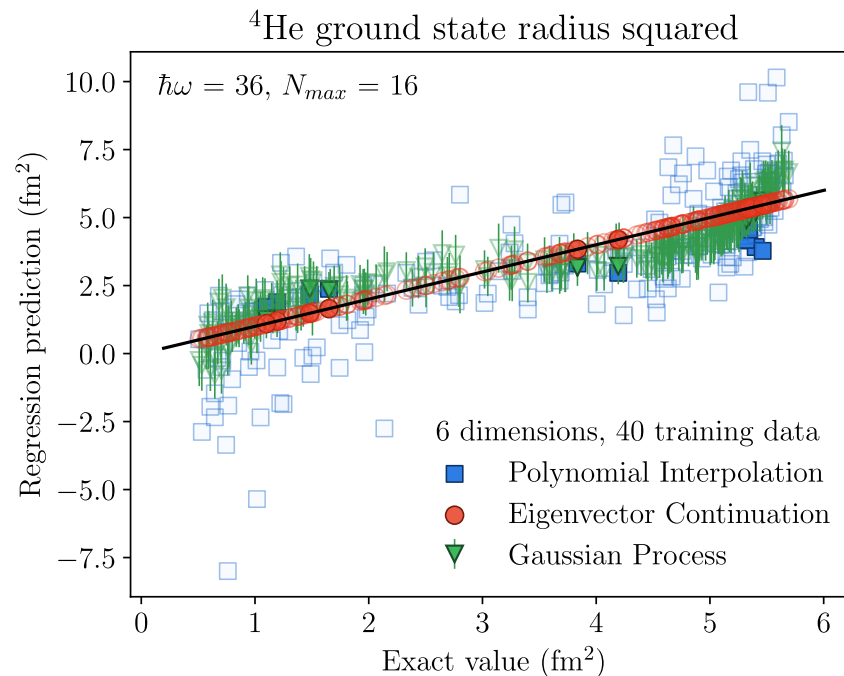




# Performance comparison: radius

## Operator evaluation

- generalized eigenvalue problem
- EC gives not only energy, but also a continued wavefunction
- straightforward (and inexpensive) to evaluate arbitrary operators



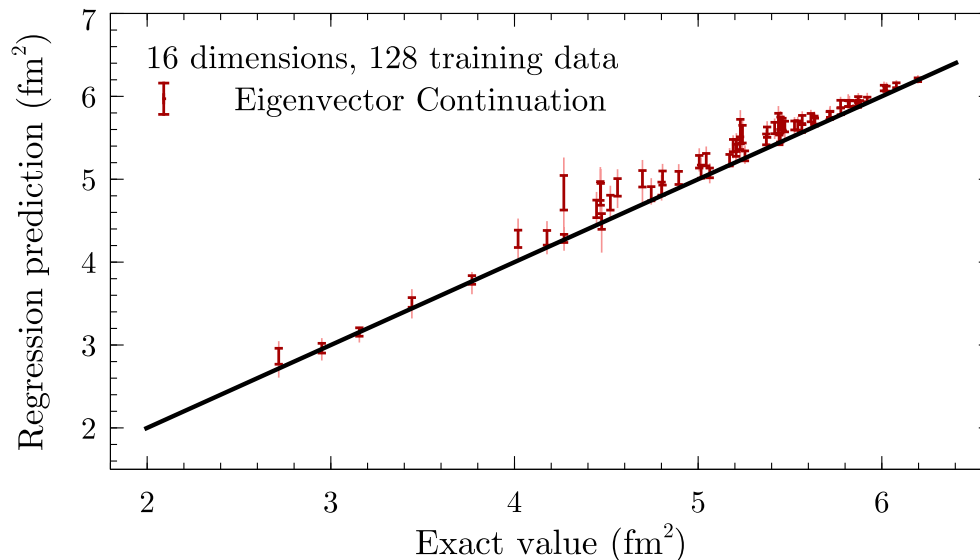
# EC uncertainty estimate

- EC is a **variational method**
  - **projection** of Hamiltonian onto a subspace
  - dimension of this subspace determines the accuracy
  - rate of convergence currently being analyzed

D. Lee + A. Sarkar, work in progress

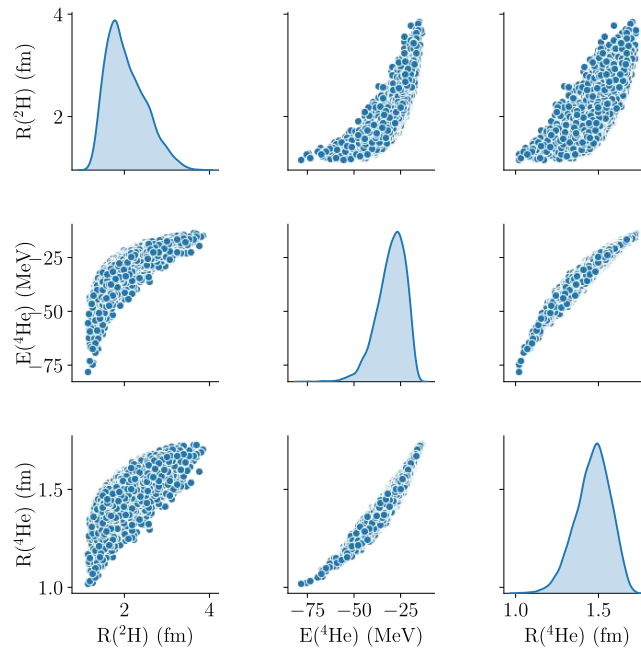
## Bootstrap approach

- leave out sets of basis vectors, take mean and standard deviation



# Application: correlation analysis

- consider  $10^4$  LEC samples 10% around  $\text{NNLO}_{\text{sat}}$  point
- known energy-radius correlation well reflected
- $^2\text{H}$  radius only gives lower bound for  $^4\text{He}$  radius
- this analysis would already be very expensive without EC!



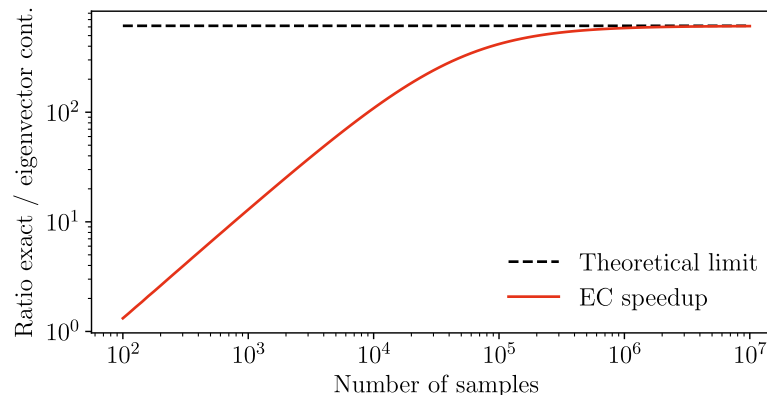
- see talk by Andreas for  $^{16}\text{O}$  application!

# Computational cost

- **setup of EC subspace basis**
  - combination of Hamiltonian for given  $\vec{c}_i$ , Lanczos diagonalization
  - total cost =  $M^2 \times (2n + N_{\text{mv}})$  flops
- **calculation of norm matrix:**  $2n^2M$  flops
- **reduction of Hamiltonian parts:**  $(d + 1) \times (2nM^2 + 2n^2M)$  flops
- **cost per emulated sample point**
  - combination of Hamiltonian parts in small space:  $2dn^2$  flops
  - orthogonalization + diagonalization:  $26n^3/3 + \mathcal{O}(n^2)$  flops

$M = M(N_{\text{max}})$ : model-space dim.,  $n$ : training data,  $N$ : samples,  $N_{\text{mv}}$ : matrix-vector prod. (Lanczos)

- example for  $N_{\text{max}} = 16$ ,  $d = 16$ ,  $N_{\text{EC}} = 64$



# Summary and outlook

## Eigenvector continuation as efficient emulator

- straightforward setup, reduction to small vector space
- highly competitive, accurate and efficient
- can both interpolate and extrapolate from training set
- provides access to multiple observables
- variational method, upper bound for energies
- provides uncertainty estimates via bootstrap approach

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## Future directions

- extrapolate spectra, not just single states
- larger systems, other methods
  - see following talk by A. Ekström
- application for large-scale uncertainty quantification

# Thanks...

## ...to my collaborators:

- A. Schwenk, K. Hebeler, A. Tichai (TU Darmstadt)
- A. Ekström (Chalmers U.)
- D. Lee, A. Sarkar (Michigan State U.)
- T. Duguet, V. Somà, M. Frosini (CEA Saclay)
- P. Demol (KU Leuven)

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German Research Foundation



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## ...and to you, for your attention!